

TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

ETAS ID: TM519237

SUBMISSION TYPE:	NEW ASSIGNMENT		
NATURE OF CONVEYANCE:	MERGER AND CHANGE OF NAME		
EFFECTIVE DATE:	01/31/2018		
CONVEYING PARTY DATA			
Name	Formerly	Execution Date	Entity Type
Ethertronics, Inc.		01/31/2018	Corporation: DELAWARE
NEWLY MERGED ENTITY DATA			
Name	Execution Date	Entity Type	
AVX Antenna, Inc.	01/31/2018	Corporation: DELAWARE	
MERGED ENTITY'S NEW NAME (RECEIVING PARTY)			
Name:	AVX Antenna, Inc.		
Street Address:	One AVX Boulevard		
City:	Fountain Inn		
State/Country:	SOUTH CAROLINA		
Postal Code:	29644		
Entity Type:	Corporation: DELAWARE		
PROPERTY NUMBERS Total: 3			
Property Type	Number	Word Mark	
Registration Number:	2768087	ETHERTRONICS	
Registration Number:	4672691	ETHERTRONICS	
Registration Number:	5663185	ACTIVE STEERING	
CORRESPONDENCE DATA			
Fax Number:	8642337342		
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>			
Phone:	8642711592		
Email:	docketing@dority-manning.com		
Correspondent Name:	Allison L. Richmond, Dority & Manning		
Address Line 1:	P.O. Box 1449		
Address Line 4:	Greenville, SOUTH CAROLINA 29602-1449		
NAME OF SUBMITTER:	Allison L. Richmond		
SIGNATURE:	/Allison L. Richmond/		

OP \$90.00 2768087

DATE SIGNED:	04/16/2019
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Total Attachments: 12

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- source=Redacted Merger Agreement Ethertronics to AVX Antenna#page2.tif
- source=Redacted Merger Agreement Ethertronics to AVX Antenna#page3.tif
- source=Redacted Merger Agreement Ethertronics to AVX Antenna#page4.tif
- source=Redacted Merger Agreement Ethertronics to AVX Antenna#page5.tif
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- source=Redacted Merger Agreement Ethertronics to AVX Antenna#page9.tif
- source=Redacted Merger Agreement Ethertronics to AVX Antenna#page10.tif
- source=Redacted Merger Agreement Ethertronics to AVX Antenna#page11.tif
- source=Change of Name - Ethertronics Inc. to AVX Antenna Inc_DE only#page1.tif

AGREEMENT AND PLAN OF MERGER

This Agreement and Plan of Merger (this "Agreement"), dated as of December 29, 2017, by and among AVX Corporation, a Delaware corporation ("Parent"), Armstrong Alpert, Inc., a Delaware corporation and a wholly owned subsidiary of Parent ("Merger Subsidiary"), Ethertronics, Inc., a Delaware corporation (the "Company"), and Fortis Advisors LLC, a Delaware limited liability company, solely in its capacity as Stockholder Representative ("Stockholder Representative"), recites and provides as follows:

RECITALS

WHEREAS, the Parties intend that Merger Subsidiary be merged with and into the Company, with the Company surviving that merger on the terms and subject to the conditions set forth herein (the "Merger");

WHEREAS, immediately following the execution of this Agreement, Parent, as the sole stockholder of Merger Subsidiary, will act by written consent to approve and adopt this Agreement in accordance with the DGCL; and

AGREEMENTS

NOW, THEREFORE, in consideration of the premises, which are incorporated into and made part of this Agreement, and of the mutual representations, warranties, covenants,

REDACTED

agreements and conditions set forth herein and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the Parties hereby agree as follows:

Section 1.94 Intellectual Property. “Intellectual Property” shall mean all United States and non-United States: (a) patents and patent applications whether or not patents are issued on such applications and whether or not such patents or applications are modified, withdrawn or resubmitted; (b) registered and unregistered trade names, trade dress, trademarks, service names and service marks (and applications for registration of the same) and all goodwill associated therewith; (c) copyrights and copyright registrations (and applications for the same) and works of original authorship (whether or not the copyright has been registered); (d) trade secrets, know-how, formulae, compilations, devices, methods, techniques or processes, and confidential or proprietary information; (e) Inventions, processes and designs (whether or not patentable or reduced to practice), including, without limitation, all notes, journals or other compilations of data generated in the invention or development process; (f) any computer software program source code, object code, data and documentation; (g) domain names or uniform resource locators used in connection with any global computer or electronic network (including, without limitation, the Internet and the World Wide Web) together with all translations, adaptations, derivations, and combinations thereof and including all goodwill associated therewith, all applications, registrations, and renewals in connection therewith, and all source code, object code, data and documentation relating thereto; and (h) all other intellectual property rights associated with the foregoing.

REDACTED

Section 2.6 Name of the Surviving Corporation. The name of the Surviving Corporation shall be “AVX Antenna, Inc.”

Section 4.17 Intellectual Property.


(a) Section 4.17(a)(i) of the Company Disclosure Letter contains a complete and accurate list of all (i) patented or registered Intellectual Property owned, purported to be owned, or licensed exclusively by the Company or any Subsidiary ("Registered IP") specifying as to each, as applicable: the title, mark, or design; the record owner and inventor(s), if any; the jurisdiction by or in which it has been issued, registered, or filed; the patent, registration, or application serial number; the issue, registration, or filing date; and the current status; (ii) pending patent applications and applications for registrations of other Intellectual Property filed by, or on behalf of, the Company or any Subsidiary ("Pending IP") specifying as to each, as applicable: the title, mark, or design; the record owner and inventor(s), if any; the jurisdiction by or in which it has been filed; the filing date; the application number; and the current status; and

REDACTED

(iii) material unregistered trade names, trademarks, service marks, internet domain names, company names, and computer software product names (“Unregistered IP”). Section 4.17(a)(ii)

IN WITNESS WHEREOF, each of the Parties has caused this Agreement to be duly executed on its behalf by its officer thereunto duly authorized, all as of the day and year first above written.

AVX CORPORATION

By: 
Name: Evan Slavitt
Title: Corporate Secretary

ARMSTRONG ALPERT, INC.

By: 
Name: Evan Slavitt
Title: Vice President and Secretary

ETHERTRONICS, INC.

By: _____
Name:
Title:

**FORTIS ADVISORS LLC as the
Stockholder Representative**

By: _____
Name: Richard Fink
Title: CEO & Managing Director

[Signature Page to Agreement and Plan of Merger]

IN WITNESS WHEREOF, each of the Parties has caused this Agreement to be duly executed on its behalf by its officer thereunto duly authorized, all as of the day and year first above written.

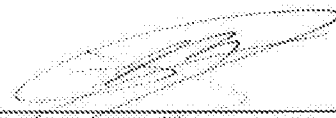
AVX CORPORATION

By: _____
Name:
Title:

ARMSTRONG ALPERT, INC.

By: _____
Name: Evan Slavitt
Title: Vice President and Secretary

ETHERTRONICS, INC.

By:  _____
Name: D. Escobedo
Title: CEO

**FORTIS ADVISORS LLC as the
Stockholder Representative**

By: _____
Name: Richard Fink
Title: CEO & Managing Director

[Signature Page to Agreement and Plan of Merger]

IN WITNESS WHEREOF, each of the Parties has caused this Agreement to be duly executed on its behalf by its officer thereunto duly authorized, all as of the day and year first above written.

AVX CORPORATION

By: _____
Name:
Title:

ARMSTRONG ALPERT, INC.

By: _____
Name: Evan Slavitt
Title: Vice President and Secretary

ETHERTRONICS, INC.

By: _____
Name:
Title:

**FORTIS ADVISORS LLC as the
Stockholder Representative**

By: Richard G. Fink
Name: Richard Fink
Title: CEO & Managing Director

Annex 4.17(a)(i) Trademarks

Trademark Name	Country	Status	Reg. Number	Class / Goods	Applicant	First Date	Registration Date	Priority Date	Exp. Date	Renewal Date	Renewal Type
ACTIVE STEERING	United States of America	Allowed	244127	09 int. antenna; RF systems comprised of integrated circuits, antenna, operating systems software and operating systems protocol software for wireless devices; integrated circuits and software for signal processing in wireless devices	86-800161	10/27/2015			01/03/2016	Statement of Use 2nd Extension	
ETHERTRONICS	Canada	Registered	68786	09 int. mobile wireless systems, namely antennas for mobile wireless devices	1112760	08/14/2001	64703	08/31/2005	08/31/2020	First Renewal	
ETHERTRONICS	United States of America	Registered	225304	09 int. antenna, RF systems comprised of integrated circuits, antenna, operating systems software and operating systems protocol software and component parts thereof, for wireless devices; integrated circuits and software for signal processing in wireless devices; software for testing performance of wireless devices; small cell antennas and distributed antenna systems (DAS), namely, a network of spatially separated antenna node hardware connected to a common source and component parts thereof	86-071461	09/23/2013	4672691	01/13/2016	01/13/2021	Art of Use - 6 Year	
ETHERTRONICS	Singapore	Registered	68793	09 int. mobile wireless systems	T01-131171	08/21/2001	T01-331171	02/22/2001	02/22/2021	Next Renewal	
ETHERTRONICS	European Union	Registered	68788	09 int. mobile wireless systems	002338713	08/13/2001	68333813	03/19/2003	08/13/2021	Next Renewal	
ETHERTRONICS	Mexico	Registered	68791	09 int. mobile wireless systems	501904	08/16/2001	6746906	05/10/2002	08/16/2021	Next Renewal	
ETHERTRONICS	Japan	Registered	68789	09 int. mobile wireless communication apparatus, and other electrical communication machines and apparatus, applied	2001-75950	08/22/2001	4563465	04/26/2002	04/26/2022	Next Renewal	
ETHERTRONICS	China	Registered	68787	09 int. mobile wireless systems	2001152189	08/28/2001	2017095	11/28/2002	11/27/2022	Next Renewal	
ETHERTRONICS	Taiwan	Registered	68794	09 int. mobile wireless systems	90033694	08/24/2001	1032996	02/16/2003	02/15/2023	Next Renewal	
ETHERTRONICS	South Korea	Registered	68790	09 int. antennas for mobile wireless devices	40-2001-28603	08/21/2001	548186	05/16/2003	05/16/2023	Next Renewal	
ETHERTRONICS	United States of America	Registered	67729	09 int. wireless systems comprised of mobile wireless telephones	78-949798	02/22/2001	2768087	09/23/2003	09/23/2023	Next Renewal	
ETHERTRONICS	South Korea	Registered	225277	09 int. antenna, RF systems comprised of integrated circuits, antennae, operating systems software and operating systems protocol software and component parts thereof, for wireless devices; integrated circuits and software for signal processing in wireless devices; software for testing performance of wireless devices; small cell antennas and distributed antenna systems(DAS), namely, a network of spatially separated antenna node hardware connected to a common source and component parts thereof	70-2013-0000224	05/15/2013	548186	05/15/2014	05/15/2024	First Renewal	

TRADEMARK

Ethertronics - Austin Tarango - Gundersen - 11/21/2017

Delaware

Page 1

The First State

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THAT THE SAID "ETHERTRONICS, INC.", FILED A CERTIFICATE OF MERGER, CHANGING ITS NAME TO "AVX ANTENNA, INC." ON THE THIRTY-FIRST DAY OF JANUARY, A.D. 2018, AT 2:31 O'CLOCK P.M.



3197995 8320
SR# 20180758903

You may verify this certificate online at corp.delaware.gov/authver.shtml

A handwritten signature in black ink, appearing to read "JBULLOCK", is written over a horizontal line. Below the line, the text "Jeffrey W. Bullock, Secretary of State" is printed.

Jeffrey W. Bullock, Secretary of State

Authentication: 202098764

Date: 02-06-18