

## TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1  
Stylesheet Version v1.2

ETAS ID: TM498862

<b>SUBMISSION TYPE:</b>		NEW ASSIGNMENT	
<b>NATURE OF CONVEYANCE:</b>		CHANGE OF NAME	
<b>CONVEYING PARTY DATA</b>			
<b>Name</b>	<b>Formerly</b>	<b>Execution Date</b>	<b>Entity Type</b>
Financial Engines, LLC		10/31/2018	Corporation: DELAWARE
			Limited Liability Company
<b>RECEIVING PARTY DATA</b>			
<b>Name:</b>	EDELMAN FINANCIAL ENGINES, LLC		
<b>Street Address:</b>	1050 Enterprise Way, 3rd Floor		
<b>City:</b>	Sunnyvale		
<b>State/Country:</b>	CALIFORNIA		
<b>Postal Code:</b>	94089		
<b>Entity Type:</b>	Limited Liability Company: DELAWARE		
<b>PROPERTY NUMBERS Total: 21</b>			
<b>Property Type</b>	<b>Number</b>	<b>Word Mark</b>	
Registration Number:	3764869	RETIREMENT PAYCHECK	
Registration Number:	4538419	ANNUITY OPTIMIZER	
Registration Number:	4506963	M	
Registration Number:	4506964	M	
Registration Number:	2735936	THE MUTUAL FUND STORE	
Registration Number:	2868079	THE MUTUAL FUND SHOW	
Registration Number:	3001986	SOMEDAY IS NOT A DAY OF THE WEEK	
Registration Number:	4156923	INVESTING SENSE	
Registration Number:	4302160	INVESTING SENSE	
Registration Number:	3546894	SMART401K	
Registration Number:	5080342	WE WORK HARD FOR HARDWORKING PEOPLE.	
Registration Number:	5451212	FINANCIAL ENGINES	
Registration Number:	5451213	FE	
Registration Number:	2243063	FINANCIAL ENGINES	
Registration Number:	2177630	FINANCIAL ENGINES	
Registration Number:	2538055	INVESTOR CENTRAL	
Registration Number:	3655807	RETIREMENT HELP FOR LIFE	
Registration Number:	3684269		
Registration Number:	5509887		

CH \$540.00 3764869

Property Type	Number	Word Mark
Serial Number:	87712546	FIN ENGINES
Serial Number:	87830665	NEVER IDLE

**CORRESPONDENCE DATA**

**Fax Number:** 9136479057

*Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.*

**Phone:** 913-647-9050

**Email:** cdw@hoveywilliams.com

**Correspondent Name:** Andrew G. Colombo/Hovey Williams LLP

**Address Line 1:** 10801 Mastin Blvd.

**Address Line 2:** Suite 1000

**Address Line 4:** Overland Park, KANSAS 66210

<b>NAME OF SUBMITTER:</b>	Andrew G. Colombo
<b>SIGNATURE:</b>	/Andrew G. Colombo/
<b>DATE SIGNED:</b>	11/19/2018

**Total Attachments: 3**

source=Name\_Change\_Financial\_Engines,\_LLC\_to\_Edelman\_Financial\_Engines,\_LLC\_-\_DE\_Amendment1\_1206607-  
source=Name\_Change\_Financial\_Engines,\_LLC\_to\_Edelman\_Financial\_Engines,\_LLC\_-\_DE\_Amendment1\_1206607-  
source=Name\_Change\_Financial\_Engines,\_LLC\_to\_Edelman\_Financial\_Engines,\_LLC\_-\_DE\_Amendment1\_1206607-

# Delaware

Page 1

The First State

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE RESTATED CERTIFICATE OF "FINANCIAL ENGINES, LLC", CHANGING ITS NAME FROM "FINANCIAL ENGINES, LLC" TO "EDELMAN FINANCIAL ENGINES, LLC", FILED IN THIS OFFICE ON THE THIRTY-FIRST DAY OF OCTOBER, A.D. 2018, AT 8:48 O`CLOCK A.M.



  
Jeffrey W. Bullock, Secretary of State

4509017 8100  
SR# 20187406154

Authentication: 203717919  
Date: 10-31-18

You may verify this certificate online at [corp.delaware.gov/authver.shtml](http://corp.delaware.gov/authver.shtml)

**TRADEMARK**  
**REEL: 006490 FRAME: 0834**

State of Delaware  
Secretary of State  
Division of Corporations  
Delivered 08:48 AM 10/31/2018  
FILED 08:48 AM 10/31/2018  
SR 20187406154 - File Number 4509017

**AMENDED AND RESTATED**  
**CERTIFICATE OF FORMATION**  
**OF**  
**FINANCIAL ENGINES, LLC**

This Amended and Restated Certificate of Formation of Financial Engines, LLC, dated as of October 31, 2018, has been duly executed and is being filed by the undersigned pursuant to Section 18-208 of the Limited Liability Company Act of the State of Delaware to restate the original Certificate of Formation of Financial Engines, LLC, which was filed on July 19, 2018 with the Secretary of State of the State of Delaware (the "Certificate"), and shall be effective upon its filing with the Secretary of State of the State of Delaware.


The Certificate is hereby restated in its entirety to read as follows:

1. The name of the limited liability company is Edelman Financial Engines, LLC.
2. The address of the registered office of the limited liability company in the State of Delaware is 200 Bellevue Parkway, Suite 210, Bellevue Park Corporate Center, Wilmington, New Castle County, Delaware 19809. The name of the registered agent at such address upon whom process against the limited liability company may be served is Intertrust Corporate Services Delaware Ltd.

[Remainder of the page intentionally left blank]

IN WITNESS WHEREOF, the undersigned has executed this Amended and Restated Certificate of Formation as of the date first written above.

**FINANCIAL ENGINES, LLC**

By:   
Name: Lewis E. Antone, Jr.  
Title: EVP, General Counsel and Secretary

[Signature Page to Amendment to Certificate of Formation]

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