

## TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1  
Stylesheet Version v1.2

ETAS ID: TM511093

<b>SUBMISSION TYPE:</b>	RESUBMISSION
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT OF THE ENTIRE INTEREST AND THE GOODWILL
<b>RESUBMIT DOCUMENT ID:</b>	900481192

## CONVEYING PARTY DATA

Name	Formerly	Execution Date	Entity Type
Series 1 of NorthStar Financial Services Group, LLC		12/19/2018	Limited Liability Company: DELAWARE

## RECEIVING PARTY DATA

<b>Name:</b>	Gemini Fund Services, LLC
<b>Street Address:</b>	17605 Wright Street
<b>City:</b>	Omaha
<b>State/Country:</b>	NEBRASKA
<b>Postal Code:</b>	68130
<b>Entity Type:</b>	Limited Liability Company: NEBRASKA

## PROPERTY NUMBERS Total: 10

Property Type	Number	Word Mark
<b>Registration Number:</b>	3443491	GEMINI FUND SERVICES, LLC
<b>Registration Number:</b>	4244958	GEMINI FUND SERVICES, LLC POOLED INVESTM
<b>Registration Number:</b>	4776207	BLU GIANT
<b>Registration Number:</b>	4776210	BLU GIANT
<b>Registration Number:</b>	5180361	GEMINI COMPANIES
<b>Registration Number:</b>	5180363	GEMINI ALTERNATIVE FUNDS
<b>Registration Number:</b>	5180364	GEMINI HEDGE FUND SERVICES
<b>Registration Number:</b>	5180366	GEMINI FUND SERVICES
<b>Serial Number:</b>	87721207	GEMINI
<b>Serial Number:</b>	87721357	GEMINI

## CORRESPONDENCE DATA

Fax Number:

*Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.*

Phone: 4028967773

Email: allison.hardy@thegeminicompanies.com

Correspondent Name: Allison Hardy

Address Line 1: 17605 Wright Street

TRADEMARK

<b>Address Line 4:</b>	Omaha, NEBRASKA 68130
<b>NAME OF SUBMITTER:</b>	Allison Hardy
<b>SIGNATURE:</b>	/s/ Allison Hardy
<b>DATE SIGNED:</b>	02/22/2019
<b>Total Attachments: 2</b> source=Gemini Trademarks Assignment and Assumption 12.19.18 (executed)#page1.tif source=Gemini Trademarks Assignment and Assumption 12.19.18 (executed)#page2.tif	



December 19, 2018

Gemini Fund Services, LLC  
17605 Wright Street  
Omaha, NE 68130  
Attention: Kevin Wolf

**Re: Gemini Trademarks Assignment and Assumption**

Dear Mr. Wolf:

This letter of assignment sets forth the terms upon which Series 1 of NorthStar Financial Services Group, LLC (“Series 1”) has agreed to assign to Gemini Fund Services, LLC (“Gemini”), certain existing and pending trademarks, together with the goodwill of the business associated therewith and the portion of the business to which the trademarks pertain, and Gemini has agreed to accept such assignment.

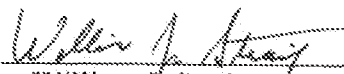
I. Background:

- a. Gemini Fund Services, LLC is a Nebraska limited liability company that is an asset of Series 1;
- b. Series 1 is the registered owner of the following marks that are registered in the United States Patent and Trademark Office:
  - i. Registration No. 3443491, dated June 10, 2008;
  - ii. Registration No. 4244958, dated November 20, 2012;
  - iii. Registration No. 4776207, dated July 21, 2015;
  - iv. Registration No. 4776210, dated July 21, 2015;
  - v. Registration No. 5180361, dated April 11, 2017;
  - vi. Registration No. 5180363, dated April 11, 2017;
  - vii. Registration No. 5180364, dated April 11, 2017; and
  - viii. Registration No. 5180366, dated April 11, 2017 (the “Existing Marks”);
- c. Gemini agrees to maintain and protect the Existing Marks as reasonably practicable; and
- d. As part of the new branding strategy for Gemini and several of its sister companies (collectively, the “Gemini Companies”), Series 1 filed two new trademark applications, which are pending (Serial No. 87721207, filed December 14, 2017, and Serial No. 87721357, filed December 14, 2017) and the parties desire to have such new trademarks, once registered (together, the “Pending Marks”; and collectively with the Existing Marks, the “Marks”), owned by Gemini.

2. Assignment and assumption. Series I hereby conveys, transfers and assigns to Gemini, and Gemini hereby assumes, all of Series I's right, title, and interest of whatever kind and nature, in the Marks and the goodwill of the business associated therewith and the portion of the business to which the Marks pertain, whether now existing or hereafter obtaining, including, without limitation, the rights to enforce its ownership in the Marks or sue for all past, present or future infringement or misappropriations of the Marks.

Sincerely,

Series I, NorthStar Financial Services Group, LLC




By: William J. Strait,

Title: General Counsel for each of the companies comprising the assets of Series I of NorthStar Financial Services Group, LLC

**ACCEPTED AND AGREED:**

Gemini Fund Services, LLC



By: Kevin Wolf

Title: President