

## TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1  
Stylesheet Version v1.2

ETAS ID: TM539545

|   |   |                       |                                 |
|---|---|-----------------------|---------------------------------|
| <b>SUBMISSION TYPE:</b>   | NEW ASSIGNMENT                          |                       |                                 |
| <b>NATURE OF CONVEYANCE:</b>  | NUNC PRO TUNC ASSIGNMENT                |                       |                                 |
| <b>EFFECTIVE DATE:</b>  | 05/11/2017                              |                       |                                 |
| <b>CONVEYING PARTY DATA</b>   |   |                       |                                 |
| <b>Name</b>   | <b>Formerly</b>                         | <b>Execution Date</b> | <b>Entity Type</b>              |
| UMICORE AG & CO. KG   |   | 04/03/2017            | Limited Partnership:<br>GERMANY |
| <b>RECEIVING PARTY DATA</b>   |   |                       |                                 |
| <b>Name:</b>  | Umicore Technical Materials AG & Co. KG |                       |                                 |
| <b>Street Address:</b>  | Rodenbacher Chaussee 4                  |                       |                                 |
| <b>City:</b>  | Hanau                                   |                       |                                 |
| <b>State/Country:</b>   | GERMANY                                 |                       |                                 |
| <b>Postal Code:</b>   | 63457                                   |                       |                                 |
| <b>Entity Type:</b>   | Limited Partnership: GERMANY            |                       |                                 |
| <b>PROPERTY NUMBERS Total: 1</b>  |   |                       |                                 |
| <b>Property Type</b>  | <b>Number</b>                           | <b>Word Mark</b>      |                                 |
| <b>Registration Number:</b>   | 2727747                                 | BRAZETEC              |                                 |
| <b>CORRESPONDENCE DATA</b>  |   |                       |                                 |
| <b>Fax Number:</b>  | 2022634329                              |                       |                                 |
| <i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i> |   |                       |                                 |
| <b>Phone:</b>   | 202-263-4300                            |                       |                                 |
| <b>Email:</b>   | swoldow@sgrlaw.com                      |                       |                                 |
| <b>Correspondent Name:</b>  | Scott D. Woldow                         |                       |                                 |
| <b>Address Line 1:</b>  | 1055 Thomas Jefferson St. NW, Suite 400 |                       |                                 |
| <b>Address Line 4:</b>  | Washington, D.C. 20007                  |                       |                                 |
| <b>DOMESTIC REPRESENTATIVE</b>  |   |                       |                                 |
| <b>Name:</b>  | Scott Woldow                            |                       |                                 |
| <b>Address Line 1:</b>  | 1055 Thomas Jefferson St. NW            |                       |                                 |
| <b>Address Line 2:</b>  | Suite 400                               |                       |                                 |
| <b>Address Line 4:</b>  | Washington, D.C. 20007                  |                       |                                 |
| <b>NAME OF SUBMITTER:</b>   | SCOTT D. WOLDOW                         |                       |                                 |
| <b>SIGNATURE:</b>   | /SW/                                    |                       |                                 |
| <b>DATE SIGNED:</b>   | 09/05/2019                              |                       |                                 |

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**Total Attachments: 5**

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# CONFIRMATORY STATEMENT

We the undersigned

Umicore AG & Co. KG,  
Rodenbacher Chaussee 4,  
63457 Hanau-Wolfgang, Germany  
(HRA 92422 Local Court of Hanau)  
(referred to hereinafter as the "ASSIGNOR")

and

the undersigned

SAXONIA Technical Materials GmbH  
Rodenbacher Chaussee 4,  
63457 Hanau, Germany  
(HRB 96360, Local Court of Hanau)

legal successor of

Umicore Technical Materials AG & Co. KG  
Rodenbacher Chaussee 4,  
63457 Hanau, Germany  
(HRA 93427, Local Court of Hanau)  
(referred to hereinafter as the "ASSIGNEE")

hereby acknowledge that the ASSIGNOR has transferred to the ASSIGNEE all rights, title and interest in and to the following trademark rights, together with the goodwill of the business associated with said trademark rights,

| Trademark | Type of mark   | Classes | Country of protection | Registration number |
|-----------|----------------|---------|-----------------------|---------------------|
| BRAZETEC  | non-figurative | 1       | Argentina             | 1892287             |
| BRAZETEC  | non-figurative | 6       | Argentina             | 1892290             |
| BRAZETEC  | non-figurative | 40      | Argentina             | 1892292             |
| BRAZETEC  | non-figurative | 1,6,40  | Australia             | 874044              |
| BRAZETEC  | non-figurative | 1       | Brazil                | 824062736           |

| Trademark | Type of mark   | Classes | Country of protection | Registration number |
|-----------|----------------|---------|-----------------------|---------------------|
| BRAZETEC  | non-figurative | 6       | Brazil                | 824062663           |
| BRAZETEC  | non-figurative | 40      | Brazil                | 824063058           |
| BRAZETEC  | non-figurative | 1,6,40  | India                 | 2464541             |
| BRAZETEC  | non-figurative | 1,6,40  | Japan                 | 4591134             |
| BRAZETEC  | non-figurative | 1       | South Africa          | 200107578           |
| BRAZETEC  | non-figurative | 6       | South Africa          | 200107579           |
| BRAZETEC  | non-figurative | 40      | South Africa          | 200107580           |
| BRAZETEC  | non-figurative | 1,6     | USA                   | 2727747             |

by means of a spin-off and acquisition agreement dated 3 April 2017 duly notarized by the notary public Eberhard Uhlig, Hanau, (deed register No. 292/2017 U).

The assignment came into effect on 11 May 2017 when the transaction, as part of which the aforementioned trademark was assigned, was entered into the Commercial Register entries for both companies.

In a second step, a universal succession occurred legally from Umicore Technical Materials AG & Co. KG to SAXONIA Technical Materials GmbH by means of a share purchase agreement dated 30 November 2017 and closing confirmation dated 31 January 2018 (effective date).

Both partners in the private limited partnership Umicore Technical Materials AG & Co. KG, i.e. the former limited partner Umicore Holding 3 Germany GmbH and the former general partner Umicore AG & Co. KG, transferred their respective partnerships to SAXONIA Technical Materials GmbH. Because the partnerships in the former limited partner and the former general partner thus united into one party, which is SAXONIA Technical Materials GmbH, the former private limited partnership Umicore Technical Materials AG & Co. KG ceased to exist by law as a private limited partnership, and simultaneously all its assets accrued to the acquirer SAXONIA Technical Materials GmbH.

As a result, all business operations including all employees, assets, contracts, rights and obligations of the extinct Umicore Technical Materials AG & Co. KG passed over to SAXONIA Technical Materials GmbH.

Date: 30<sup>th</sup> July 2011

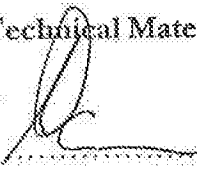
Umicore AG & Co. KG, Hanau-Wolfgang, Germany

Signature: 

Name: Dr. Berndt Feuß

Title: Vorsitz (sole member of the board)

SAXONIA Technical Materials GmbH, Hanau, Germany

Signature: 

Name: Torsten Warwas

Title: Managing Director

Hiermit beglaubige ich die heute vor mir persönlich geleisteten Unterschriften von

1. **Herrn Dr. Bernhard Fuchs**, geb. am 02.09.1968,  
dienstliche Anschrift Rodenbacher Chaussee 4, 63457 Hanau,  
ausgewiesen durch gültigen Personalausweis.
  
2. **Herrn Torsten Warwas**, geb. am 03.01.1962,  
dienstliche Anschrift Rodenbacher Chaussee 4, 63457 Hanau,  
ausgewiesen durch gültigen Personalausweis.

In meiner Eigenschaft als Notar bescheinige ich hiermit aufgrund heutiger  
Einsichtnahme in das Handelsregister des Amtsgerichts Hanau,

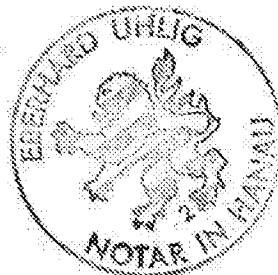
- a) HRA 92422, dass die Firma Umicore Management AG die alleinige persönlich  
haftende Gesellschafterin der Firma Umicore AG & Co. KG ist.
- b) HRB 7200, dass **Herr Dr. Bernhard Fuchs** zur Vertretung der Firma Umicore  
Management AG berechtigt sind,
- c) HRB 96360, dass **Herr Torsten Warwas** einzeln zur Vertretung der Firma  
SAXONIA Technical Materials GmbH berechtigt ist.

Gleichzeitig halte ich fest, dass die Frage nach einer Vorbefassung i.S.d. § 3 Absatz 1  
Ziffer 7 BeurkG verneint wurde.

Hanau, den 30. Juli 2019

(Rodenbacher Chaussee 4, Hanau)

Eberhard Uhlig  
Notar



I herewith certify the signatures acknowledged in front of me of

1. **Herrn Dr. Bernhard Fuchs**, geb. am 02.09.1968,  
with registered office at Rodenbacher Chaussee 4, 63457 Hanau,  
identified himself by his German ID-card
  
2. **Herrn Torsten Warwas**, geb. am 03.01.1962,  
with registered office at Rodenbacher Chaussee 4, 63457 Hanau,  
identified himself by his German ID-card

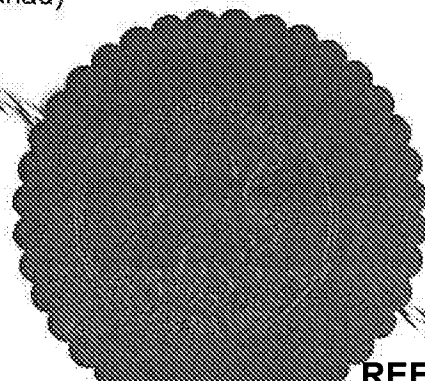
On the basis of today's inspection of the commercial register at the local court in Hanau under

- a) HRA 92422 I herewith confirm that the company **Umicore Management AG** is entitled to represent the company **Umicore AG & Co. KG** at Hanau.
  
- b) HRB 7200 I herewith confirm that Mr. **Dr. Bernhard Fuchs** is entitled to represent the company **Umicore Management AG** at Hanau.
  
- c) HRB 96360 I herewith confirm that Mr. **Torsten Warwas** are entitled to represent the company **SAXONIA Technical Materials GmbH** at Hanau.

I do establish at the same time, that the interested parties denied the questions of a prior involvement according to § 3 Sec. No. 7. BeurkG.

Hanau, July 30<sup>th</sup>, 2019  
(Rodenbacher Chaussee 4, Hanau)

Eberhard Uhlig  
notary



TRADEMARK