

TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

ETAS ID: TM534809

SUBMISSION TYPE:	NEW ASSIGNMENT		
NATURE OF CONVEYANCE:	RELEASE OF SECURITY INTEREST		
CONVEYING PARTY DATA			
Name	Formerly	Execution Date	Entity Type
Barclays Bank PLC		08/01/2019	Public Limited Company: UNITED KINGDOM
RECEIVING PARTY DATA			
Name:	Advisor Group, Inc.		
Street Address:	20 E Thomas Rd.		
Internal Address:	Suite 2000		
City:	Phoenix		
State/Country:	ARIZONA		
Postal Code:	85004		
Entity Type:	Corporation: MARYLAND		
PROPERTY NUMBERS Total: 13			
Property Type	Number	Word Mark	
Serial Number:	87397475	AG ADVISOR GROUP	
Registration Number:	4478263	FSC	
Registration Number:	5316334	IN YOUR CORNER	
Registration Number:	4242052	ROYAL ALLIANCE	
Registration Number:	3796832	SAGEPOINT	
Registration Number:	4478147	TRANSITIONONE	
Registration Number:	2822245	VISION 2020 ONEVIEW	
Registration Number:	2809527	VISION2020	
Registration Number:	2715251	VISION2020 ADVISOR	
Registration Number:	3927050	VISION2020 WEALTH MANAGEMENT	
Registration Number:	4196306	WOODBURY CPA ALLIANCE	
Registration Number:	2597868	WOODBURY FINANCIAL CUSTOM ALLOCATION PRO	
Registration Number:	4196307	WOODBURY FINANCIAL SERVICES ASPIRE. ACHI	
CORRESPONDENCE DATA			
Fax Number:	2024083141		
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>			

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Phone:	2024083141
Email:	jean.paterson@cscglobal.com
Correspondent Name:	CSC
Address Line 1:	1090 Vermont Avenue, NW
Address Line 4:	Washington, D.C. 20005

ATTORNEY DOCKET NUMBER:	868547
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NAME OF SUBMITTER:	Jean Paterson
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SIGNATURE:	/jep/
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DATE SIGNED:	08/01/2019
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Total Attachments: 3

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RELEASE OF SECURITY INTEREST IN TRADEMARKS

This RELEASE OF SECURITY INTEREST IN TRADEMARKS (this “Release”), dated as of August 1, 2019 (the “Effective Date”), is made by Barclays Bank PLC, in its capacity as Collateral Agent (the “Agent”), in favor of Advisor Group, Inc. (the “Grantor”).

WHEREAS, pursuant to that certain Security Agreement, dated as of August 17, 2018, by and among the Agent, the Grantor and certain other parties thereto (together with all amendments, restatements, amended and restatements, supplements and modifications, if any, from time to time made thereto, the “Security Agreement”), the Grantor granted to the Agent, in its capacity as Collateral Agent for the benefit of the Secured Parties, a security interest in and to certain collateral;

WHEREAS, pursuant to the Security Agreement, the Grantor executed and delivered a Grant of Security Interest in Trademark Rights, dated as of August 17, 2018 (the “Trademark Security Agreement”), for recordal with the United States Patent and Trademark Office;

WHEREAS, the Trademark Security Agreement was recorded with the United States Patent and Trademark Office on August 20, 2018, at Reel/Frame 6418/0443;

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the Agent hereby agrees as follows:

1. Defined Terms. All capitalized terms used, but not otherwise defined herein, shall have the respective meanings ascribed in or otherwise referenced in the Security Agreement or the Trademark Security Agreement, as applicable.
2. Release. The Agent, without representation or warranty of any kind, hereby releases, discharges, terminates and cancels all of its lien on and security interest in, to and under the Collateral (as that term is defined in the Trademark Security Agreement), including the trademark registrations and applications set forth Schedule A attached hereto. If and to the extent that the Agent has acquired any right, title or interest in and to the Collateral, the Agent, without representation or warranty of any kind, hereby re-transfers, re-conveys and re-assigns such right, title or interest to the Grantor.
3. Termination. The Agent, without representation or warranty of any kind, terminates and cancels the Trademark Security Agreement.
4. Further Assurances. The Agent agrees to take all further actions, and provide to the Grantor and its successors, assigns or other legal representatives, all such cooperation and assistance (including, without limitation, the execution and delivery of any and all documents or other instruments), reasonably requested by the Grantor, at the Grantor’s sole cost and expense, to more fully and effectively effectuate the purposes of this Release.
5. Governing Law. This Release shall be governed by, and construed in accordance with, the laws of the state of New York.

IN WITNESS WHEREOF, the Agent has caused this Release to be executed by its duly authorized representative as of the Effective Date:

BARCLAYS BANK PLC,
as Agent

By. 

Name:

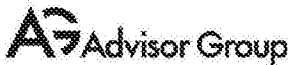

Title:

Ronnie Glenn
Director

[Signature Page to Trademark Release]

Schedule A

Trademark Registrations and Applications

	Owner	Trademark	Serial No.	Filing Date	Reg. No.	Reg. Date
1.	Advisor Group, Inc.	AG ADVISOR GROUP & Design 	87397475	04/04/2017		
2.	Advisor Group, Inc.	FSC	86013901	07/18/2013	4478263	02/04/2014
3.	Advisor Group, Inc.	IN YOUR CORNER	87397480	04/04/2017	5316334	10/24/2017
4.	Advisor Group, Inc.	ROYAL ALLIANCE	85600257	04/17/2012	4242052	11/13/2012
5.	Advisor Group, Inc.	SAGEPOINT	77644750	01/07/2009	3796832	06/01/2010
6.	Advisor Group, Inc.	TRANSITIONONE	85974292	07/01/2013	4478147	02/04/2014
7.	Advisor Group, Inc.	VISION 2020 ONEVIEW	76415839	06/04/2002	2822245	03/16/2004
8.	Advisor Group, Inc.	VISION2020	78195480	12/17/2002	2809527	01/27/2004
9.	Advisor Group, Inc.	VISION2020 ADVISOR	76355578	01/04/2002	2715251	05/13/2003
10.	Advisor Group, Inc.	VISION2020 WEALTH MANAGEMENT	85066634	06/18/2010	3927050	03/01/2011
11.	Advisor Group, Inc.	WOODBURY CPA ALLIANCE	78749979	11/09/2005	4196306	08/28/2012
12.	Advisor Group, Inc.	WOODBURY FINANCIAL CUSTOM ALLOCATION PROGRAM	76309770	09/07/2001	2597868	07/23/2002
13.	Advisor Group, Inc.	WOODBURY FINANCIAL SERVICES ASPIRE. ACHIEVE. & Design 	78786122	01/06/2006	4196307	08/28/2012