

TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

ETAS ID: TM518781

SUBMISSION TYPE:	NEW ASSIGNMENT		
NATURE OF CONVEYANCE:	ASSIGNMENT OF THE ENTIRE INTEREST AND THE GOODWILL		
CONVEYING PARTY DATA			
Name	Formerly	Execution Date	Entity Type
Wells Fargo & Company		11/12/2018	Corporation: DELAWARE
RECEIVING PARTY DATA			
Name:	Brian R. Larson		
Street Address:	18920 Park Avenue		
City:	Wayzata		
State/Country:	MINNESOTA		
Postal Code:	55391		
Entity Type:	INDIVIDUAL: UNITED STATES		
PROPERTY NUMBERS Total: 1			
Property Type	Number	Word Mark	
Registration Number:	4175689	FERNHILL	
CORRESPONDENCE DATA			
Fax Number:	2125750671		
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>			
Phone:	212-790-9200		
Email:	Trademark@cll.com, ejs@ccl.com, njh@ccl.com		
Correspondent Name:	Eric J. Shimanoff, c/o Cowan, Liebowitz		
Address Line 1:	114 West 47th Street		
Address Line 2:	21st Floor		
Address Line 4:	New York, NEW YORK 10036-1525		
NAME OF SUBMITTER:	Eric J. Shimanoff		
SIGNATURE:	/Eric J. Shimanoff/		
DATE SIGNED:	04/12/2019		
Total Attachments: 3			
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OP \$40.00 4175689

ASSIGNMENT AGREEMENT

This Assignment Agreement (the "Assignment"), dated November 12, 2018, is made by and between Wells Fargo & Company, a Delaware corporation with its principal place of business at 420 Montgomery Street, San Francisco, CA 94104 ("Assignor") and Brian R. Larson, an individual and Minnesota resident with his place of residence at 18920 Park Avenue, Wayzata, MN 55391 ("Assignee").

WHEREAS, Assignor is the record owner of the following trademark and registration:

The FERNHILL trademark and U.S. Trademark Registration No. 4,175,689 ("Mark" and "Registration") attached hereto as Exhibit A;

WHEREAS, Assignee is a financial advisor who was employed by Wells Fargo First Clearing, LLC ("WFFC") until October 12, 2018, and now is employed by Morgan Stanley Smith Barney;

WHEREAS, since at least as early as June 30, 2003 and continuously to the present, Assignee has used the Mark with the public to promote his financial planning and investment advisory services; and

WHEREAS, pursuant to an Agreement to Assign a Trademark dated August 1, 2018, the parties agreed to assign the Mark and Registration upon Assignee no longer being employed by WFFC.

NOW THEREFORE, for valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the parties hereto agree as follows:

1. **Assignment.** Assignor hereby sells, assigns and transfers to Assignee and its successors and assigns all of Assignor's right, title and interest, if any, in and to the Mark and the Registration, together with the goodwill of the business in connection with which the said trademark was used, if any.

2. **Cooperation.** Assignor agrees to execute and deliver at the request and expense of Assignee all papers, instruments, and assignments which are deemed necessary or desirable by Assignee to perfect in it the rights, title, and interest, if any, herein conveyed.

3. **Recordation.** The Parties hereby request and authorize the relevant authority at the United States Patent and Trademark Office to record Assignee as the assignee and owner of the Mark and Registration.

TRADEMARK

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4. **Entire Agreement.** This Assignment is intended by the parties to constitute the entire agreement of the parties with respect to the transactions contemplated by this assignment. This Assignment supersedes any and all prior understandings, written or oral, between the parties with regard to the Mark and Registration.

IN WITNESS WHEREOF, Assignor and Assignee have caused this Assignment to be duly executed by their duly authorized representative as of the day and year first above written.

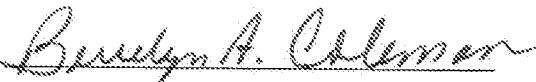
Brian R. Larson
ASSIGNEE



Nov. 12, 2018

Date

Wells Fargo & Company
ASSIGNOR

By: 

Nov. 15, 2018

Date

Name: Bevelyn A. Coleman

Title: EVP & Deputy General Counsel

EXHIBIT A

United States of America
United States Patent and Trademark Office

FERNHILL

Reg. No. 4,175,689
Registered July 17, 2012
Int. Cl.: 36

WELLS FARGO & COMPANY (DELAWARE CORPORATION)
17TH FLOOR, MAC N9305-176
SIXTH & MARQUETTE
MINNEAPOLIS, MN 55479

SERVICE MARK

PRINCIPAL REGISTER

FOR: FINANCIAL PLANNING AND INVESTMENT ADVISORY SERVICES, FINANCIAL ASSET MANAGEMENT, INVESTMENT MANAGEMENT, INVESTMENT CONSULTATION, FINANCIAL PORTFOLIO MANAGEMENT SERVICES, WEALTH MANAGEMENT CONSULTING SERVICES, PROVIDING INFORMATION AND ADVICE IN THE FIELD OF FINANCE, FINANCIAL INVESTMENTS, FINANCIAL VALUATIONS, AND THE FINANCIAL ASPECTS OF RETIREMENT, IN CLASS 36 (U.S. CLS. 100, 101 AND 102).

FIRST USE 6-30-2000; IN COMMERCE 6-30-2000.

THE MARK CONSISTS OF STANDARD CHARACTERS WITHOUT CLAIM TO ANY PARTICULAR FONT, STYLE, SIZE, OR COLOR.

SER. NO. 85-503,328, FILED 12-23-2011.

KIM MONINGHOFF, EXAMINING ATTORNEY



David J. Kappas

David J. Kappas, Esq., Patent and Trademark Attorney