

## TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1  
Stylesheet Version v1.2

ETAS ID: TM531205

|   |  |                       |                      |
|---|--|-----------------------|----------------------|
| <b>SUBMISSION TYPE:</b>   | NEW ASSIGNMENT                             |                       |                      |
| <b>NATURE OF CONVEYANCE:</b>  | RELEASE OF SECURITY INTEREST               |                       |                      |
| <b>CONVEYING PARTY DATA</b>   |  |                       |                      |
| <b>Name</b>   | <b>Formerly</b>                            | <b>Execution Date</b> | <b>Entity Type</b>   |
| Western Alliance Bank   |  | 07/09/2019            | Corporation: ARIZONA |
| <b>RECEIVING PARTY DATA</b>   |  |                       |                      |
| <b>Name:</b>  | Pico Quantitative Trading LLC              |                       |                      |
| <b>Street Address:</b>  | 32 Old Slip, Suite 1601                    |                       |                      |
| <b>Internal Address:</b>  | c/o Pico Quantitative Trading Holdings LLC |                       |                      |
| <b>City:</b>  | New York                                   |                       |                      |
| <b>State/Country:</b>   | NEW YORK                                   |                       |                      |
| <b>Postal Code:</b>   | 10005                                      |                       |                      |
| <b>Entity Type:</b>   | Limited Liability Company: NEW YORK        |                       |                      |
| <b>PROPERTY NUMBERS Total: 3</b>  |  |                       |                      |
| <b>Property Type</b>  | <b>Number</b>                              | <b>Word Mark</b>      |                      |
| <b>Serial Number:</b>   | 87495340                                   | PICO                  |                      |
| <b>Registration Number:</b>   | 5390440                                    | P                     |                      |
| <b>Registration Number:</b>   | 5523132                                    | PICO                  |                      |
| <b>CORRESPONDENCE DATA</b>  |  |                       |                      |
| <b>Fax Number:</b>  | 2127158100                                 |                       |                      |
| <i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i> |  |                       |                      |
| <b>Phone:</b>   | 212-715-9100                               |                       |                      |
| <b>Email:</b>   | kltrademark@kramerlevin.com                |                       |                      |
| <b>Correspondent Name:</b>  | Kramer Levin Naftalis & Frankel LLP        |                       |                      |
| <b>Address Line 1:</b>  | 1177 Avenue of the Americas                |                       |                      |
| <b>Address Line 4:</b>  | New York, NEW YORK 10036                   |                       |                      |
| <b>ATTORNEY DOCKET NUMBER:</b>  | 071016-00022                               |                       |                      |
| <b>NAME OF SUBMITTER:</b>   | Diane Torniali                             |                       |                      |
| <b>SIGNATURE:</b>   | /Diane Torniali/                           |                       |                      |
| <b>DATE SIGNED:</b>   | 07/10/2019                                 |                       |                      |
| <b>Total Attachments: 3</b>   |  |                       |                      |
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source=071016\_00022\_EXECUTED\_Hercules\_Pico\_WAB\_IP\_Release\_Pico\_Quantitative\_Trading\_003#page3.tif

## RELEASE OF SECURITY INTEREST

This Release of Security Interest is made as of July 9, 2019, by WESTERN ALLIANCE BANK, an Arizona corporation (“**Lender**”) in favor of PICO QUANTITATIVE TRADING LLC, a New York limited liability company (“**Grantor**”) with its address as follows: c/o Pico Quantitative Trading Holdings LLC, 32 Old Slip, Suite 1601, New York, NY 10005.

### Recital

WHEREAS Grantor granted to Lender a security interest in the trademarks described on Exhibit A attached hereto (“Intellectual Property Collateral”) under an Intellectual Property Security Agreement dated as of June 15, 2016, by and between Grantor and Lender, as amended from time to time (the “Security Agreement”) and recorded with the US Patent and Trademark Office as set forth on Exhibit A.

WHEREAS Grantor has no outstanding obligations to Lender under the terms of the Security Agreement, Lender agrees to release its security interest in the Intellectual Property Collateral.

### Agreement

Now therefore, Lender agrees that it terminates and releases its security interest in the Intellectual Property Collateral and reassigns to Grantor, without warranty or recourse, all interest of Lender in the Intellectual Property Collateral.

### Address for Notices:

The address for notice to the Lender shall be as follows:

Attn: Note Department  
55 Almaden Boulevard, Suite 100  
San Jose, California 95113  
Fax:(408) 282-1681

**WESTERN ALLIANCE BANK**

By: 

Name: Chris Perkins

Title: Senior Vice President

**EXHIBIT A**

**TRADEMARKS**

| <u>Trademark</u>   | <u>Serial/Registration No.</u> | <u>Filing/Registration Date</u> | <u>Jurisdiction</u> |
|--------------------|--------------------------------|---------------------------------|---------------------|
| PICO               | 87/495,340                     | 06/19/2017                      | USA                 |
| "P" design         | 87/491,416<br>5,390,440        | 06/15/2017<br>01/30/2018        | USA                 |
| PICO combined mark | 5,523,132                      | 07/24/18                        | USA                 |

Lender's security interest recorded at the US Patent and Trademark Office on September 29, 2017 at Reel Number ~~6166~~ and Frame Number 0252 and on February 5, 2019 at Reel Number ~~6549~~ and Frame Number 0618.