

TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

ETAS ID: TM475557

SUBMISSION TYPE:	NEW ASSIGNMENT		
NATURE OF CONVEYANCE:	RELEASE OF SECURITY INTEREST		
CONVEYING PARTY DATA			
Name	Formerly	Execution Date	Entity Type
CITIZENS BANK, N.A., AS ADMINISTRATIVE AGENT		05/25/2018	NATIONAL ASSOCIATION: UNITED STATES
RECEIVING PARTY DATA			
Name:	ORION ADVISOR SERVICES, LLC		
Street Address:	17605 Wright Street		
City:	Omaha		
State/Country:	NEBRASKA		
Postal Code:	68130		
Entity Type:	Limited Liability Company: NEBRASKA		
PROPERTY NUMBERS Total: 19			
Property Type	Number	Word Mark	
Registration Number:	4418599		
Registration Number:	3342664	NORTHSTAR FINANCIAL SERVICES GROUP, LLC	
Registration Number:	3533650	WNW NW NNW N NNE NE ENE	
Registration Number:	3977129	ADVISORONE FUNDS	
Registration Number:	3970377	ADVISORONE	
Registration Number:	4488174	ENJOY YOUR BUSINESS AGAIN	
Registration Number:	3679330	ADVISOR CONNECT	
Registration Number:	3305450	ORION ADVISOR SERVICES, LLC	
Registration Number:	4244958	GEMINI FUND SERVICES, LLC POOLED INVESTM	
Registration Number:	3443491	GEMINI FUND SERVICES, LLC	
Registration Number:	3296396	GEMCOM, LLC	
Serial Number:	85565670	NORTHSTAR	
Serial Number:	86451733	BLU GIANT	
Serial Number:	86451706	BLU GIANT	
Serial Number:	86223984	CLS INVESTMENTS	
Serial Number:	86166008	SHELTER VOLATILITY MANAGEMENT	
Serial Number:	86443886	FINVUE	
Serial Number:	86451701	ORION CONNECT	
Serial Number:	86451713	NORTHERN LIGHTS COMPLIANCE SERVICES	
TRADEMARK			

OP \$490.00 4418599

CORRESPONDENCE DATA**Fax Number:** 2123553333*Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.***Phone:** 2124597136**Email:** tbennett@goodwinlaw.com**Correspondent Name:** Tracey D. Bennett**Address Line 1:** c/o Goodwin Procter LLP**Address Line 2:** 620 8th Ave.**Address Line 4:** New York, NEW YORK 10018

ATTORNEY DOCKET NUMBER:	133044.243415
NAME OF SUBMITTER:	Tracey D. Bennett
SIGNATURE:	/s/Tracey D. Bennett
DATE SIGNED:	05/25/2018

Total Attachments: 5

source=Citizens Trademark Release#page1.tif

source=Citizens Trademark Release#page2.tif

source=Citizens Trademark Release#page3.tif

source=Citizens Trademark Release#page4.tif

source=Citizens Trademark Release#page5.tif

RELEASE OF SECURITY INTEREST IN TRADEMARKS

This RELEASE OF SECURITY INTEREST IN TRADEMARKS, dated as of May 25, 2018 (this "Release") is made by CITIZENS BANK, N.A., as administrative agent and collateral agent (in such capacity, together with its successors and permitted assigns, the "Administrative Agent") for the Lenders (as defined in the Security Agreement referred to below) in favor of CLS INVESTMENTS, LLC, a Nebraska limited liability company, GEMCOM, LLC, a Nebraska limited liability company, GEMINI FUND SERVICES, LLC, a Nebraska limited liability company, NORTHSTAR FINANCIAL SERVICES GROUP, LLC, a Nebraska limited liability company, NORTHERN LIGHTS COMPLIANCE SERVICES, LLC, a Nebraska limited liability company, and ORION ADVISOR SERVICES, LLC, a Nebraska limited liability company, (collectively, the "Grantors").

1.

Pursuant to the Guaranty and Security Agreement, dated as of April 30, 2015 entered into by the Grantors, each other grantor party thereto, and Administrative Agent (as amended, restated, supplemented or otherwise modified from time to time, the "Security Agreement"), Grantors and Administrative Agent entered into a certain Trademark Security Agreement, dated as of April 30, 2015 and recorded in the United States Patent and Trademark Office on April 30, 2015, at Reel 005507, Frame 0253 (the "Trademark Security Agreement"), and pursuant to the Security Agreement and Trademark Security Agreement, Grantors mortgaged, pledged, and hypothecated to the Administrative Agent for the benefit of the Lenders, and granted to the Administrative Agent for the benefit of the Lenders a lien on and security interest in, all of its right, title and interest in, to and under the Trademark Collateral (as defined in the Trademark Security Agreement), including the foregoing identified on Schedule A hereto and incorporated herein by reference.

2.

The Administrative Agent, without representation, warranty or recourse of any sort, hereby terminates, releases and discharges the mortgage, pledge and hypothecation in and all of its lien on and security interest in, all right, title and interest in, to and under the Trademark Collateral granted under the Security Agreement and Trademark Security Agreement, including the foregoing identified on Schedule A hereto and incorporated herein by reference. Any right, title or interest of the Administrative Agent in such Trademark Collateral shall hereby cease and become void. This Release does not terminate, release or discharge the Administrative Agent's security interest in any intellectual property or any other asset of the Grantors other than the Trademark Collateral.

3.

The Administrative Agent hereby authorizes the Grantors or the Grantors' authorized representative to (i) record this Release with the United States Patent and Trademark Office, (ii) file UCC financing statement amendments with the applicable filing office in order to memorialize the release of the security interest of the Administrative Agent in the Trademark

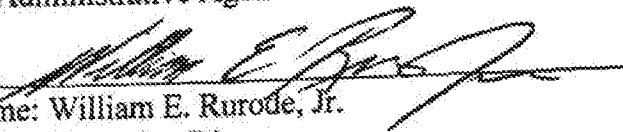
Collateral, and/or (iii) otherwise record or file this Release in the applicable governmental office or agency.

4.

This Release may be executed in any number of counterparts, each of which when so executed shall be deemed to be an original and all of which taken together shall constitute one and the same agreement. Delivery by telecopier or by electronic .pdf copy of an executed counterpart of a signature page to this Release shall be effective as delivery of an original executed counterpart of this Release.

IN WITNESS WHEREOF, Administrative Agent has caused this document to be executed on its behalf as of the date first written above.

CITIZENS BANK, N.A.,
as Administrative Agent

By: 
Name: William E. Rurode, Jr.
Title: Managing Director

Address: 28 State Street, MS1245
Boston, MA 02109

Schedule A

Released Trademarks

Trademark Registrations

1. REGISTERED TRADEMARKS

Trademark	Registered Owner	Registration Number	Expiration Date
Logo - Five Point Star shaded on right side	NorthStar Financial Services Group, LLC	4418599	Live
Logo – Company name with gold shooting star	NorthStar Financial Services Group, LLC	3342664	Live
Logo – Stylized and/or with Design, WNW NW NNW N NNE NE ENE	CLS Investments, LLC	3533650	Live
Word Mark – AdvisorOne Funds	CLS Investments, LLC	3977129	Live
Word Mark – AdvisorOne	CLS Investments, LLC	3970377	Live
Word Mark – Enjoy Your Business Again	Orion Advisor Services, LLC	4488174	Live
Design (plus words, letters, and/or numbers) – Advisor Connect (light blue interlocking arrow pointing to the right)	Orion Advisor Services, LLC	3679330	Live
Design (plus words, letters, and/or numbers) – Orion Advisor Services (Orion Logo)	Orion Advisor Services, LLC	3305450	Live
Design - Gemini Fund Services, LLC Pooled Investment Solutions (with green raindrop into pool)	Gemini Fund Services, LLC	4244958	Live
Design - Gemini Fund Services, LLC (design of green circle in between two green boomeranges)	Gemini Fund Services, LLC	3443491	Live
Logo - Gemcom, LLC (Green block with white G and purple letters)	Gemcom, LLC	3296396	Live

2. TRADEMARK APPLICATIONS

Trademark	Registered Owner	Registration Number	Expiration Date
Logo - Five Point Star shaded on right side with word "NorthStar"	NorthStar Financial Services Group, LLC	Serial #: 85565670	Pending
Logo - Blu Giant written in stylized font	NorthStar Financial Services Group, LLC	Serial #: 86451733	Pending
Word Mark - Blu Giant	NorthStar Financial Services Group, LLC	Serial #: 86451706	Pending
Trade Name - CLS Investments	CLS Investments, LLC	Serial #: 86223984	Pending
Word Mark - Shelter Volatility Management	CLS Investments, LLC	Serial #: 86166008	Pending
Word Mark - Finvue	Orion Advisor Services, LLC	Serial #: 86443886	Pending
Word Mark - Orion Connect	Orion Advisor Services, LLC	Serial #: 86451701	Pending
Word Mark - Northern Lights Compliance Services	Northern Lights Compliance Services, LLC	86451713	Pending

3. IP LICENSES

None