

## TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1  
Stylesheet Version v1.2

ETAS ID: TM526083

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	Termination and Release of Security Interest in Intellectual Property Recorded at Reel 6199, Frame 0414

## CONVEYING PARTY DATA

Name	Formerly	Execution Date	Entity Type
Saratoga Investment Corp. SBIC LP		05/31/2019	Limited Partnership: DELAWARE

## RECEIVING PARTY DATA

<b>Name:</b>	Litéra Corporation
<b>Street Address:</b>	300 South Riverside Plaza, Suite 800
<b>City:</b>	Chicago
<b>State/Country:</b>	ILLINOIS
<b>Postal Code:</b>	60606
<b>Entity Type:</b>	Corporation: DELAWARE

## PROPERTY NUMBERS Total: 22

Property Type	Number	Word Mark
Registration Number:	2330373	MACROSUITE
Registration Number:	2751390	LITERA
Registration Number:	2988909	CHANGE-PRO
Registration Number:	3715689	METADACT
Registration Number:	3780057	BROADCAST1SOURCE
Registration Number:	3927095	DOCUMENT CONTENT LIFECYCLE MANAGEMENT
Registration Number:	3927096	DOCUMENT LIFECYCLE MANAGEMENT
Registration Number:	3968455	DOCUMENT LIFECYCLE INTELLIGENCE
Registration Number:	4055373	LITÉRA IDS
Registration Number:	4194331	CONTENT CONFIDENCE
Registration Number:	4260906	CITATIONWARE
Registration Number:	4332878	LITÉRA GALAXY
Registration Number:	4379250	LITÉRA SECURE FILE TRANSFER
Registration Number:	4383363	LITÉRA SECURE WEB CONTENT
Registration Number:	4628210	AXXITRIALS
Registration Number:	4766786	LITÉRA SYNC
Registration Number:	4955201	LITÉRA EKTA
Registration Number:	4955409	LITÉRA APPS LAUNCHER

CH \$565.00 2330373

TRADEMARK

Property Type	Number	Word Mark
Registration Number:	5087834	LITÉRA LEXPRO
Registration Number:	5255019	CABLE1SOURCE
Registration Number:	3960443	DCLM
Registration Number:	3936588	SEQUENTIA

**CORRESPONDENCE DATA**

**Fax Number:**

*Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.*

**Phone:** 2136207848

**Email:** iprecordations@whitecase.com

**Correspondent Name:** Justine Lu/White & Case LLP

**Address Line 1:** 555 South Flower Street, 2700

**Address Line 4:** Los Angeles, CALIFORNIA 90071

<b>ATTORNEY DOCKET NUMBER:</b>	1150177-0009-S216
<b>NAME OF SUBMITTER:</b>	Justine Lu
<b>SIGNATURE:</b>	/Justine Lu/
<b>DATE SIGNED:</b>	06/03/2019

**Total Attachments: 10**

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**TERMINATION AND RELEASE OF SECURITY INTEREST  
IN INTELLECTUAL PROPERTY**

This **TERMINATION AND RELEASE OF SECURITY INTEREST IN INTELLECTUAL PROPERTY**, dated as of May 31, 2019 (“Release”), is made by Saratoga Investment Corp. SBIC LP, as agent (“Agent”) in favor of Litéra Corporation, a New Jersey corporation (“Grantor”).

**WHEREAS**, pursuant to that certain Second Lien Loan and Security Agreement dated as of July 1, 2016 (as amended, restated, supplemented or otherwise modified from time to time, the “Credit Agreement”) by and among the Grantor, Agent, and others party thereto, and the Intellectual Property Security Agreement dated as of October 6, 2017 by and among the Grantor and Agent (“IP Security Agreement”), Grantor granted to the Agent, for its benefit and the benefit of the Lenders (“Secured Parties”), a continuing security interest in and Lien on all of Grantor’s right, title and interest in, to, and under the IP Collateral; and

**WHEREAS**, the IP Security Agreement was recorded at the United States Patent and Trademark Office (“USPTO”) on November 8, 2017 at Reel 044396 Frame 0217 on certain Patents and on November 8, 2017 at Reel 6199 Frame 0414 on certain Trademarks, and at the United States Copyright Office (“USCO”) on December 5, 2017 at Volume 9953 Doc. No. 175 on certain Copyrights.

**NOW THEREFORE**, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, Agent, on behalf of the Secured Parties, and Grantor agree as follows:

**SECTION 1. Defined Terms.** All capitalized terms used herein but not otherwise defined herein have the meanings given to them in the Credit Agreement or IP Security Agreement.

**SECTION 2. Termination and Release.** Agent, on behalf of the Secured Parties, without representation, warranty, or recourse, hereby:

(a) terminates, cancels, discharges, and releases the continuing security interest in and Lien on all of Grantor’s right, title, and interest in, to, and under all the IP Collateral, including, but not limited to, the foregoing listed on Schedule I attached hereto, granted pursuant to the Credit Agreement or IP Security Agreement; and

(b) authorizes the recordation of this Release with the USPTO and USCO at Grantor’s expense.

**SECTION 3. Choice of Law.** This Release shall be governed by and construed in accordance with the laws of the State of New York.

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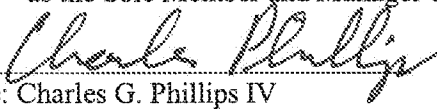
IN WITNESS WHEREOF, the Agent, on behalf of the Secured Parties, has caused this Termination and Release of Security Interest in Intellectual Property to be duly executed as of the date first set forth above.

Agent:

Saratoga Investment Corp. SBIC LP, as Agent

By: Saratoga Investment Corp. GP, LLC,  
as its General Partner

By: Saratoga Investment Corp.,  
as the Sole Member and Manager of the General Partner

By:   
Name: Charles G. Phillips IV  
Title: Managing Director

**SCHEDULE 1  
TO  
INTELLECTUAL PROPERTY SECURITY AGREEMENT**

I. Copyrights and Copyright Applications

<b>GRANTOR</b>	<b>TITLE</b>	<b>REGISTRATION NUMBER</b>	<b>REGISTRATION DATE</b>	<b>STATUS</b>
Litéra Corporation	Change-Pro suite software.	TX0006545400	June 1, 2007	Registered

II. Patents and Patent Applications

<b>GRANTOR</b>	<b>INVENTION TITLE</b>	<b>APPL. NO. PATENT NO. JURISDICTION</b>	<b>FILING DATE ISSUE DATE</b>	<b>STATUS</b>
Litéra Corporation	System and method for determining valid citation patterns in electronic documents.	12/016,391 8,019,769 United States	January 18, 2008 September 13, 2011	Registered
Litéra Corporation	Continuation of the '769 Patent.	13/440,842 8,219,566 United States	August 30, 2011 July 10, 2012	Registered
Litéra Corporation	Method of encrypting and transferring data between a sender and a receiver using a network	11/134,951 8,478,995 United States	May 23, 2005 July 2, 2013	Registered
Litéra Corporation	System and method for synchronizing bi-directional document management	13/423,805 9,348,802 United States	March 19, 2012 May 24, 2016	Registered
Litéra Corporation	Continuation of the '995 Patent.	13/927,354 9,497,172 United States	June 26, 2013 November 15, 2016	Registered
Litéra Corporation	System and method for synchronizing bi-directional document management	14/843,839 N/A United States	September 2, 2015 N/A	Pending
Litéra Corporation	Method of encrypting and transferring data between a sender and a receiver using a network	N/A 2,569,761 Canada	August 13, 2013 N/A	Registered

Litéra Corporation	Method of encrypting and transferring data between a sender and a receiver using a network	N/A 2005332289 Australia	March 5, 2011 N/A	Registered
Litéra Corporation	Method of encrypting and transferring data between a sender and a receiver using a network	N/A 2005 80022256.1 China	April 18, 2005 N/A	Registered
Litéra Corporation	Method of encrypting and transferring data between a sender and a receiver using a network	N/A 1751911 Denmark	[_____] N/A	Registered
Litéra Corporation	Method of encrypting and transferring data between a sender and a receiver using a network	N/A 1751911 France	[_____] N/A	Registered
Litéra Corporation	Method of encrypting and transferring data between a sender and a receiver using a network	N/A 1095452 Hong Kong	[_____] N/A	Registered
Litéra Corporation	Method of encrypting and transferring data between a sender and a receiver using a network	N/A 179466 Israel	November 30, 2011 N/A	Registered
Litéra Corporation	Method of encrypting and transferring data between a sender and a receiver using a network	N/A 1751911 Switzerland	[_____] N/A	Registered
Litéra Corporation	Method of encrypting and transferring data between a sender and a receiver using a network	N/A 265215 India	[_____] N/A	Registered
Litéra Corporation (assigned from Litéra Technologies)	Method of compound document recognition	11/444,140 7,818,660 United States	May 30, 2006 October 19, 2010	Registered

LITÉRA CORPORATION (assigned from Litéra Technologies)	Collaborative document development and review system	10/285,910 7,818,678 United States	October 31, 2002 October 19, 2010	Registered
LITÉRA CORPORATION (assigned from Litéra Technologies)	Method of managing metadata in attachments to emails in a network environment	11/699,750 7,895,276 United States	January 29, 2007 February 22, 2011	Registered
LITÉRA CORPORATION (assigned from Litéra Technologies)	Continuation of functionality in '276 patent	13/018,509 8,060,575 United States	February 1, 2011 November 15, 2011	Registered
LITÉRA CORPORATION (assigned from Litéra Technologies)	Comparing the content of tables containing merged or split cells	12/406,093 8,136,031 United States	March 17, 2009 March 13, 2012	Registered
LITÉRA CORPORATION (assigned from Litéra Technologies)	Systems and methods for providing context recognition	12/862,308 8,381,104 United States	August 24, 2010 February 19, 2013	Registered
LITÉRA CORPORATION (assigned from Litéra Technologies)	System and method for the auto-detection and presentation of pre-set configuration for multiple monitor layout	12/406,090 8,471,781 United States	March 17, 2009 June 25, 2013	Registered
LITÉRA CORPORATION (assigned from Litéra Technologies)	Continuation of '660 patent	12/861,000 8,527,864 United States	August 23, 2010 September 3, 2013	Registered
LITÉRA CORPORATION (assigned from Litéra Technologies)	Systems and methods for the comparison of annotations within files	13/022,075 8,732,181 United States	February 7, 2011 May 20, 2014	Registered
LITÉRA	Methods and systems	13/683,676	November 21, 2012	Registered

CORPORATION (assigned from Litéra Technologies)	for removing metadata from an electronic document attached to a message sent from a mobile electronic device	8,977,697 United States	March 10, 2015	
LITÉRA CORPORATION (assigned from Litéra Technologies)	Systems and methods for the comparison of selected text	13/223,861 9,047,258 United States	September 1, 2011 June 2, 2015	Registered
LITÉRA CORPORATION (assigned from Litéra Technologies)	Collaborative hierarchical document development and review system	12/766,321 9,105,007 United States	April 23, 2010 August 11, 2015	Registered
LITÉRA CORPORATION (assigned from Litéra Technologies)	Systems and methods for creating and displaying an electronic communication digest	13/654,615 9,118,613 United States	October 18, 2012 August 25, 2015	Registered
LITÉRA CORPORATION (assigned from Litéra Technologies)	Systems and methods for a bidirectional multi-function communication module	12/909,419 9,356,991 United States	October 21, 2010 May 31, 2016	Registered
LITÉRA CORPORATION (assigned from Litéra Technologies)	Systems and methods for the comparison of annotations within files	14/682,373 9,569,450 United States	April 9, 2015 February 14, 2017	Registered
LITÉRA CORPORATION (assigned from Litéra Technologies)	Comparing the content between corresponding cells of two tables separate from form and structure	13/400/848 8,381,092 United States	February 21, 2012 February 19, 2013	Registered
LITÉRA CORPORATION (assigned from Litéra Technologies)	Email attachment management	14/221,448 9,756,002 United States	March 21, 2014 September 5, 2017	Pending
LITÉRA	Multiple document	13/920,135	June 18, 2013	Pending



CORPORATION (assigned from Litéra Technologies)	collaboration and management	N/A United States	N/A	
LITÉRA CORPORATION (assigned from Litéra Technologies)	Systems and methods for detecting, reporting and cleaning metadata from inbound attachments	14/856,285 N/A United States	September 16, 2015 N/A	Pending
LITÉRA CORPORATION (assigned from Litéra Technologies)	Systems and methods for managing document repositories	14/612,280 N/A United States	February 2, 2015 N/A	Pending
LITÉRA CORPORATION (assigned from Litéra Technologies)	Bi-directional multifunction communication module	15/167,213 N/A United States	May 27, 2016 N/A	Pending
LITÉRA CORPORATION (assigned from Litéra Technologies)	Systems and methods for providing dynamic and interactive viewing and control of applications	13,799,573 N/A United States	March 13, 2013 N/A	Pending
LITÉRA CORPORATION (assigned from Litéra Technologies)	Systems and methods for creating and displaying an electronic communication digest	14/834,313 N/A United States	August 24, 2015 N/A	Pending
LITÉRA CORPORATION (assigned from Litéra Technologies)	Continuation of '258 patent	14/697,889 N/A United States	April 28, 2015 N/A	Pending
LITÉRA CORPORATION (assigned from Litéra Technologies)	System and method for comparing digital data in spreadsheets or database tables	12/350,144 N/A United States	January 7, 2009 N/A	Pending
LITÉRA CORPORATION (assigned from Litéra Technologies)	Detection and presentation of pre-set configurations for multiple monitor	13/924,694 N/A United States	June 24, 2013 N/A	Pending

Technologies)	layout display			
LITÉRA CORPORATION (assigned from Litéra Technologies)	System and method for reflowing content in a structured portable document format file	12/413,486 N/A United States	March 27, 2009 N/A	Pending
LITÉRA CORPORATION (assigned from Litéra Technologies)	Continuation of '450 patent	14/248,514 N/A United States	April 9, 2014 N/A	Pending
LITÉRA CORPORATION (assigned from Litéra Technologies)	Collaborative document development and review system	14/724,184 N/A United States	May 28, 2015 N/A	Pending
LITÉRA CORPORATION (assigned from Litéra Technologies)	Continuation of '007 patent	14/754,375 N/A United States	June 29, 2015 N/A	Pending
LITÉRA CORPORATION (assigned from Litéra Technologies)	Methods and systems for remotely removing metadata from electronic documents	14/608,585 N/A	January 29, 2015	Pending
LITÉRA CORPORATION (assigned from Litéra Technologies)	Continuation of '002 patent	N/A N/A United States	September 2, 2017	Pending

### III. Trademarks and Trademark Applications

GRANTOR	MARK	SERIAL REGISTRATION JURISDICSTION	FILING REGISTRATION	STATUS
Litéra Corporation	MACROSUITE	75/398,357 2,330,373 United States	December 1, 1997 March 14, 2000	Registered
Litéra Corporation	LITÉRA	78/100,418 2,751,390 United States	December 31, 2001 August 12, 2003	Registered

Litéra Corporation	CHANGE-PRO	78/251,780 2,988,909 United States	May 19, 2003 August 30, 2005	Registered
Litéra Corporation	METADACT	77/098,454 3,715,689 United States	February 2, 2007 November 24, 2009	Registered
Litéra Corporation	BROADCAST1SOURCE	77/658,599 3,780,057 United States	January 28, 2009 April 27, 2010	Registered
Litéra Corporation	DOCUMENT CONTENT LIFECYCLE MANAGEMENT	77/901,123 3,927,095 United States	December 27, 2009 March 1, 2011	Registered
Litéra Corporation	DOCUMENT LIFECYCLE MANAGEMENT	77/901,126 3,927,096 United States	December 27, 2009 March 1, 2011	Registered
Litéra Corporation	DOCUMENT LIFECYCLE INTELLIGENCE	77/901,124 3,968,455 United States	December 27, 2009 May 31, 2011	Registered
Litéra Corporation	LITÉRA IDS	85/227,622 4,055,373 United States	January 27, 2011 November 15, 2011	Registered
Litéra Corporation	CONTENT CONFIDENCE	85/511,721 4,194,331 United States	January 9, 2012 August 21, 2012	Registered
Litéra Corporation	CITATIONWARE	85/606,468 4,260,906 United States	April 24, 2012 December 18, 2012	Registered
Litéra Corporation	LITÉRA GALAXY	85/615,393 4,332,878 United States	May 3, 2012 May 7, 2013	Registered
Litéra Corporation	LITÉRA SECURE FILE TRANSFER	85/790,374 4,379,250 United States	November 29, 2012 August 6, 2013	Registered
Litéra Corporation	LITÉRA SECURE WEB CONTENT	85/793,750 4,383,363 United States	December 4, 2012 August 13, 2013	Registered

Litéra Corporation	AXXITRIALS	86/217,227 4,628,210 United States	March 11, 2014 October 28, 2014	Registered
Litéra Corporation	LITÉRA SYNC	86/006,172 4,766,786 United States	July 10, 2013 July 7, 2015	Registered
Litéra Corporation	LITÉRA EKTA	86/729,965 4,955,201 United States	August 19, 2015 May 10, 2016	Registered
Litéra Corporation	LITÉRA APPS LAUNCHER	86/733,047 4,955,409 United States	August 21, 2015 May 10, 2016	Registered
Litéra Corporation	LITÉRA LEXPRO	86/730,011 5,087,834 United States	August 19, 2015 November 22, 2016	Registered
Litéra Corporation	CABLE1SOURCE	87/254,893 5255019 United States	December 2, 2016 August 1, 2017	Registered
Litéra Corporation	DCLM	77/901,125 3960443 United States	December 27, 2009 May 17, 2011	Registered
Litéra Corporation	SEQUENTIA	77/947,164 3936588 United States	March 1, 2010 March 29, 2011	Registered