

TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

ETAS ID: TM509002

SUBMISSION TYPE:	NEW ASSIGNMENT		
NATURE OF CONVEYANCE:	SECURITY INTEREST		
CONVEYING PARTY DATA			
Name	Formerly	Execution Date	Entity Type
DOW JONES TRADEMARK HOLDINGS LLC	FORMERLY DINGO SMITH TRADEMARK HOLDINGS LLC	06/29/2012	Limited Liability Company: DELAWARE
RECEIVING PARTY DATA			
Name:	DJI Opco, LLC		
Street Address:	55 Water Street 29th Floor		
City:	New York		
State/Country:	NEW YORK		
Postal Code:	10041		
Entity Type:	Limited Liability Company: DELAWARE		
PROPERTY NUMBERS Total: 8			
Property Type	Number	Word Mark	
Serial Number:	75433655	DJGI	
Serial Number:	76146691	DJIA	
Serial Number:	75433656	DOW JONES GLOBAL INDEXES	
Serial Number:	75469258	THE DOW	
Serial Number:	75469256	THE DOW	
Serial Number:	75469257	THE DOW 10	
Serial Number:	77977453	THE GLOBAL DOW	
Serial Number:	86017671	DOW JONES INDUSTRIAL AVERAGE	
CORRESPONDENCE DATA			
Fax Number:			
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>			
Phone:	8883150732		
Email:	officeactions@norvellip.com		
Correspondent Name:	NORVELL IP LLC - Luis Moreau		
Address Line 1:	P.O. BOX 2461 NORVELL IP LLC		
Address Line 4:	CHICAGO, ILLINOIS 60690		
ATTORNEY DOCKET NUMBER:	13334-458		

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NAME OF SUBMITTER:	Luis Moreau
SIGNATURE:	/Luis Moreau/
DATE SIGNED:	02/06/2019
Total Attachments: 6 source=Short Form Trademark Security Agreement (Recordation Copy)#page1.tif source=Short Form Trademark Security Agreement (Recordation Copy)#page2.tif source=Short Form Trademark Security Agreement (Recordation Copy)#page3.tif source=Short Form Trademark Security Agreement (Recordation Copy)#page4.tif source=Short Form Trademark Security Agreement (Recordation Copy)#page5.tif source=Short Form Trademark Security Agreement (Recordation Copy)#page6.tif	

SHORT FORM TRADEMARK SECURITY AGREEMENT

This SHORT FORM TRADEMARK SECURITY AGREEMENT ("Agreement") dated as of June 29, 2012, is entered into between Dow Jones Trademark Holdings LLC (f/k/a Dingo Smith Trademark Holdings LLC), a Delaware limited liability company, located at 1211 Avenue of the Americas, New York, NY 10036 ("Grantor") and DJI Opco, LLC, a Delaware limited liability company, located at 55 Water Street, 29th Floor, New York, NY 10041 ("Secured Party").

WITNESSETH

WHEREAS, pursuant to a Trademark Security Agreement, dated as of June 29, 2012, between Grantor and Secured Party (the "Trademark Security Agreement"), Grantor is granting to the Secured Party a first priority continuing security interest and lien upon the Collateral (as defined in the Trademark Security Agreement); and

WHEREAS, the parties desire to record this security interest and lien in the United States Patent and Trademark Office (the "PTO") against the U.S. registered trademarks and trademark applications set forth on Schedule A hereto and are hereby entering into this Agreement for purposes of submission to the PTO.

NOW, THEREFORE, in consideration of the mutual promises and covenants herein contained and contained in the Trademark Security Agreement and for other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the parties hereto agree as follows:

1. Definitions

Capitalized terms not otherwise defined herein shall have the meanings set forth in the Trademark Security Agreement.

2. Grant of Security Interest

To secure the Secured Obligations and any expenses incurred through the exercise of any remedies under the Trademark Security Agreement, Grantor hereby grants to Secured Party a first priority continuing security interest in and lien upon the Collateral now owned or hereafter acquired, (including but not limited to the trademark registrations and applications set forth on Schedule A hereto).

3. Rights and Remedies

Grantor does hereby acknowledge and affirm that the rights and remedies of the Secured Party with respect to the security interest in the Collateral are more fully set forth in the Trademark Security Agreement, the terms and conditions of which (including the remedies provided for therein) are incorporated by reference herein as if fully set forth herein. In the event

that any provision of this Agreement is deemed to conflict with the Trademark Security Agreement, the provisions of the Trademark Security Agreement shall control.

4. Governing Law

THIS AGREEMENT SHALL BE GOVERNED BY AND CONSTRUED IN ACCORDANCE WITH THE LAWS OF THE STATE OF NEW YORK WITHOUT REFERENCE TO OR INCLUSION OF THE PRINCIPLES OF CHOICE OF LAW OR CONFLICTS OF LAW OF THAT JURISDICTION. ANY DISPUTE ARISING OUT OF OR RELATING TO THIS AGREEMENT SHALL BE BROUGHT AND PROSECUTED IN ACCORDANCE WITH SECTION 14.6 OF THE PROJECT DIAMOND LICENSE AGREEMENT.

5. Successors and Assigns

This Agreement shall be binding upon and inure to the benefit of the parties, all future holders of the Collateral and their respective successors and assign, except that (i) Grantor may not assign or transfer any of its rights or obligations under this Agreement without the prior written consent of Secured Party, and (ii) Secured Party may not assign or transfer any of its rights or obligations under this Agreement without the prior written consent of Grantor other than in connection with a permitted assignment of the Project Diamond License Agreement.

6. Counterparts

This Agreement may be executed in any number of counterparts, each of which shall be deemed an original and all of which together shall constitute one instrument.

[Signature page follows]

GRANTOR:

DOW JONES TRADEMARK HOLDINGS LLC

By: 

Name: James Marcovitz

Title: Manager

SECURED PARTY:

DJI OPCO LLC

By: 

Name: Stephen Rive

Title: Chief Commercial Officer

Signature Page to Short Form Trademark Security Agreement

SCHEDULE A

Mark	Country	Status	Serial No.	Filing Date	Reg. No.	Reg. Date¹
DJIA	Australia	Registered	1595098 IR 1183991	11/11/2013	1595098 IR 1183991	11/11/2013
DOW JONES ASIAN TITANS	Australia	Registered	826321	3/6/2000	826321	4/30/2001
DOW JONES EMERGING TITANS	Australia	Registered	826318	3/6/2000	826318	1/6/2003
DOW JONES GLOBAL TITANS	Australia	Registered	825988	3/2/2000	825988	8/26/2002
THE DOW	Australia	Registered	758479	3/30/1998	758479	7/27/2000
THE GLOBAL DOW	Australia	Registered	1259346	8/28/2008	1259346	8/28/2008
DOW JONES INDUSTRIAL AVERAGE	Australia	Registered	1599249 IR 1188254	11/11/2013	1599249 IR 1188254	11/11/2013
THE DOW	Austria	Registered	208298	4/1/1998	178230	10/5/1998
THE DOW 10	Austria	Registered	208398	4/1/1998	178231	10/5/1998
DOW JONES ASIAN TITANS	Benelux	Registered	961805	4/6/2000	681032	4/6/2000
DOW JONES EMERGING TITANS	Benelux	Registered	961806	4/6/2000	681033	4/6/2000
DOW JONES GLOBAL TITANS INDEX	Benelux	Registered	844401	8/17/1999	660943	8/17/1999
THE DOW	Benelux	Registered	913374	4/1/1998	633306	2/2/1999
DJIA	Brazil	Registered	840710313	11/14/2013	840710313	08/30/2016
DOW JONES INDUSTRIAL AVERAGE	Brazil	Registered	840710356	11/14/2013	840710356	8/30/2016
DJIA	Canada	Registered	1651541	11/12/2013	895599	02/02/2015
THE DOW	Canada	Registered	874435	4/16/1998	533115	9/21/2000
DOW JONES INDUSTRIAL AVERAGE	Canada	Registered	1651542	11/12/2013	916831	10/13/2015
DJIA	China	Registered	IR 1183991	11/11/2013	IR1183991	11/11/2013
DOW JONES INDUSTRIAL AVERAGE	China	Registered	IR 1188254	11/11/2013	IR1188254	11/11/2013
THE DOW	China	Registered	9835890	4/16/1998	1292439	7/7/1999
THE DOW 10	China	Registered	9835891	4/16/1998	1292440	7/7/1999
THE DOW	Denmark	Registered	16001998	4/2/1998	200100151	1/8/2001
THE DOW 10	Denmark	Registered	16011998	4/2/1998	200100152	1/8/2001
DJIA	European Union	Registered	IR 1183991	11/11/2013	IR 1183991	11/11/2013
DOW JONES INDUSTRIAL AVERAGE	European Union	Registered	IR 1188254	11/11/2013	IR 1188254	11/11/2013
THE GLOBAL DOW	European Union	Registered	7153745	8/12/2008	7153745	3/17/2009
THE DOW	Finland	Registered	199801166	3/30/1998	219822	12/29/2000
THE DOW 10	Finland	Registered	199801167	3/30/1998	219823	12/29/2000
DOW JONES GLOBAL TITANS INDEX	France	Registered	99800251	6/30/1999	99800251	6/30/1999
THE DOW	France	Registered	98725654	3/31/1998	98725654	3/1/2002
DOW JONES ASIAN TITANS	Germany	Registered	30042850	6/6/2000	30042850	10/2/2000
DOW JONES EMERGING TITANS	Germany	Registered	30042849	6/6/2000	30042849	10/2/2000
DOW JONES GLOBAL TITANS INDEX	Germany	Registered	399381163	7/1/1999	399381163	8/24/1999
THE DOW	Germany	Registered	39818052	3/31/1998	39818052	9/2/1998
DJIA	Hong Kong	Registered	302798911	11/12/2013	302798911	04/25/2014
THE DOW	Hong Kong	Registered	984151	4/1/1998	2000010624	4/1/1998
THE DOW 10	Hong Kong	Registered	984152	4/1/1998	2000010625	4/1/1998
THE GLOBAL DOW	Hong Kong	Registered	301179847	8/13/2008	301179847	2/6/2009
DOW JONES INDUSTRIAL AVERAGE	Hong Kong	Registered	302798920	11/12/2013	302798920	4/25/2014
DJIA	India	Registered	2684445 IR 1183991	11/11/2013	2684445 IR 1183991	11/11/2013
DOW JONES INDUSTRIAL AVERAGE	India	Registered	2697181 IR 1188254	11/11/2013	2697181 IR 1188254	11/11/2013

¹ The Registration Date listed for WIPO extensions is the date of the WIPO registration, not the date an individual country granted protection.

Mark	Country	Status	Serial No.	Filing Date	Reg. No.	Reg. Date ¹
THE DOW	India	Registered	2040943	10/20/2010	1061852	6/4/2012
THE DOW	Indonesia	Registered	2008002706	4/16/2008	181592	10/16/2008
THE DOW	Ireland	Registered	981140	3/30/1998	217319	3/30/1998
THE DOW	Italy	Registered	983199	3/31/1998	833363	1/18/2001
DJIA	Japan	Registered	IR1183991	11/11/2013	IR1183991	11/11/2013
DOW JONES ASIAN TITANS	Japan	Registered	2000025474	3/15/2000	4528022	12/7/2001
DOW JONES INDUSTRIAL AVERAGE	Japan	Registered	IR1188254	11/11/2013	IR1188254	11/11/2013
DOW JONES EMERGING TITANS	Japan	Registered	2000025472	3/15/2000	4528020	12/7/2001
DOW JONES GLOBAL TITANS	Japan	Registered	2000025475	3/15/2000	4528023	12/7/2001
THE DOW	Japan	Registered	1998029810	4/10/1998	4302571	8/6/1999
THE DOW 10	Japan	Registered	1998029811	4/10/1998	4298014	7/23/1999
THE GLOBAL DOW	Japan	Registered	2008066884	8/13/2008	5232599	5/22/2009
THE DOW	Malaysia	Registered	9804711	4/16/1998	98004711	11/18/2002
DJIA	Mexico	Registered	1440035 IR 1183991	11/11/2013	1479519 IR 1183991	11/11/2013
DJIA	Mexico	Registered	1440036 IR 1183991	11/11/2013	1479520 IR 1183991	11/11/2013
THE DOW	Mexico	Registered	327912	3/31/1998	619847	3/31/1998
DOW JONES INDUSTRIAL AVERAGE	Mexico	Registered	1446958 IR 1188254	11/11/2013	1472507 IR 1188254	11/11/2013
THE DOW	Norway	Registered	19983121	4/8/1998	205513	11/9/2000
THE DOW 10	Norway	Registered	19983122	4/8/1998	205514	11/9/2000
THE DOW	Philippines	Registered	41998003132	4/29/1998	41998003132	12/14/2003
DJIA	Republic of Korea	Registered	IR 1183991	11/11/2013	IR 1183991	11/11/2013
DOW JONES INDUSTRIAL AVERAGE	Republic of Korea	Registered	IR 1188254	11/11/2013	IR 1188254	11/11/2013
THE DOW	Republic of Korea	Registered	982573	3/28/1998	63095	8/24/2000
THE DOW 10	Republic of Korea	Registered	982574	3/28/1998	63094	8/24/2000
DJIA	Russian Federation	Registered	IR 1183991	11/11/2013	IR 1183991	11/11/2013
DOW JONES INDUSTRIAL AVERAGE	Russian Federation	Registered	IR 1188254	11/11/2013	IR 1188254	11/11/2013
DJIA	Singapore	Registered	T1319779A IR 1183991	11/11/2013	T1319779A IR 1183991	11/11/2013
DOW JONES ASIAN TITANS	Singapore	Registered	T0004130E	3/17/2000	T0004130E	3/28/2002
DOW JONES EMERGING TITANS	Singapore	Registered	T0004734F	3/24/2000	T0004734F	11/13/2001
DOW JONES GLOBAL TITANS	Singapore	Registered	T0003905Z	3/13/2000	T0003905Z	11/13/2001
THE GLOBAL DOW	Singapore	Registered	T0810991Z	8/14/2008	T0810991Z	8/14/2008
THE DOW	Singapore	Registered	T9802862J	3/30/1998	T9802862J	3/30/1998
DOW JONES INDUSTRIAL AVERAGE	Singapore	Registered	T1400281A IR 1188254	11/11/2013	T1400281A IR 1188254	11/11/2013
THE DOW	South Africa	Registered	9805377	3/31/1998	9805377	4/4/2002
THE DOW	Spain	Registered	2153727	3/31/1998	2153727	2/15/2001
THE DOW	Sweden	Registered	9802648	3/30/1998	343575	1/12/2001
THE DOW 10	Sweden	Registered	9802649	3/30/1998	343576	1/12/2001
DJIA	Switzerland	Registered	IR 1183991	11/11/2013	IR 1183991	11/11/2013
DOW JONES INDUSTRIAL AVERAGE	Switzerland	Registered	IR 1188254	11/11/2013	IR 1188254	11/11/2013
DOW JONES ASIAN TITANS	Switzerland	Registered	42942000	4/10/2000	477665	11/2/2000
DOW JONES EMERGING TITANS	Switzerland	Registered	42922000	4/10/2000	477663	11/2/2000
DOW JONES GLOBAL TITANS INDEX	Switzerland	Registered	579299	6/30/1999	466546	11/10/1999
THE DOW	Switzerland	Registered	25841998	3/30/1998	454724	3/30/1998
THE DOW	Taiwan R.O.C.	Registered	8964316	11/6/2000	160337	3/1/2002
THE DOW 10	Taiwan R.O.C.	Registered	8964317	11/6/2000	160335	5/1/2002

Mark	Country	Status	Serial No.	Filing Date	Reg. No.	Reg. Date ¹
THE DOW	Thailand	Registered	358148	4/10/1998	358148	4/10/1998
THE DOW	United Kingdom	Registered	2162703	3/30/1998	2162703	3/30/1998
DJGI	United States of America	Registered	75433655	2/3/1998	2226036	2/23/1999
DJIA	United States of America	Registered	76146691	10/12/2000	2509568	11/20/2001
DOW JONES GLOBAL INDEXES	United States of America	Registered	75433656	2/3/1998	2221704	2/2/1999
THE DOW	United States of America	Registered	75469258	4/6/1998	2585636	6/25/2002
THE DOW	United States of America	Registered	75469256	4/6/1998	2403154	11/14/2000
THE DOW 10	United States of America	Registered	75469257	4/6/1998	2565525	4/30/2002
THE GLOBAL DOW	United States of America	Registered	77977453	7/9/2008	3665026	8/4/2009
DOW JONES INDUSTRIAL AVERAGE	United States of America	Registered	86017671	7/23/2013	4616047	10/7/2014
DJIA	WIPO	Registered	1183991	11/11/2013	1183991	11/11/2013
DOW JONES INDUSTRIAL AVERAGE	WIPO	Registered	1188254	11/11/2013	1188254	11/11/2013