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# TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1 ETAS ID: TM531694

Stylesheet Version v1.2

SUBMISSION TYPE:	NEW ASSIGNMENT	
NATURE OF CONVEYANCE:	ASSIGNMENT OF THE ENTIRE INTEREST AND THE GOODWILL	

### **CONVEYING PARTY DATA**

Name	Formerly	Execution Date	Entity Type
Global Financial Private Capital LLC		07/12/2019	Limited Liability Company: FLORIDA

# **RECEIVING PARTY DATA**

Name:	AssetMark, Inc.	
Street Address:	1655 Grant Street, 10th Floor	
City:	Concord	
State/Country:	CALIFORNIA	
Postal Code:	94520	
Entity Type:	Corporation: CALIFORNIA	

# **PROPERTY NUMBERS Total: 2**

Property Type	Number	Word Mark	
Registration Number:	4069581	THE COMPLEAT ADVISOR	
Registration Number:	3900274	DIAS	

# **CORRESPONDENCE DATA**

**Fax Number:** 4156533755

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

**Phone:** 4156533750

**Email:** ipdocketingsf@venable.com

**Correspondent Name:** Susan Hollander and Sharoni Finkelstein

Address Line 1: 101 California Street, Suite 3800
Address Line 4: San Francisco, CALIFORNIA 94111

ATTORNEY DOCKET NUMBER: 132926398124	
NAME OF SUBMITTER:	Sharoni S. Finkelstein
SIGNATURE:	/Sharoni S. Finkelstein/
DATE SIGNED:	07/12/2019

### **Total Attachments: 2**

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TRADEMARK REEL: 006693 FRAME: 0164

# TRADEMARK ASSIGNMENT

This Trademark Assignment ("Assignment") is entered into and effective as of July 12, 2019 (the "Effective Date"), by and between Global Financial Private Capital LLC, a Florida limited liability company located at 501 N. Cattlemen Road, Suite 106, Sarasota, Florida 34232 ("Assignor") and AssetMark, Inc., a California corporation located at 1655 Grant Street, 10th Floor, Concord, California 94520 ("Assignee").

WHEREAS, Assignor is the owner of the trademarks listed in the attached <u>Schedule A</u>, all applications and registrations pertaining thereto, and all common law rights associated therewith, together with all goodwill arising from the use of and symbolization by said trademarks (collectively referred to herein as the "Trademarks");

WHEREAS, Assignor desires to transfer, and Assignee desires to acquire, all right, title and interest in and to the Trademarks throughout the world, including, without limitation, all applications and registrations pertaining thereto and all common law rights therein, together with all goodwill arising from the use of and symbolized by the Trademarks;

NOW, THEREFORE, for One Dollar and other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, Assignor and Assignee agree as follows:

- Assignment. Assignor hereby assigns, transfers, sells, conveys, and delivers to Assignee all right, title and interest in and to the Trademarks throughout the world, including, without limitation, all applications and registrations pertaining thereto and all common law rights therein, together with all goodwill arising from the use of and symbolized by the Trademarks, including all rights to sue or otherwise assert the Trademarks and to recover damages, profits and/or other compensation for past, present and future infringements, the right to license the Trademarks to others, and the right to renew any registrations for the Trademarks, to be held and enjoyed by Assignee for its own use and benefit and for the use and benefit of its successors, assigns and legal representatives, to be used as fully and entirely as said rights would have been held and enjoyed by Assignor had this assignment not made.
- 2. <u>Authorization to Record</u>. Assignor hereby authorizes Assignee to record this Assignment in the relevant trademark offices throughout the world.

IN WITNESS WHEREOF, Assignor has executed and delivered this instrument to Assignee on the date first noted above, and Assignee acknowledges receipt of the same.

Global	Financial Private Capital LLC	AssetN	fark, Inc.
By: Name:	Ted Angus	By: Name:	Ted Angus
Title:	EVP	Title:	EVP, General Counsel
Date:	7/12/19	Date:	7/12/19

# Schedule A

Mark		Reg. No.	mrg. mare
THE COMPLEAT ADVISOR	United States	4069581	12/13/2011
DIAS	United States	3900274	01/04/2011

TRADEMARK REEL: 006693 FRAME: 0166

**RECORDED: 07/12/2019**