

02/13/2019

2/11/19

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To the Director of the U. S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies):

Summit Strategies, Inc.

- Individual(s)
- Partnership
- Corporation- State: Missouri
- Other _____
- Association
- Limited Partnership

Citizenship (see guidelines) Missouri

Additional names of conveying parties attached? Yes No

3. Nature of conveyance/Execution Date(s) :

Execution Date(s) January 30, 2019

- Assignment
- Security Agreement
- Other _____
- Merger
- Change of Name

2. Name and address of receiving party(ies)

Additional names, addresses, or citizenship attached? Yes No

Name: Mercer (US) Inc.

Street Address: 99 High Street

City: Boston

State: Massachusetts

Country: USA Zip: 02110

- Individual(s) Citizenship _____
- Association Citizenship _____
- Partnership Citizenship _____
- Limited Partnership Citizenship _____
- Corporation Citizenship DELAWARE
- Other _____ Citizenship _____

If assignee is not domiciled in the United States, a domestic representative designation is attached: Yes No
(Designations must be a separate document from assignment)

4. Application number(s) or registration number(s) and identification or description of the Trademark.

A. Trademark Application No.(s) _____ Text

B. Trademark Registration No.(s)

3,976,223

Additional sheet(s) attached? Yes No

C. Identification or Description of Trademark(s) (and Filing Date if Application or Registration Number is unknown):

For investment consultation provided, to taxable and tax-exempt benefit plan sponsors, such as corporate, public, Taft-Hartley employee benefit retirement plan, endowments, foundations, and not-for-profit corporations and insurance reserves.

5. Name & address of party to whom correspondence concerning document should be mailed:

Name: Paul M. Denk

Internal Address: _____

Street Address: 763 S. New Ballas Rd., Ste. 305

City: St. Louis

State: MO Zip: 63141

Phone Number: 314-872-8136

Docket Number: _____

Email Address: denkpatentlaw@earthlink.net

6. Total number of applications and registrations involved:

1

7. Total fee (37 CFR 2.6(b)(6) & 3.41) \$ ADP

- Authorized to be charged to deposit account
- Enclosed

8. Payment Information:

Deposit Account Number 040731

Authorized User Name Paul M. Denk

9. Signature:

Signature

Paul M. Denk

Name of Person Signing

Date: 2-11-2019

Total number of pages including cover sheet, attachments, and document: 3

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to: Mail Stop Assignment Recordation Branch, Director of the USPTO, P.O. Box 1450, Alexandria, VA 22313-1450.



2-11-2019

TRADEMARK

SERVICEMARK ASSIGNMENT

THIS ASSIGNMENT, made and entered into this 30th day of January, 2019, by and between Summit Strategies, Inc., a Missouri corporation, located at 8182 Maryland Avenue, 6th floor, St. Louis, Missouri 63105, hereinafter referred to as SUMMIT STRATEGIES, and Mercer (US) Inc., a Delaware corporation, located at 99 High Street, Boston, Massachusetts 02110, hereinafter referred to as MERCER.

WHEREAS, SUMMIT STRATEGIES has obtained servicemark registration No. 3, 976,223, upon the term SUMMIT STRATEGIES GROUP + Design, registered on June 14, 2011, and has maintained the existence of said registration, and MERCER herein desires to obtain an assignment of the servicemark, and its registration, from SUMMIT STRATEGIES.

NOW, THEREFORE, in consideration for the foregoing promises and mutual covenants, the assignment of the identified servicemark is made herein.

SUMMIT STRATEGIES herein assigns all right, title and interest in and to registration No. 3,976,223, upon the servicemark SUMMIT STRATEGIES GROUP + Design, and included in the assignment is the goodwill symbolized through usage of the servicemark in performance of the identified services set forth in the said registration. The consideration for this assignment is one dollar \$(1.00), and other good and valuable consideration, which is acknowledged as having been received by SUMMIT STRATEGIES in the processing of the sale of this asset to MERCER.

Included in the assignment is the right of MERCER to enforce the identified servicemark registration, in the courts, against any infringement, which may have heretofore taken place, is currently being perpetrated, or which may occur in the future. And, SUMMIT STRATEGIES agrees to cooperate with MERCER in the processing of any litigation required to protect the identified servicemark registration, and MERCER agrees to pay approved reasonable costs incurred by SUMMIT STRATEGIES in cooperating in the enforcement of the identified servicemark registration.

This assignment and sale of the identified servicemark was made and entered into as of the date previously set forth herein.

IN WITNESS WHEREOF, the undersigned have executed this servicemark assignment as hereinafter provided.

SUMMIT STRATEGIES, INC.

By: [Signature]
Name: Timothy A Hayden
Title: Principal

STATE OF MISSOURI)
) SS:
COUNTY OF ST. LOUIS)

On this 30th day of January, 2019, before me, a Notary Public, personally appeared Tim Hayden, to me known to be the person named in and who executed the above assignment, and acknowledged to me that he executed the same for the uses and purposes therein mentioned, as her own free act and deed.

[SEAL] 

[Signature]
Notary Public

My Commission Expires: 8/7/2021

United States Patent and Trademark Office

- Sales Receipt -

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