

900498504 05/14/2019

## TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1  
Stylesheet Version v1.2

ETAS ID: TM523486

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	NUNC. PRO TUNC ASSIGNMENT
EFFECTIVE DATE:	04/08/2019

## CONVEYING PARTY DATA

Name	Formerly	Execution Date	Entity Type
ALCON RESEARCH, LLC	FORMERLY Alcon Research, Ltd.	05/13/2019	Limited Liability Company DELAWARE

## RECEIVING PARTY DATA

Name:	Novartis AG
Street Address:	Lichtstrasse 35
City:	Basel
State/Country:	SWITZERLAND
Postal Code:	4056
Entity Type:	Corporation: SWITZERLAND

## PROPERTY NUMBERS Total: 12

Property Type	Number	Word Mark
Registration Number:	2571203	ISOPTO
Registration Number:	1717026	CILOXAN
Registration Number:	1437695	FLAREX
Registration Number:	1519501	IOPIDINE
Registration Number:	0750424	MAXIDEX
Registration Number:	0790950	MAXITROL
Registration Number:	2113082	PATANOL
Registration Number:	1897276	TOBRADEX
Registration Number:	1189092	TOBEX
Registration Number:	1509914	ALOMIDE
Registration Number:	1370847	BETOPTIC
Registration Number:	2248882	BETOPTIC S

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## CORRESPONDENCE DATA

Fax Number: 9198618913

*Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.*

Phone: 9198618903

Email: mtepper@teiplaw.com

**Correspondent Name:** Maury M. Tepper, III  
**Address Line 1:** 3724 Benson Drive  
**Address Line 4:** Raleigh, NORTH CAROLINA 27609

**DOMESTIC REPRESENTATIVE**

**Name:** Novartis Pharmaceuticals Corporation  
**Address Line 1:** One Health Plaza, Building 430  
**Address Line 4:** East Hanover, NEW JERSEY 07936

**NAME OF SUBMITTER:** Maury M. Tepper, III

**SIGNATURE:** /Maury M. Tepper, III/

**DATE SIGNED:** 05/14/2019

**Total Attachments: 5**

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**NUNC PRO TUNC  
DEED OF ASSIGNMENT  
[United States of America]**

THIS DEED is made between:

**Alcon Research, Ltd.** (now known as *Alcon Research, LLC*), a company organized and existing under the laws of Delaware, United States of America, of 6201 South Freeway, Fort Worth, Texas 76134, United States of America ("the Assignor");

and

**Novartis AG**, a company organized and existing under the laws of the Canton of Baselstadt, Switzerland, of Lichtstrasse 35, 4056 Basel, Switzerland

("the Assignee");

WHEREAS:

- (a) The Assignor, now known as *Alcon Research, LLC* by merger dated 28<sup>th</sup> February 2019 (copy of the Merger document is attached and provided for informational purposes), is the proprietor of the trademarks in the **United States of America** as set out in the attached schedule marked Annex A ("Trademarks");
- (b) Pursuant to *Intellectual Property Assignment Agreement* effective as of April 8<sup>th</sup>, 2019, Assignor has assigned Assignor's entire right, title and interest in and to the Trademarks to Assignee.
- (c) The Assignor and the Assignee have agreed that the Trademarks should be transferred to the Assignee.

IT IS AGREED THAT:

For consideration of the sum of USD 1, receipt of which is hereby acknowledged by the Assignor, the Assignor hereby confirms that it has assigned, transferred and set over unto the Assignee, its successors and assigns, effective as of April 8<sup>th</sup>, 2019, all right, title and interests in the **United States of America** in and to the Trademarks together with the goodwill of the business represented and symbolised by the Trademarks and the Assignee accepts the said assignment.

IN WITNESS WHEREOF, the Assignor and Assignee have caused this Assignment to be executed by their authorized representatives.

Signed as a Deed in Munich on behalf of  
the Assignor this 13<sup>th</sup> day of May 2019 by



(Signature)  
Michal Molcan, Proxy-Holder  
**Alcon Research, LLC** (formerly known as  
*Alcon Research, Ltd.*)

Signed as a Deed in Munich on behalf of  
the Assignee this 13<sup>th</sup> day of May 2019 by



(Signature)  
Anna Popova, Proxy-Holder  
**Novartis AG**

## Annex A

<b>Trademark Name</b>	<b>Application No.</b>	<b>Registration No.</b>	<b>Class(es)</b>
ISOPTO	76/218840	2571203	5
CILOXAN	74105467	1717026	5
FLAREX	73617344	1437695	5
IOPIDINE	73711095	1519501	5
MAXIDEX	72150144	750424	5
MAXITROL	72205382	790950	5
PATANOL	75168937	2113082	5
TOBRADEX	74503378	1897276	5
TOBEX	73276380	1189092	5
ALOMIDE	73721899	1509914	5
BETOPTIC	73523305	1370847	5
BETOPTIC S	75359301	2248882	5

# Delaware

The First State

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I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF MERGER, WHICH MERGES:

"ALCON RESEARCH, LTD.", A DELAWARE CORPORATION,  
WITH AND INTO "ALCON RESEARCH, LLC" UNDER THE NAME OF "ALCON RESEARCH, LLC", A LIMITED LIABILITY COMPANY ORGANIZED AND EXISTING UNDER THE LAWS OF THE STATE OF DELAWARE, AS RECEIVED AND FILED IN THIS OFFICE ON THE TWENTY-EIGHTH DAY OF FEBRUARY, A.D. 2019, AT 8:28 O`CLOCK A.M.

AND I DO HEREBY FURTHER CERTIFY THAT THE EFFECTIVE DATE OF THE AFORESAID CERTIFICATE OF MERGER IS THE TWENTY-EIGHTH DAY OF FEBRUARY, A.D. 2019 AT 11:15 O`CLOCK A.M.

A FILED COPY OF THIS CERTIFICATE HAS BEEN FORWARDED TO THE NEW CASTLE COUNTY RECORDER OF DEEDS.



  
Jeffrey W. Bullock, Secretary of State

7178801 8100M  
SR# 20191542231

You may verify this certificate online at [corp.delaware.gov/authver.shtml](http://corp.delaware.gov/authver.shtml)

Authentication: 202342403  
Date: 02-28-19

**TRADEMARK**  
**REEL: 006649 FRAME: 0119**

**CERTIFICATE OF MERGER  
OF  
ALCON RESEARCH, LTD.  
WITH AND INTO  
ALCON RESEARCH, LLC**

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*Pursuant to Section 264 of the  
Delaware General Corporation Law (the "DGCL") and  
Section 18-209 of the  
Delaware Limited Liability Company Act (the "DLLCA")*

Alcon Research, LLC, a Delaware limited liability company, DOES HEREBY CERTIFY THAT:

1. The name of the surviving limited liability company is Alcon Research, LLC, a Delaware limited liability company (the "**Surviving Company**"), and the name of the corporation being merged into the Surviving Company is Alcon Research, Ltd., a Delaware corporation (the "**Merging Company**").
2. A Merger and Reorganization Agreement (the "**Merger Agreement**"), dated as of February 28, 2019, by and among the Surviving Company and the Merging Company, setting forth the terms and conditions of the merger, has been approved, adopted, executed and acknowledged by the Surviving Company and the Merging Company in accordance with Section 264 of the DGCL and Section 18-209 of the DLLCA.
3. The name of the surviving limited liability company is Alcon Research, LLC.
4. This Certificate of Merger, and the merger provided for herein, shall be effective on February 28, 2019 at 11:15 a.m. (the "**Effective Time**").
5. The Certificate of Formation of the Surviving Company in effect immediately prior to the Effective Time shall be the Certificate of Formation of the Surviving Company until thereafter amended as provided by applicable law.
6. An executed copy of the Merger Agreement is on file at the principal place of business of the Surviving Company at the following address: 6201 South Freeway, Fort Worth, Texas 76134.
7. A copy of the Merger Agreement will be furnished by the Surviving Company on request and without cost, to any stockholder of any constituent corporation or member of any constituent limited liability company, as applicable.

IN WITNESS WHEREOF, the Surviving Company has caused this Certificate of Merger to be signed as of February 28, 2019, by a duly authorized person, declaring that the facts stated herein are true.

ALCON RESEARCH, LLC

By:   
Name: Tom Hydnal  
Title: Asst. Secretary

*[Certificate of Merger Signature Page]*

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