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# TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 ETAS ID: TM544006

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	SECURITY INTEREST

## **CONVEYING PARTY DATA**

Name	Formerly	Execution Date	Entity Type
Pulse Health LLC		10/03/2019	Limited Liability Company: OREGON

## **RECEIVING PARTY DATA**

Name:	ANNA-MARIA AND STEPHEN KELLEN FOUNDATION, INC.		
Street Address:	1345 AVENUE OF THE AMERICAS, 47TH FLOOR		
City:	NEW YORK		
State/Country:	NEW YORK		
Postal Code:	10105		
Entity Type:	Private Foundation: NEW YORK		
Name:	CHRISTOPHER L. MARSH, AS TRUSTEE OF THE CHRISTOPHER L. MARSH REVOCABLE TRUST U/A/D SEPTEMBER 1, 2015		
Street Address:	17367 LAKE HAVEN DRIVE		
City:	LAKE OSWEGO		
State/Country:	OREGON		
Postal Code:	97035		
Entity Type:	Trust: OREGON		
Composed Of:	CHRISTOPHER L. MARSH, UNITED STATES, INDIVIDUAL		
Name:	MARK WEINSTEIN, TRUSTEE OF THE WEINSTEIN FAMILY TRUST		
Street Address:	1640 5TH ST. #112		
City:	SANTA MONICA		
State/Country:	CALIFORNIA		
Postal Code:	90401		
Entity Type:	Trust: CALIFORNIA		
Composed Of:	MARK WEINSTEIN, UNITED STATES, INDIVIDUAL		
Name:	ROBERT DEUTSCHMAN, TRUSTEE OF THE DEUTSCHMAN FAMILY REVOCABLE TRUST		
Street Address:	10990 WILSHIRE BLVD. SUITE 1200		
City:	LOS ANGELES		
State/Country:	CALIFORNIA		

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Entity Type:	Trust: CALIFORNIA
Composed Of:	ROBERT DEUTSCHMAN, UNITED STATES, INDIVIDUAL

#### **PROPERTY NUMBERS Total: 2**

Property Type	Number	Word Mark
Registration Number:	3931641	REVELAR
Registration Number:	4532867	PULSEHEALTH

#### CORRESPONDENCE DATA

**Fax Number:** 5032202480

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

**Phone:** 503-294-9584

**Email:** patrick.hartigan@stoel.com

Correspondent Name: Anne W. Glazer / Stoel Rives LLP

Address Line 1: Stoel Rives LLP

**Address Line 2:** 760 SW Ninth Avenue, Suite 3000

Address Line 4: Portland, OREGON 97205

NAME OF SUBMITTER:	Patrick P. Hartigan, SR Paralegal
SIGNATURE:	/Patrick P. Hartigan/
DATE SIGNED:	10/04/2019

#### **Total Attachments: 7**

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## AMENDMENT NO. 5 TO SECURITY INTEREST IN PATENTS AND TRADEMARKS

THIS AMENDMENT NO. 5 TO SECURITY INTEREST IN PATENTS AND TRADEMARKS (this "Amendment"), effective as of October 3, 2019, amends the Security Interest in Patents and Trademarks dated as of November 19, 2015, made by Pulse Health LLC, an Oregon limited liability company (the "Grantor"), and recorded with the United States Patent and Trademark Office on November 25, 2015, as amended by an Amendment No. 1 to Security Interest in Patents and Trademarks dated as of November 22, 2016, and recorded with the United States Patent and Trademark Office on November 28, 2016; and as amended by an Amendment No. 2 to Security Interest in Patents and Trademarks dated as of March 17, 2017, and recorded with the United States Patent and Trademark Office on March 17, 2017; and as amended by an Amendment No. 3 to Security Interest in Patents and Trademarks dated as of June 1, 2017, and recorded with the United States Patent and Trademark Office on June 5, 2017; and as amended by an Amendment No. 4 to Security Interest in Patents and Trademarks dated as of September 8, 2017, and recorded with the United States Patent and Trademark Office on September 11, 2017 (as amended, the "Security Interest"). Capitalized terms used and not otherwise defined herein have the meanings given to such terms in the Security Interest.

FOR GOOD AND VALUABLE CONSIDERATION, receipt and sufficiency of which are hereby acknowledged, the Grantor hereby agrees as follows:

- 1. Exhibit A to the Security Interest is hereby amended by adding the persons and legal entities listed on Exhibit A attached hereto as Grantees. For the avoidance of doubt, the Grantor hereby grants to such persons and legal entities a security interest in (i) all of the Grantor's right, title and interest in and to the Marks; (ii) all of the Grantor's right, title and interest in and to the Patents; (iii) all "proceeds" (as such term is defined in the Agreement) of the Marks and Patents; (iv) the goodwill of the businesses with which the Marks are associated; and (v) all causes of action arising prior to or after the date hereof for infringement of any of the Marks and Patents or unfair competition regarding the same.
- 2. Schedule A to the Security Interest is hereby amended and restated to read in its entirety as Schedule A attached hereto.
- 3. Schedule B to the Security Interest is hereby amended and restated to read in its entirety as Schedule B attached hereto.
- 4. All references in the Security Interest to "Security Interest," "herein," "hereof," or terms of like import referring to the Security Interest or any portion thereof are hereby amended to refer to the Security Interest as amended by this Amendment.
- 5. Except as and to the extent expressly modified by this Amendment, the Security Interest shall remain in full force and effect in all respects.

IN WITNESS WHEREOF, the undersigned has executed this Amendment as of the date first set forth above.

## **Pulse Health LLC**

By:

Name: Christopher L. Marsh

Title: Director

## Exhibit A to Amendment No. 5 to

## **Security Interest in Patents and Trademarks**

#### **Additional Grantees**

- 1. Anna-Maria and Stephen Kellen Foundation, Inc.
- 2. Christopher L. Marsh, as Trustee of the Christopher L. Marsh Revocable Trust u/a/d September 1, 2015
- 3. Mark Weinstein, Trustee of the Weinstein Family Trust
- 4. Robert Deutschman, Trustee of the Deutschman Family Revocable Trust

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# SCHEDULE A

# LIST OF TRADEMARKS

<u>MARK</u>	APP./REG. NOS.	FILING/REG. DATES
REVELAR	77/669,468 3,931,641	2/12/2009 3/15/2011
PULSEHEALTH	86/062,109 4,532,867	9/11/2013 5/20/2014

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# SCHEDULE B

# LIST OF PATENTS AND PATENT APPLICATIONS

Filing Location	Title	App. No./Patent No.	Filing Date/Grant Date	Status
U.S.	BREATH ANALYSIS DEVICE	62/018,448	June 27, 2014	Expired
U.S.	BREATH ANALYSIS DEVICE	62/149,988	April 20, 2015	Expired
U.S.	PHENYL DIAMINE COMPOUNDS AS NOVEL REPORTERS FOR ALDEHYDE DETECTION AND QUANTITATION	62/156,441	May 4, 2015	Expired
U.S.	BREATH ANALYSIS SYSTEM	62/296,947	Feb 18, 2016	Expired
U.S.	METHODS, SYSTEMS, AND COMPOSITIONS FOR DETECTION OF ALDEHYDES	15/436,103	Feb 17, 2017	Pending
U.S.	BREATH ANALYSIS SYSTEM	14/754,534 9,797,815	June 29, 2015 Oct 24, 2017	Issued
U.S.	METHOD AND DEVICE FOR CARBONYL DETECTION AND QUANTITATION	14/754,567 9,404,836	June 29, 2015 August 2, 2016	Issued
U.S.	ANALYSIS DEVICE	29/531,769 D795723	June 29, 2015 August 29, 2017	Issued
U.S.	BREATH CARTRIDGE	29/531,770 D797,942	June 29, 2015 Sept 19, 2017	Issued
U.S.	BREATH CARTRIDGE AND HANDLE	29/531,771 D773,055	June 29, 2015 Nov 29, 2016	Issued

Filing	Title	App. No./Patent	Filing	Status
Location		No.	Date/Grant Date	
U.S.	ANALYSIS	14/754,594	June 29, 2015	
	CARTRIDGE AND	9,546,930	Jan 17, 2017	Issued
	METHOD FOR USING			
	SAME			
U.S.	BREATH ANALYSIS	14/754,608	June 29, 2015	
	SYSTEM	9,494,495	Nov 15, 2016	Issued
U.S.	FLUORESCENCE	14/754,618	June 29, 2015	
	DETECTION	9,594,005	March 14, 2017	Issued
	ASSEMBLY			
U.S.	ANALYSIS	15/224,251	July 29, 2016	Pending
	CARTRIDGE			
U.S.	BREATH ANALYSIS	15/351,406	Nov 14, 2016	
	SYSTEM			Allowed
U.S.	ANALYSIS	15/408,276	Jan 17, 2017	
	CARTRIDGE AND	10,197,477	Feb 5, 2019	Issued
	METHOD FOR USING			
	SAME			
U.S.	ANALYSIS	16/266,874	Feb 4, 2019	Pending
	CARTRIDGE AND			
	METHOD FOR USING			
****	SAME	(21127.070	7. 2016	
U.S.	ALDEHYDE TESTING	62/435,058	Dec 15, 2016	
	DEVICE AND			Expired
	METHOD OF USE	(2/520.052	4	
U.S.	METHODS AND	62/539,872	August 1, 2017	
	SYSTEMS FOR			Expired
	ALDEHYDE			
11.0	DETECTION	16/050 450	T. 1. 21. 2010	D 1'
U.S.	METHODS AND	16/050,459	July 31, 2018	Pending
	SYSTEMS FOR			
	ALDEHYDE			
IIC	DETECTION	15/044 200	Dec 15, 2017	Dandin
U.S.	ALDEHYDE	15/844,288	Dec 15, 2017	Pending
	ANALYSIS SYSTEM			
	AND METHOD OF USE			

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Filing Location	Title	App. No./Patent No.	Filing Date/Grant Date	Status
U.S.	BREATH CAPTURE AND ANALYSIS SYSTEM	16/403,309	May 3, 2019	Pending
PCT	ALDEHYDE ANALYSIS SYSTEM AND METHOD OF USE	PCT/US17/66828	Dec 15, 2017	Inactive
PCT	METHODS AND SYSTEMS FOR ALDEHYDE DETECTION	PCT/US18/44583	July 31, 2018	Pending
PCT	BREATH ANALYSIS SYSTEM	PCT/US15/38386	June 29, 2015	Inactive
PCT	METHOD AND DEVICE FOR CARBONYL DETECTION AND QUANTITATION	PCT/US15/38389	June 29, 2015	Inactive
PCT	ANALYSIS CARTRIDGE AND METHOD FOR USING SAME	PCT/US15/38391	June 29, 2015	Inactive
PCT	BREATH ANALYSIS SYSTEM	PCT/US15/38392	June 29, 2015	Inactive
PCT	FLUORESCENCE DETECTION ASSEMBLY	PCT/US15/38394	June 29, 2015	Inactive
PCT	METHODS, SYSTEMS, AND COMPOSITIONS FOR DETECTION OF ALDEHYDES	PCT/US2017/18399	Feb 17, 2017	Inactive

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**RECORDED: 10/04/2019**