

TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

ETAS ID: TM526313

SUBMISSION TYPE:	RESUBMISSION
NATURE OF CONVEYANCE:	MERGER AND CHANGE OF NAME
EFFECTIVE DATE:	11/11/2016
RESUBMIT DOCUMENT ID:	900499115

CONVEYING PARTY DATA

Name	Formerly	Execution Date	Entity Type
Wells Fargo Advisors, LLC		10/07/2016	Limited Liability Company: DELAWARE
First Clearing, LLC		10/07/2016	Limited Liability Company: DELAWARE

NEWLY MERGED ENTITY DATA

Name	Execution Date	Entity Type
Wells Fargo Advisors, LLC	10/07/2016	Limited Liability Company: DELAWARE

MERGED ENTITY'S NEW NAME (RECEIVING PARTY)

Name:	Wells Fargo Clearing Services, LLC
Street Address:	One North Jefferson
City:	St. Louis
State/Country:	MISSOURI
Postal Code:	63103
Entity Type:	Limited Liability Company: DELAWARE

PROPERTY NUMBERS Total: 2

Property Type	Number	Word Mark
Registration Number:	2245275	PATHWAYS
Registration Number:	2899623	FUNDAMENTAL CHOICE

CORRESPONDENCE DATA

Fax Number: 2024162644
Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: 202-416-2644
Email: antonio.vann@wellsfargo.com
Correspondent Name: Antonio Vann
Address Line 1: 1750 H Street NW
Address Line 2: 5th floor
Address Line 4: Washington, D.C. 20006

TRADEMARK

NAME OF SUBMITTER:	Antonio Vann
SIGNATURE:	/AVann/
DATE SIGNED:	06/04/2019
Total Attachments: 3 source=Wells Fargo Advisors to Wells Fargo Clearing Services, LLC#page1.tif source=Wells Fargo Advisors to Wells Fargo Clearing Services, LLC#page2.tif source=Wells Fargo Advisors to Wells Fargo Clearing Services, LLC#page3.tif	

Delaware

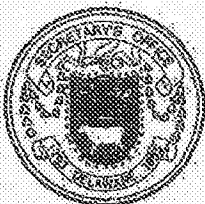
The First State

Page 1

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF MERGER, WHICH MERGES:

"FIRST CLEARING, LLC", A DELAWARE LIMITED LIABILITY COMPANY, WITH AND INTO "WELLS FARGO ADVISORS, LLC" UNDER THE NAME OF "WELLS FARGO CLEARING SERVICES, LLC", A LIMITED LIABILITY COMPANY ORGANIZED AND EXISTING UNDER THE LAWS OF THE STATE OF DELAWARE, AS RECEIVED AND FILED IN THIS OFFICE ON THE ELEVENTH DAY OF OCTOBER, A.D. 2016, AT 12:51 O'CLOCK P.M.

AND I DO HEREBY FURTHER CERTIFY THAT THE EFFECTIVE DATE OF THE AFORESAID CERTIFICATE OF MERGER IS THE ELEVENTH DAY OF NOVEMBER, A.D. 2016 AT 11:59 O'CLOCK P.M.




Jeffrey W. Bullock, Secretary of State

2107318 8100M
SR# 20166153456

You may verify this certificate online at corp.delaware.gov/authver.shtml

Authentication: 203143146
Date: 10-11-16

TRADEMARK
REEL: 006662 FRAME: 0160

State of Delaware
Secretary of State
Division of Corporations
Delivered 12:51 PM 10/11/2016
FILED 12:51 PM 10/11/2016
SR 20166133456 - File Number 2107318

CERTIFICATE OF MERGER
OF
FIRST CLEARING, LLC
WITH AND INTO
WELLS FARGO ADVISORS, LLC

Pursuant to Section 18-209 of the General Limited Liability Company Law of the State of Delaware, the undersigned limited liability company organized and existing under and by the virtue of the General Limited Liability Company Law of the State of Delaware,

DOES HEREBY CERTIFY THAT:

FIRST: The name and state of formation of each of the constituent entities of the merger is as follows:

<u>NAME</u>	<u>STATE OF FORMATION</u>	<u>TYPE OF ENTITY</u>
WELLS FARGO ADVISORS, LLC	DELAWARE	LIMITED LIABILITY COMPANY
FIRST CLEARING, LLC	DELAWARE	LIMITED LIABILITY COMPANY

SECOND: The Agreement and Plan of Merger, dated as of September 29, 2016, among Wells Fargo Advisors, LLC and First Clearing, LLC has been approved, adopted, certified, executed and acknowledged by each of the constituent entities in accordance with Section 18-209 of the General Limited Liability Company Law of the State of Delaware.

THIRD: Wells Fargo Advisors, LLC shall be the surviving entity of the merger (the "Merger") of First Clearing, LLC with and into Wells Fargo Advisors, LLC and the name of the surviving entity of the Merger shall be "Wells Fargo Clearing Services, LLC".

FOURTH: Upon the consummation of the Merger, the Certificate of Formation of Wells Fargo Advisors, LLC, the surviving entity, shall be amended to reflect the name, **WELLS FARGO CLEARING SERVICES, LLC**, and shall be the Amended Certificate of Formation of the surviving entity.

FIFTH: The executed Agreement and Plan of Merger is on file at the office of the surviving entity, the address of which is One North Jefferson, St. Louis, Missouri 63103.

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SIXTH: A copy of the Agreement and Plan of Merger will be furnished by the surviving entity, on request and without cost, to any Member of any constituent entities.

SEVENTH: This Certificate of Merger shall be effective at 11:59 PM EST on November 11, 2016 in accordance with the provisions of Section 18-209(c)(5) of the General Limited Liability Company Law of the State of Delaware.

IN WITNESS WHEREOF, the undersigned has caused this Certificate of Merger to be duly executed this 7th day of October, 2016.

WELLS FARGO ADVISORS, LLC

By: 
Name: David A. Heber
Title: Senior Vice President