

TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

ETAS ID: TM479602

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|---|--|-----------------------|--------------------|
| SUBMISSION TYPE: | NEW ASSIGNMENT | | |
| NATURE OF CONVEYANCE: | ASSIGNMENT OF THE ENTIRE INTEREST AND THE GOODWILL | | |
| CONVEYING PARTY DATA | | | |
| Name | Formerly | Execution Date | Entity Type |
| The William J. Basie Trust | | 06/25/2018 | Trust: NEW YORK |
| RECEIVING PARTY DATA | | | |
| Name: | Rutgers, The State University of New Jersey | | |
| Street Address: | 123 Washington St. | | |
| Internal Address: | Suite 590 | | |
| City: | Newark | | |
| State/Country: | NEW JERSEY | | |
| Postal Code: | 07102 | | |
| Entity Type: | Non-Profit Organization: NEW JERSEY | | |
| PROPERTY NUMBERS Total: 2 | | | |
| Property Type | Number | Word Mark | |
| Registration Number: | 3783822 | COUNT BASIE | |
| Registration Number: | 3783823 | COUNT BASIE ORCHESTRA | |
| CORRESPONDENCE DATA | | | |
| Fax Number: | 6126077100 | | |
| <i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i> | | | |
| Phone: | 612-607-7064 | | |
| Email: | tmatson@foxrothschild.com | | |
| Correspondent Name: | Timothy C. Matson | | |
| Address Line 1: | 222 So. Ninth Street | | |
| Address Line 2: | Suite 2000 | | |
| Address Line 4: | Minneapolis, MINNESOTA 55402 | | |
| NAME OF SUBMITTER: | Timothy C. Matson | | |
| SIGNATURE: | /Timothy C. Matson/ | | |
| DATE SIGNED: | 06/27/2018 | | |
| Total Attachments: 2 | | | |
| source=EXECUTED Basie Trust Cover Sheet & Trademark Assignment#page1.tif | | | |
| source=EXECUTED Basie Trust Cover Sheet & Trademark Assignment#page2.tif | | | |

OP \$65.00 3783822

RECORDATION FORM COVER SHEET TRADEMARKS ONLY

To the Director of the U. S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies):

THE WILLIAM J. BASIE TRUST

- Individual(s)
- Partnership
- Corporation- State: _____
- Other TRUST
- Association
- Limited Partnership

Citizenship (see guidelines) _____

Additional names of conveying parties attached? Yes No

3. Nature of conveyance/Execution Date(s) :

Execution Date(s) _____

- Assignment
- Security Agreement
- Other _____
- Merger
- Change of Name

2. Name and address of receiving party(ies)

Additional names, addresses, or citizenship attached? Yes No

Name: RUTGERS, THE STATE UNIVERSITY OF NEW JERSEY

Street Address: 123 WASHINGTON STREET, SUITE 590

City: NEWARK

State: NEW JERSEY

Country: U.S.A. Zip: 07102

- Individual(s) Citizenship _____
- Association Citizenship _____
- Partnership Citizenship _____
- Limited Partnership Citizenship _____
- Corporation Citizenship _____
- Other NON-PROFIT U.S.A. Citizenship _____

If assignee is not domiciled in the United States, a domestic representative designation is attached: Yes No
(Designations must be a separate document from assignment)

4. Application number(s) or registration number(s) and identification or description of the Trademark.

A. Trademark Application No.(s) Text

B. Trademark Registration No.(s)

3,783,822 AND 3,783,823

Additional sheet(s) attached? Yes No

C. Identification or Description of Trademark(s) (and Filing Date if Application or Registration Number is unknown):

COUNT BASIE filed August 20, 2009 and COUNT BASIE ORCHESTRA filed August 20, 2009

5. Name & address of party to whom correspondence concerning document should be mailed:

Name: TIMOTHY C. MATSON

Internal Address: SUITE 2000

Street Address: 222 SO. NINTH STREET

City: MINNEAPOLIS

State: MN Zip: 55402

Phone Number: 612-607-7064

Docket Number: 167255.00001

Email Address: tmatson@lxruthersjmk.com

6. Total number of applications and registrations involved:

2

7. Total fee (37 CFR 2.6(b)(6) & 3.41) \$ 65.00

- Authorized to be charged to deposit account
- Enclosed

8. Payment Information:

Deposit Account Number _____

Authorized User Name _____

9. Signature:

Signature

TIMOTHY C. MATSON

Name of Person Signing

June 26, 2018

Date

Total number of pages including cover sheet, attachments, and document:

2

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Branch, Director of the USPTO, P.O. Box 1450, Alexandria, VA 22313-1450

ASSIGNMENT

THIS ASSIGNMENT AGREEMENT is by and between THE WILLIAM J. BASIE TRUST, a Trust domiciled in New York ("Assignor"), 225 Broadway, Suite 2605, New York, NY 10007, and RUTGERS, THE STATE UNIVERSITY OF NEW JERSEY, a New Jersey non-profit organization, ("Assignee"), 123 Washington St., Suite 590, Newark, NJ 07102.

In consideration of the sum of one dollar (\$1.00) and other good and valuable consideration, receipt of which is hereby acknowledged, Assignor does hereby assign, transfer and set over unto Assignee its successors and assigns, throughout the world and in perpetuity, all rights in and to the following trademarks:

COUNT BASIE, U.S. Registration No. 3,783,822 dated May 4, 2010, for entertainment in the nature of live musical entertainment featuring performances by an orchestra (Class 41) and Downloadable MP3/MP4 files and MP3/MP4 recordings featuring music; Phonograph records featuring music; Prerecorded audio cassettes featuring music; Prerecorded video tapes featuring music (Class 9); and

COUNT BASIE ORCHESTRA, U.S. Registration No. 3,783,823 dated May 4, 2010, for entertainment in the nature of live musical entertainment featuring performances by an orchestra (Class 41) and Downloadable MP3/MP4 files and MP3/MP4 recordings featuring music; Phonograph records featuring music; Prerecorded audio cassettes featuring music; Prerecorded video tapes featuring music (Class 9),

together the U.S. registrations thereof and the goodwill of the business relating to the products and services on which the marks are used and for which they are registered, and all rights therein are transferred by Assignor to Assignee by this instrument.

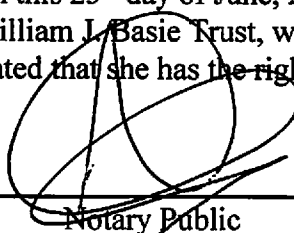
IN WITNESS WHEREOF, the undersigned has caused these presents to be executed this 25th day of June, 2018.

THE WILLIAM J. BASIE TRUST

By 
Joy S. Rosenthal, Trustee

STATE OF NEW YORK)
) ss.
COUNTY OF NEW YORK)

On this 25th day of June, 2018, before me personally appeared Joy S. Rosenthal, Trustee of the William J. Basie Trust, who signed this Assignment in my presence and who, being duly sworn, stated that she has the right, authority and power to assign the right assigned hereunder.



Notary Public

ANDREW A. BOEHMKE
Notary Public, State of New York
No. 01BO6290871
Qualified in New York County
Commission Expires October 15, 2021