

## TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1  
Stylesheet Version v1.2

ETAS ID: TM541573

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT		
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT OF THE ENTIRE INTEREST AND THE GOODWILL		
<b>CONVEYING PARTY DATA</b>			
<b>Name</b>	<b>Formerly</b>	<b>Execution Date</b>	<b>Entity Type</b>
Commonwealth Equity Services, LLC		08/31/2018	Limited Liability Company: MASSACHUSETTS
<b>RECEIVING PARTY DATA</b>			
<b>Name:</b>	Advisor360, LLC		
<b>Street Address:</b>	133 Boston Post Road		
<b>City:</b>	Weston		
<b>State/Country:</b>	MASSACHUSETTS		
<b>Postal Code:</b>	02493		
<b>Entity Type:</b>	Limited Liability Company: MASSACHUSETTS		
<b>PROPERTY NUMBERS Total: 11</b>			
<b>Property Type</b>	<b>Number</b>	<b>Word Mark</b>	
<b>Serial Number:</b>	88029453	ADVICE360	
<b>Serial Number:</b>	88198313	PRACTICE360	
<b>Registration Number:</b>	4365132	1-CLICK REVIEW	
<b>Registration Number:</b>	3262418	INVESTOR360	
<b>Registration Number:</b>	3099175	CLIENT360	
<b>Registration Number:</b>	3892041	ADVISOR360	
<b>Registration Number:</b>	3800329	WEALTHGUIDE	
<b>Registration Number:</b>	4234369	YOUR COMPLETE FINANCIAL LIFE	
<b>Registration Number:</b>	3104350	PORTFOLIO TODAY	
<b>Registration Number:</b>	2378056	WEALTH MAP	
<b>Registration Number:</b>	2525975	4MYACCOUNT!	
<b>CORRESPONDENCE DATA</b>			
<b>Fax Number:</b>			
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>			
<b>Phone:</b>	(978) 582-5550		
<b>Email:</b>	loufranco@comcast.net		
<b>Correspondent Name:</b>	Law Office of Louis J. Franco		
<b>Address Line 1:</b>	250 Arbor Street		

OP \$290.00 88029453

**Address Line 4:** Lunenburg, MASSACHUSETTS 01462

**NAME OF SUBMITTER:** Louis J. Franco

**SIGNATURE:** /Louis J. Franco/

**DATE SIGNED:** 09/19/2019

**Total Attachments: 4**

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## TRADEMARK ASSIGNMENT AGREEMENT

THIS TRADEMARK ASSIGNMENT AGREEMENT (this "Assignment"), is dated August 27, 2019 and delivered by Commonwealth Equity Services, LLC ("Assignor"), to and in favor of Advisor360°, LLC ("Assignee"). Both Assignor and Assignee are collectively referred to herein as the "Parties".

**WHEREAS**, Assignor agreed to assign to Assignee, and Assignee wishes to acquire from Assignor, all of the Assignor's rights, title and interest in and to the trademark registration identified and set forth on Schedule A attached hereto, and all goodwill associated with the foregoing (collectively, the "Assigned Trademarks");

**WHEREAS**, Assignee is the successor to that portion of the assets of the business of Assignor to which the Assigned Trademarks pertains and such business is ongoing;

**WHEREAS**, the Parties' intent was to assign the rights, title and interest in and to the trademarks identified and set forth on Schedule A as of August 31, 2018;

**WHEREAS**, Assignor wishes to confirm Assignee's ownership of the trademark and assign to Assignee all worldwide right, title and interest in and to the Assigned Trademarks.

**NOW, THEREFORE**, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the Assignor does hereby agree as follows:

1. Assignment of Assigned Trademarks. Assignor hereby irrevocably sells, transfers, conveys, assigns and delivers to Assignee any and all worldwide right, title and interest Assignor holds, or may hold, in and to the Assigned Trademarks, including the goodwill of the business in connection with which said mark is used and which is symbolized by said mark, together with all rights derived therefrom and any registrations and applications therefor, including, without limitation, statutory, common law and contractual rights, in, to and under the Assigned Trademarks that are or may be secured under the laws of the United States, any multi-national trademark authority or any foreign country, now or hereafter arising or in effect, the same to be held and enjoyed by Assignee for its own use and enjoyment and the use and enjoyment of its successors and assigns to the end of the term or terms for which the Assigned Trademarks is granted or reissued or extended as fully and entirely as the same would have been held and enjoyed by Assignor if this assignment and sale had not been made, and in and to all rights to collect income, royalties, damages and payments in connection with any of the foregoing, including, without limitation, in and under all causes of action (either in law or in equity), and the right to sue, counterclaim, and recover for past, present and future infringement of the rights assigned under this Assignment.
2. Effective Date of Assignment. The effective date of the assignment of the Trademarks is as of August 31, 2018.

3. Recordation of Assignment. The Parties hereby request and authorize the relevant authority at the United States Patent and Trademark Office and/or the applicable foreign authorities to record Assignee as the assignee and owner of the Assigned Trademarks.
4. Further Assistance. Assignor shall provide Assignee, its successors and assigns, and their legal representatives such information, documents and assistance as Assignee or any such other person or entity may reasonably request to effectuate and implement this Assignment.
5. Counterparts. This Assignment may be executed by the Parties hereto in separate counterparts, each of which when so executed and delivered shall be an original, and all of which together shall constitute one and the same instrument.

[Signature Page Follows]

**IN WITNESS WHEREOF**, Assignor and Assignee have caused this Assignment to be duly executed in duplicate originals by their duly authorized representative on the date first written above.

**ASSIGNOR**

Commonwealth Equity Services, LLC

DocuSigned by:  
By: Trap Kroman  
Name: Trap Kroman  
Title: President and Chief Operating Officer

**ASSIGNEE**

Advisor360°, LLC

DocuSigned by:  
By: Darren Tedesco  
Name: Darren Tedesco  
Title: President

**Schedule A****Assigned Trademarks**

<b>Mark</b>	<b>Country</b>	<b>Reg. No./ Reg. Date</b>	<b>Serial Number</b>
ADVICE360	US		88029453
1-CLICK REVIEW	US	4365132	85255460
INVESTOR360	US	3262418	78594642
CLIENT360	US	3099175	78458411
ADVISOR360	US	3892041	77862449
WEALTHGUIDE	US	3800329	77568321
YOUR COMPLETE FINANCIAL LIFE	US	4234369	77924378
PORTFOLIO TODAY	US	3104350	76523206
WEALTH MAP	US	2378056	75505285
4MYACCOUNT!	US	2525975	75926170
PRACTICE360	US		88198313

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