

## TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1  
Stylesheet Version v1.2

ETAS ID: TM504354

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT		
<b>NATURE OF CONVEYANCE:</b>	ENTITY CONVERSION		
<b>CONVEYING PARTY DATA</b>			
<b>Name</b>	<b>Formerly</b>	<b>Execution Date</b>	<b>Entity Type</b>
Commonwealth Equity Services, Inc.		07/27/2017	Corporation: MASSACHUSETTS
<b>RECEIVING PARTY DATA</b>			
<b>Name:</b>	Commonwealth Equity Services, LLC		
<b>Also Known As:</b>	Commonwealth Financial Network		
<b>Street Address:</b>	29 Sawyer Road		
<b>City:</b>	Waltham		
<b>State/Country:</b>	MASSACHUSETTS		
<b>Postal Code:</b>	02453		
<b>Entity Type:</b>	Limited Liability Company: MASSACHUSETTS		
<b>PROPERTY NUMBERS Total: 1</b>			
<b>Property Type</b>	<b>Number</b>	<b>Word Mark</b>	
<b>Registration Number:</b>	3892041	ADVISOR360	
<b>CORRESPONDENCE DATA</b>			
<b>Fax Number:</b>			
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>			
<b>Phone:</b>	9785825550		
<b>Email:</b>	loufranco@comcast.net		
<b>Correspondent Name:</b>	Louis J. Franco		
<b>Address Line 1:</b>	250 Arbor Street		
<b>Address Line 4:</b>	Lunenburg, MASSACHUSETTS 01462		
<b>NAME OF SUBMITTER:</b>	Louis J. Franco		
<b>SIGNATURE:</b>	/Louis J. Franco/		
<b>DATE SIGNED:</b>	01/03/2019		
<b>Total Attachments: 4</b>			
source=Articles of Entity Conversion from Corp to LLC filed with MA Sec State Aug 1 2017#page1.tif			
source=Articles of Entity Conversion from Corp to LLC filed with MA Sec State Aug 1 2017#page2.tif			
source=Articles of Entity Conversion from Corp to LLC filed with MA Sec State Aug 1 2017#page3.tif			
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OP \$40.00 3892041

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The Commonwealth of Massachusetts

William Francis Galvin  
Secretary of the Commonwealth  
One Ashburton Place, Boston, Massachusetts 02108-1512

001040673 ✓  
FORM MUST BE TYPED

Articles of Entity Conversion of a  
Domestic Business Corporation to a  
Domestic Other Entity

FORM MUST BE TYPED

(General Laws Chapter 156D, Section 9.53; 950 CMR 113.29)

(1) Exact name of corporation prior to conversion: Commonwealth Equity Services, Inc.

(2) Registered office address: 29 Sawyer Road, Waltham, MA 02453  
(number, street, city or town, state, zip code)

(3) New name after conversion, which shall satisfy the organic law of the surviving entity:  
Commonwealth Equity Services, LLC

(4) New type of entity: Limited Liability Company

(5) The plan of entity conversion was duly approved by the shareholders, and where required, by each separate voting group in the manner required by G.L. Chapter 156D and the articles of organization.

(6) Attach any additional sheets containing all information required to be set forth in the public organic document of the surviving entity.

(7) The conversion of the corporation shall be effective ~~at the time and~~ on the date approved by the Division, unless a later effective date is specified in accordance with the organic law of the surviving entity: \_\_\_\_\_

Signed by: \_\_\_\_\_  
(signature of authorized individual)

(Please check appropriate box)

- Chairman of the board of directors,
- President,
- Other officer,
- Court-appointed fiduciary.

on this 27th day of July, 2017

H  
P.C.

**D**

**The Commonwealth of Massachusetts**

William Francis Galvin

Secretary of the Commonwealth

One Ashburton Place, Room 1717, Boston, Massachusetts 02108-1512

**Limited Liability Company  
Certificate of Organization  
(General Laws Chapter 156C, Section 12)**

Federal Identification No.: \_\_\_\_\_

- (1) The exact name of the limited liability company:

Commonwealth Equity Services, LLC  
\_\_\_\_\_

- (2) The street address of the office in the commonwealth at which its records will be maintained:

29 Sawyer Road, Waltham MA 02453

- (3) The general character of the business:

Securities brokerage, investment placement and any other lawful purpose for which a limited liability company may be organized under the laws of the Commonwealth of Massachusetts.

- (4) Latest date of dissolution, if specified: \_\_\_\_\_

- (5) The name and street address, of the resident agent in the commonwealth:

NAME	ADDRESS
James B. Adelman	29 Sawyer Road Waltham, MA 02453

- (6) The name and business address, if different from office location, of each manager, if any:

NAME	ADDRESS
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- (7) The name and business address, if different from office location, of each person in addition to manager(s) authorized to execute documents filed with the Corporations Division, and at least one person shall be named if there are no managers:

NAME	ADDRESS
Joseph S. Deitch	29 Sawyer Road, Waltham MA 02453
Peter T. Wheeler	29 Sawyer Road, Waltham MA 02453
Wayne Bloom	29 Sawyer Road, Waltham MA 02453

- (8) The name and business address, if different from office location, of each person authorized to execute, acknowledge, deliver and record any recordable instrument purporting to affect an interest in real property recorded with a registry of deeds or district office of the land court:

NAME	ADDRESS
N/A	N/A

- (9) Additional matters:

Signed by (*by at least one authorized signatory*):



Peter T. Wheeler

Consent of resident agent:

1 James B. Adelman

resident agent of the above limited liability company, consent to my appointment as resident agent pursuant to G.L. c 156C § 12\*

*\*or attach resident agent's consent hereto.*

COMMONWEALTH OF MASSACHUSETTS

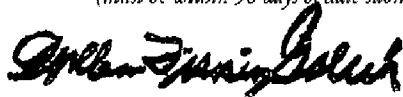
William Francis Galvin  
Secretary of the Commonwealth  
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(General Laws Chapter 156D, Section 9.53; 950 CMR 113.29)

9878  
9885

I hereby certify that upon examination of these articles of conversion, duly submitted to me, it appears that the provisions of the General Laws relative thereto have been complied with, and I hereby approve said articles; and the filing fee in the amount of \$ ~~700~~ having been paid, said articles are deemed to have been filed with me this 01 day of Aug, 20 17, at 9:46 a.m./p.m.  
time

Effective date: \_\_\_\_\_  
(must be within 90 days of date submitted)



WILLIAM FRANCIS GALVIN  
Secretary of the Commonwealth

Filing fee: Minimum \$250



Examiner



Name approval

TO BE FILLED IN BY CORPORATION  
Contact Information:

C

Commonwealth Financial Network

M

29 Sawyer Road

Waltham, MA 02453-3483

Telephone: 781-736-0700

Email: jadelman@commonwealth.com

Upon filing, a copy of this filing will be available at [www.sec.state.ma.us/cor](http://www.sec.state.ma.us/cor).  
If the document is rejected, a copy of the rejection sheet and rejected document will be available in the rejected queue.

SECRETARY OF THE  
COMMONWEALTH OF MASSACHUSETTS  
CORPORATIONS DIVISION  
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