

## TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1  
 Stylesheet Version v1.2

ETAS ID: TM543512

<b>SUBMISSION TYPE:</b>	RESUBMISSION		
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT OF THE ENTIRE INTEREST AND THE GOODWILL		
<b>RESUBMIT DOCUMENT ID:</b>	900514886		
<b>CONVEYING PARTY DATA</b>			
<b>Name</b>	<b>Formerly</b>	<b>Execution Date</b>	<b>Entity Type</b>
Traust Sollus Wealth Management, LLC		03/31/2018	Limited Liability Company: DELAWARE
<b>RECEIVING PARTY DATA</b>			
<b>Name:</b>	Mercer Advisors Inc.		
<b>Doing Business As:</b>	DBA Mercer Advisors		
<b>Street Address:</b>	1200 17th Street, Suite 500		
<b>Internal Address:</b>	Legal		
<b>City:</b>	Denver		
<b>State/Country:</b>	COLORADO		
<b>Postal Code:</b>	80202		
<b>Entity Type:</b>	Corporation: DELAWARE		
<b>PROPERTY NUMBERS Total: 3</b>			
<b>Property Type</b>	<b>Number</b>	<b>Word Mark</b>	
<b>Serial Number:</b>	86715318	WEALTH BUILDING FORMULA	
<b>Serial Number:</b>	85031445	TRAUST SOLLUS	
<b>Serial Number:</b>	78864740	HELPING CLIENTS ACHIEVE AND MAINTAIN FIN	
<b>CORRESPONDENCE DATA</b>			
<b>Fax Number:</b>	8055652738		
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>			
<b>Phone:</b>	7205008184		
<b>Email:</b>	mathew.encino@merceradvisors.com		
<b>Correspondent Name:</b>	Mathew R Encino		
<b>Address Line 1:</b>	1200 17th Street, Suite 500		
<b>Address Line 2:</b>	Deputy General Counsel		
<b>Address Line 4:</b>	Denver, COLORADO 80202		
<b>NAME OF SUBMITTER:</b>	Mathew R Encino		
<b>SIGNATURE:</b>	/Mathew R Encino/		
<b>DATE SIGNED:</b>	10/02/2019		

**Total Attachments: 1**

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## ASSIGNMENT OF PROPRIETARY RIGHTS

THIS ASSIGNMENT OF PROPRIETARY RIGHTS (this "Assignment") is being executed and delivered and is effective as of March 31, 2018 (the "Effective Date"), by Traust Sollus Wealth Management, LLC, a Delaware limited liability company ("Seller") to and for the benefit of Mercer Advisors Inc., a Delaware corporation ("Buyer").

### Recitals

WHEREAS, Seller and Buyer are parties to that certain Asset Purchase Agreement, dated as of January 24, 2018 (the "Asset Purchase Agreement"), pursuant to which, among other things, Seller has agreed to sell the Acquired Assets (as defined under the Asset Purchase Agreement, which represent substantially all of Seller's assets, including its Proprietary Rights) to Buyer on and as of the Effective Date, upon the terms and subject to the conditions set forth in the Asset Purchase Agreement. Capitalized terms used but not defined in this Assignment are defined in the Asset Purchase Agreement.

### Assignment

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, and pursuant to the Asset Purchase Agreement, Seller hereby agrees as follows:

1. Seller hereby assigns to Buyer all of Seller's right, title, and interest in the Proprietary Rights.

2. This Assignment shall be governed by, and construed in accordance with, the laws of the State of New Jersey, without giving effect to conflicts of law principles. Any dispute arising out of this Assignment shall be brought in a court of competent jurisdiction in accordance with the jurisdiction and venue provisions of Section 9.16 of the Asset Purchase Agreement. This Assignment and all of the provisions hereof shall be binding upon Buyer and inure to the benefit of Seller and its successors and assigns.

3. A signed copy of this Assignment delivered by facsimile, e-mail or other means of electronic transmission shall be deemed to have the same legal effect as delivery of an original signed copy of this Assignment.

IN WITNESS WHEREOF, Seller has executed this Assignment as of the date first written above.

**TRAUST SOLLUS WEALTH  
MANAGEMENT, LLC**

By: 

Name: Albert J. Zdenek, Jr.

Title: President & Chief Executive Officer