

TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

ETAS ID: TM509070

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|--------------------------------------------|------------------------------------------|------------------------------------------|----------------------------------|
| SUBMISSION TYPE: | NEW ASSIGNMENT | | |
| NATURE OF CONVEYANCE: | RELEASE OF SECURITY INTEREST | | |
| CONVEYING PARTY DATA | | | |
| Name | Formerly | Execution Date | Entity Type |
| Antares Capital LP, as Collateral Agent | | 02/01/2019 | Limited Partnership: DELAWARE |
| RECEIVING PARTY DATA | | | |
| Name: | Blu Giant, LLC | | |
| Street Address: | 17605 Wright Street | | |
| City: | Omaha | | |
| State/Country: | NEBRASKA | | |
| Postal Code: | 68130 | | |
| Entity Type: | Limited Liability Company: NEBRASKA | | |
| Name: | Northern Lights Compliance Services, LLC | | |
| Street Address: | 17605 Wright Street | | |
| City: | Omaha | | |
| State/Country: | NEBRASKA | | |
| Postal Code: | 68130 | | |
| Entity Type: | Limited Liability Company: NEBRASKA | | |
| Name: | Northstar Financial Services Group, LLC | | |
| Street Address: | 17605 Wright Street | | |
| City: | Omaha | | |
| State/Country: | NEBRASKA | | |
| Postal Code: | 68130 | | |
| Entity Type: | Limited Liability Company: DELAWARE | | |
| PROPERTY NUMBERS Total: 12 | | | |
| Property Type | Number | Word Mark | |
| Registration Number: | 3296396 | GEMCOM, LLC | |
| Registration Number: | 4768332 | NORTHERN LIGHTS COMPLIANCE SERVICES | |
| Registration Number: | 3443491 | GEMINI FUND SERVICES, LLC | |
| Registration Number: | 4244958 | GEMINI FUND SERVICES, LLC POOLED INVESTM | |
| Registration Number: | 4776207 | BLU GIANT | |
| Registration Number: | 4776210 | BLU GIANT | |
| Registration Number: | 5180361 | GEMINI COMPANIES | |
| TRADEMARK | | | |

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| Property Type | Number | Word Mark |
|----------------------|----------|----------------------------|
| Registration Number: | 5180363 | GEMINI ALTERNATIVE FUNDS |
| Registration Number: | 5180364 | GEMINI HEDGE FUND SERVICES |
| Registration Number: | 5180366 | GEMINI FUND SERVICES |
| Serial Number: | 87721207 | GEMINI |
| Serial Number: | 87721357 | GEMINI |

CORRESPONDENCE DATA

Fax Number: 3125774565

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: 312-577-8265

Email: kristin.brozovic@kattenlaw.com

Correspondent Name: Kristin Brozovic c/o Katten

Address Line 1: 525 W Monroe St

Address Line 4: Chicago, ILLINOIS 60661

| | |
|-------------------------|--------------------|
| ATTORNEY DOCKET NUMBER: | 387132-485 |
| NAME OF SUBMITTER: | Kristin Brozovic |
| SIGNATURE: | /Kristin Brozovic/ |
| DATE SIGNED: | 02/07/2019 |

Total Attachments: 3

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RELEASE OF SECURITY INTEREST IN CERTAIN TRADEMARKS (FIRST LIEN)

Dated: February 1, 2019

WHEREAS, pursuant to that certain First Lien Trademark Security Agreement dated as of May 25, 2018, made by NorthStar Financial Services Group, LLC, a Delaware series limited liability company (“**NFSG**”), Blu Giant, LLC, a Nebraska limited liability company (“**Blu**”), Northern Lights Compliance Services, LLC, a Nebraska limited liability company (“**NLCS**”; together with NFSG and Blu, the “**Specified Debtors**”), CLS Investments, LLC, a Nebraska limited liability company (“**CLS**”), F T J FundChoice, L.L.C., a Missouri limited liability company (“**FTJ**”) and Orion Advisor Services, LLC, a Nebraska limited liability company (“**Orion**”; together with the Specified Debtors, CLS and FTJ, the “**Debtors**”) in favor of ANTARES CAPITAL LP, as collateral agent (in such capacity, together with its successors and permitted assigns, the “**Collateral Agent**”), which was recorded with the United States Patent and Trademark Office with regard to certain trademarks of the Specified Debtors on August 22, 2018, at Reel 6420, Frame 0584, the Specified Debtors granted to Administrative Agent for the benefit of certain secured parties (the “**Secured Creditors**”) a security interest in and lien on all of the Specified Debtors’ interests in and to the Trademark Collateral (as such term is used in the First Lien Trademark Security Agreement) described therein, including without limitation, those trademarks and trademark applications described on the attached Schedule A, made a part hereof (collectively, the “**Specified Trademark Collateral**”);

WHEREAS, the Collateral Agent, on behalf of itself and the Secured Creditors, desires to release its security interest in, and lien and any other encumbrance on, the Specified Trademark Collateral, including the trademark registrations and applications listed on Schedule A, made a part hereof;

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the Collateral Agent, on behalf of itself and the Secured Creditors does hereby release its security interest in and lien on the Specified Trademark Collateral (including the trademarks registrations and applications listed on Schedule A) and reassigns to the Specified Debtors, without representation or warranty, express or implied, and without recourse, all right, title and interest of the Collateral Agent and the Secured Creditors, in and to the Specified Debtors’ interest in such Specified Trademark Collateral.

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ANTARES CAPITAL LP

By: Devasena Vallabhaneni
Name: Devasena Vallabhaneni
Title: Duly Authorized Signatory

SCHEDULE A
to
RELEASE OF SECURITY INTEREST IN CERTAIN TRADEMARKS (FIRST LIEN)

| Mark | Registered Owner | Serial No | Filing Date | Registration No. | Registration Date |
|-------------------------------------------------------|------------------------------------------|-----------|-------------|------------------|-------------------|
| GEMCOM, LLC | Blu Giant, LLC | 77037643 | 11/6/06 | 3296396 | 9/25/07 |
| NORTHERN LIGHTS COMPLIANCE SERVICES | Northern Lights Compliance Services, LLC | 86451713 | 11/12/14 | 4768332 | 7/7/15 |
| GEMINI FUND SERVICES, LLC | Northstar Financial Services Group, LLC | 77037554 | 11/6/06 | 3443491 | 6/10/08 |
| GEMINI FUND SERVICES, LLC POOLED INVESTMENT SOLUTIONS | Northstar Financial Services Group, LLC | 85556695 | 2/29/12 | 4244958 | 11/20/12 |
| BLU GIANT | Northstar Financial Services Group, LLC | 86451706 | 11/12/14 | 4776207 | 7/21/15 |
| BLU GIANT | Northstar Financial Services Group, LLC | 86451733 | 11/12/14 | 4776210 | 7/21/15 |
| GEMINI COMPANIES | Northstar Financial Services Group, LLC | 87143263 | 8/18/16 | 5180361 | 4/11/17 |
| GEMINI ALTERNATIVE FUNDS | Northstar Financial Services Group, LLC | 87143270 | 8/18/16 | 5180363 | 4/11/17 |
| GEMINI HEDGE FUND SERVICES | Northstar Financial Services Group, LLC | 87143276 | 8/18/16 | 5180364 | 1/24/17 |
| GEMINI FUND SERVICES | Northstar Financial Services Group, LLC | 87143282 | 8/18/16 | 5180366 | 4/11/17 |
| GEMINI | Northstar Financial Services Group, LLC | 87721207 | 12/14/17 | N/A | N/A |
| GEMINI | Northstar Financial Services Group, LLC | 87721357 | 12/14/17 | N/A | N/A |