

## TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1  
Stylesheet Version v1.2

ETAS ID: TM544756

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT		
<b>NATURE OF CONVEYANCE:</b>	SECURITY INTEREST		
<b>CONVEYING PARTY DATA</b>			
<b>Name</b>	<b>Formerly</b>	<b>Execution Date</b>	<b>Entity Type</b>
Haematologic Technologies, Inc.		10/11/2019	Corporation: VERMONT
<b>RECEIVING PARTY DATA</b>			
<b>Name:</b>	Fidus Investment Corporation, as Collateral Agent		
<b>Street Address:</b>	1603 Orrington Avenue, Suite 1005		
<b>City:</b>	Evanston		
<b>State/Country:</b>	ILLINOIS		
<b>Postal Code:</b>	60201		
<b>Entity Type:</b>	Corporation: MARYLAND		
<b>PROPERTY NUMBERS Total: 3</b>			
<b>Property Type</b>	<b>Number</b>	<b>Word Mark</b>	
<b>Registration Number:</b>	3288052	HAEMATOLOGIC TECHNOLOGIES INC.	
<b>Registration Number:</b>	3293834	HAEMATOLOGIC TECHNOLOGIES INC.	
<b>Registration Number:</b>	4566920	HAEMTECH BIOPHARMA SERVICES	
<b>CORRESPONDENCE DATA</b>			
<b>Fax Number:</b>			
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>			
<b>Phone:</b>	7043778156		
<b>Email:</b>	jcarusone@robinsonbradshaw.com		
<b>Correspondent Name:</b>	Jennifer Carusone		
<b>Address Line 1:</b>	101 N. Tryon Street, Suite 1900		
<b>Address Line 2:</b>	Robinson, Bradshaw & Hinson, P.A.		
<b>Address Line 4:</b>	Charlotte, NORTH CAROLINA 28246		
<b>NAME OF SUBMITTER:</b>	Jennifer Carusone		
<b>SIGNATURE:</b>	/Jennifer Carusone/		
<b>DATE SIGNED:</b>	10/11/2019		
<b>Total Attachments: 3</b>			
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source=Fidus-HTI-Grant of Security Interest in Trademarks and Patents (Executed)#page2.tif			

CH \$90.00 3288052



**NOTICE OF GRANT OF SECURITY INTEREST  
IN PATENTS AND TRADEMARKS**

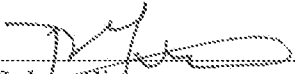
**WHEREAS, HAEMATOLOGIC TECHNOLOGIES, INC.** (the “Pledgor”) is the owner of the trademark applications and registrations listed on Schedule A attached hereto (all such trademarks, registrations and applications, collectively, the “Trademarks”) and is the owner of the patents and patent applications listed on Schedule A attached hereto (all such patents, registrations and applications, collectively, the “Patents”); and

**WHEREAS**, the Pledgor has entered into a Pledge and Security Agreement (as amended, modified, restated or supplemented from time to time, the “Security Agreement”), dated as of October 11, 2019, in which the Pledgor has agreed with **FIDUS INVESTMENT CORPORATION**, as Collateral Agent (the “Collateral Agent”), with offices at 1603 Orrington Avenue, Suite 1005, Evanston, IL 60201, to execute this Grant;

**NOW, THEREFORE**, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, as security for the payment and performance of the Secured Obligations (as defined in the Security Agreement), the Pledgor does hereby confirm the grant to the Collateral Agent a security interest in all of its right, title and interest in and to the Trademarks and the Patents, and the use thereof, together with all proceeds and products thereof and the goodwill of the businesses symbolized by the Trademarks. This Grant has been given in conjunction with the security interest granted to the Collateral Agent under the Security Agreement, and the provisions of this Grant are without prejudice to and in addition to the provisions of the Security Agreement.

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HAEMATOLOGIC TECHNOLOGIES, INC.

By:   
Name: Robert Girton  
Title: Secretary  
Date: October 11, 2019

**Schedule A**

**TRADEMARKS AND TRADEMARK APPLICATIONS**

<u>Owner</u>	<u>Mark</u>	<u>Application or Registration No.</u>	<u>Country</u>	<u>Issue or Filing Date</u>
Haematologic Technologies, Inc.	Haematologic Technologies, Inc. (Word mark)	3288052	United States	Sep 4, 2007
Haematologic Technologies, Inc.	Haematologic Technologies, Inc. (Design)	3293834	United States	Sep 18, 2007
Haematologic Technologies, Inc.	Haemtech Biopharma Services (Design)	4566920	United States	July 15, 2014

**PATENTS AND PATENT APPLICATIONS**

<u>Owner</u>	<u>Application or Registration No.</u>	<u>Country</u>	<u>Inventor</u>	<u>Issue or Filing Date</u>
Haematologic Technologies, Inc.	2003290663	United States	Richard J. Jenny; Saulius Butenas	November 6, 2003
Haematologic Technologies, Inc.	7,604,932	United States	Richard J. Jenny; Saulius Butenas	October 20, 2009/ November 6, 2003