# OP \$190.00 301682

# TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 ETAS ID: TM482183

| SUBMISSION TYPE:      | NEW ASSIGNMENT               |  |
|-----------------------|------------------------------|--|
| NATURE OF CONVEYANCE: | RELEASE OF SECURITY INTEREST |  |

## **CONVEYING PARTY DATA**

| Name          | Formerly | erly Execution Date E |                      |
|---------------|----------|-----------------------|----------------------|
| SunTrust Bank |          | 07/09/2018            | Corporation: GEORGIA |

## **RECEIVING PARTY DATA**

| Name:           | Conning & Company            |  |
|-----------------|------------------------------|--|
| Street Address: | One Financial Plaza          |  |
| City:           | Hartford                     |  |
| State/Country:  | CONNECTICUT                  |  |
| Postal Code:    | 06103                        |  |
| Entity Type:    | Corporation: CONNECTICUT     |  |
| Name:           | Conning, Inc.                |  |
| Street Address: | One Financial Plaza          |  |
| City:           | Hartford                     |  |
| State/Country:  | CONNECTICUT                  |  |
| Postal Code:    | 06103                        |  |
| Entity Type:    | Corporation: MISSOURI        |  |
| Name:           | Conning Holdings Corporation |  |
| Street Address: | One Financial Plaza          |  |
| City:           | Hartford                     |  |
| State/Country:  | CONNECTICUT                  |  |
| Postal Code:    | 06103                        |  |
| Entity Type:    | Corporation: DELAWARE        |  |

### **PROPERTY NUMBERS Total: 7**

| Property Type        | Number   | Word Mark                        |
|----------------------|----------|----------------------------------|
| Registration Number: | 3016821  | CONNING                          |
| Registration Number: | 2946309  | FIRM                             |
| Registration Number: | 3599425  | A BROADER VIEW. A SHARPER FOCUS. |
| Serial Number:       | 77096453 | AFFIRM                           |
| Serial Number:       | 77096459 | AFFIRM                           |
| Serial Number:       | 77096462 | AFFIRM                           |
| Serial Number:       | 77096468 | AFFIRM                           |

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#### **CORRESPONDENCE DATA**

**Fax Number:** 4048817777

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

**Phone:** 404-881-7000

Email: kelly.branch@alston.com

Correspondent Name: Sam Gunn

Address Line 1: 1201 West Peachtree Street Address Line 4: Atlanta, GEORGIA 30309

| NAME OF SUBMITTER: | Sam Gunn   |
|--------------------|------------|
| SIGNATURE:         | /Sam Gunn/ |
| DATE SIGNED:       | 07/17/2018 |

#### **Total Attachments: 3**

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#### RELEASE OF TRADEMARK SECURITY INTEREST

This RELEASE is made as of July 9, 2018, by SunTrust Bank, a Georgia corporation, as Lender (the "Lender") in favor of Conning & Company, a Connecticut corporation with an address at One Financial Plaza, Hartford, Connecticut 06103; Conning, Inc., a Missouri corporation, with an address at One Financial Plaza, Hartford, Connecticut 06103; and Conning Holdings Corporation, a Delaware corporation with an address at One Financial Plaza, Hartford, Connecticut 06103 (the "Grantors").

#### WITNESSETH:

WHEREAS, Lender and Grantors were parties to that certain Security Agreement, dated as of December 4, 2009 (the "Security Agreement"), pursuant to which Grantors granted a security interest to Lender in, *inter alia* certain trademark registrations and applications, and the goodwill associated therewith, set forth on Exhibit A attached hereto and made a part hereof (those trademark registrations and applications listed on Exhibit A are collectively referred to as the "Trademarks" for the purposes of this document); and

WHEREAS, Lender recorded a security interest in said Trademarks with the Assignment Division of the United States Patent and Trademark Office on December 7, 2009 at Reel 4109, Frame 0146.

NOW THEREFORE, in consideration for the premises and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged:

- 1. Lender hereby releases its security interest in the Trademarks originally granted to Lender pursuant to the Security Agreement.
- 2. Lender, to the extent granted in the Security Agreement, hereby assigns, grants and otherwise re-conveys to Grantors, all of its right, title and interest in and to the Trademarks and all goodwill associated therewith originally granted to Lender pursuant to the Security Agreement.
- 3. Upon the request of Grantors, Lender further agrees to execute all documents necessary to demonstrate and confirm the parties' intent under this Release of Trademark Security Interest.

[Signature Page Follows]

SMC

TRADEMARK REEL: 006414 FRAME: 0526 IN WITNESS WHEREOF, Lender has caused this Release of Trademark Security Interest to be duly executed by its authorized officer as of the day and year first above written.

SUNTRUST BANK, as Lender

Name:

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## EXHIBIT A TO TRADEMARK RELEASE

# U.S. Registered Trademarks

| Trademark                                | Owner  | Application<br>Number | Application<br>Date | Registration No. | Registration<br>Date |
|--|--|-----------------------|---------------------|------------------|----------------------|
| CONNING                                  | Conning &<br>Company                           | 76/578,837            | 3/3/2004            | 3,016,821        | 11/22/2005           |
| FIRM                                     | Conning, Inc.                                  | 75/856,634            | 11/24/1999          | 2,946,309        | 5/3/2005             |
| A BROADER<br>VIEW. A<br>SHARPER<br>FOCUS | Conning &<br>Company                           | 77/287,486            | 9/24/2007           | 3,599,425        | 3/31/2009            |
| AFFIRM                                   | Conning Asset Management (n/k/a Conning, Inc.) | 77/096,453            | 2/1/2007            |                  |                      |
| AFFIRM                                   | Conning Asset Management (n/k/a Conning, Inc.) | 77/096,459            | 2/1/2007            |                  |                      |
| AFFIRM                                   | Conning Asset Management (n/k/a Conning, Inc.) | 77/096,462            | 2/1/2007            |                  |                      |
| AFFIRM                                   | Conning Asset Management (n/k/a Conning, Inc.) | 77/096,468            | 2/1/2007            |                  |                      |

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