

## TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1  
Stylesheet Version v1.2

ETAS ID: TM505577

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT		
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT OF THE ENTIRE INTEREST AND THE GOODWILL		
<b>CONVEYING PARTY DATA</b>			
<b>Name</b>	<b>Formerly</b>	<b>Execution Date</b>	<b>Entity Type</b>
Kestros Capital Management, LLC		10/19/2018	Limited Liability Company: DELAWARE
<b>RECEIVING PARTY DATA</b>			
<b>Name:</b>	Kestra Financial, Inc.		
<b>Street Address:</b>	5707 Southwest Parkway		
<b>Internal Address:</b>	Building 2, Suite 400		
<b>City:</b>	Austin		
<b>State/Country:</b>	TEXAS		
<b>Postal Code:</b>	78735		
<b>Entity Type:</b>	Corporation: DELAWARE		
<b>PROPERTY NUMBERS Total: 1</b>			
<b>Property Type</b>	<b>Number</b>	<b>Word Mark</b>	
<b>Registration Number:</b>	4622037	KESTROS	
<b>CORRESPONDENCE DATA</b>			
<b>Fax Number:</b>	3124568435		
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>			
<b>Phone:</b>	312.456.8400		
<b>Email:</b>	chiipmail@gtlaw.com		
<b>Correspondent Name:</b>	Greenberg Traurig, LLP		
<b>Address Line 1:</b>	77 W. Wacker Drive		
<b>Address Line 2:</b>	Suite 3100		
<b>Address Line 4:</b>	Chicago, ILLINOIS 60601		
<b>ATTORNEY DOCKET NUMBER:</b>	170274.010500		
<b>NAME OF SUBMITTER:</b>	Jeffrey P. Dunning		
<b>SIGNATURE:</b>	/Jeffrey P. Dunning/		
<b>DATE SIGNED:</b>	01/11/2019		
<b>Total Attachments: 1</b>			
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CH \$40.00 4622037

**TRADEMARK ASSIGNMENT**

This trademark assignment ("Assignment") is made and entered into as of October \_\_\_\_, 2018 by and between Kestros Capital Management, LLC, a Delaware limited liability corporation having a principal place of business at 2666 E. Bayshore Road, Suite C, Palo Alto, California 94303 ("Assignor") and Kestra Financial, Inc., a Delaware corporation having its principal place of business at 5707 Southwest Parkway, Building 2, Suite 400, Austin, Texas 78735 ("Assignee").

WHEREAS, Assignor is the owner of all right, title, and interest in and to the subject mark of the following United States trademark registration, together with all goodwill associated therewith (the "KESTROS mark"):

Mark	Reg. No.	Services
KESTROS	4,622,037	Class 36: financial advisory and consultancy services; financial analysis and consultation; financial and investment services, namely, consultation, advisory and development; financial research; financial risk management; investment advice

NOW, THEREFORE, in exchange for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, Assignor hereby assigns to Assignee all of Assignor's right, title, and interest in and to the KESTROS mark, including but not limited to the foregoing registration, all common law rights, and all goodwill associated therewith in the fields identified above.

IN WITNESS WHEREOF, the Parties have caused this Agreement to be executed by their duly authorized officers as of the date first set forth above.

ASSIGNOR:

Kestros Capital Management, LLC

By: 

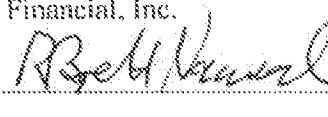
Name: Donald J. HEJNA, Jr.

Title: Managing Member

Dated: October 19, 2018

ASSIGNEE:

Kestra Financial, Inc.

By: 

Name: R. Brent NORWOOD

Title: EVP, General Counsel, Secretary

Dated: October 23, 2018