

TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

ETAS ID: TM549057

SUBMISSION TYPE:	NEW ASSIGNMENT		
NATURE OF CONVEYANCE:	ASSIGNMENT OF THE ENTIRE INTEREST AND THE GOODWILL		
CONVEYING PARTY DATA			
Name	Formerly	Execution Date	Entity Type
Preston R. Ford		10/11/2019	INDIVIDUAL:
Robert J. Russel		10/11/2019	INDIVIDUAL:
RECEIVING PARTY DATA			
Name:	Instinet Holdings Incorporated		
Street Address:	309 West 49th Street		
Internal Address:	Worldwide Plaza		
City:	New York		
State/Country:	NEW YORK		
Postal Code:	10019-7316		
Entity Type:	Corporation: DELAWARE		
PROPERTY NUMBERS Total: 1			
Property Type	Number	Word Mark	
Registration Number:	3715654	BLOCKCROSS	
CORRESPONDENCE DATA			
Fax Number:	2128066006		
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>			
Phone:	2128066675		
Email:	tm@stroock.com		
Correspondent Name:	Laura Goldbard George		
Address Line 1:	180 Maiden Lane, 38th floor		
Address Line 2:	Stroock & Stroock & Lavan LLP		
Address Line 4:	New York, NEW YORK 10038-4982		
ATTORNEY DOCKET NUMBER:	003038/0001		
NAME OF SUBMITTER:	Laura Goldbard George		
SIGNATURE:	/laura goldbard george/		
DATE SIGNED:	11/12/2019		
Total Attachments: 6			
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Schedule A

FORM OF INTELLECTUAL PROPERTY ASSIGNMENT AGREEMENT

This Intellectual Property Assignment Agreement (this "Agreement") is made effective as of October 11, 2019 (the "Effective Date"), and is by and between Instinet Holdings Incorporated a Delaware corporation having an address at Worldwide Plaza 309 West 49th Street New York, NY 10019-7316 (the "Assignee"), and Preston R. Ford, an individual residing at 63 Longview Drive, Marblehead, Massachusetts 01945 ("Ford"), Robert J. Russel, an individual residing at 5726 Clarendon Drive, Naples, Florida 34113 ("Russel") and Neil Adams, an individual residing at 1175 County Rd 22, Grafton, Ontario K0K 2G0 , Canada ("Adams") (Ford, Russel and Adams each an "Assignor" and collectively the "Assignors") and is being entered into pursuant to that certain Purchase Agreement (the "Purchase Agreement"), dated as of October 11, 2019, by and among Assignee and the Assignors. Capitalized terms used but not defined in this Agreement shall have the meanings set forth in the Purchase Agreement.

WHEREAS, pursuant to the Purchase Agreement, Assignors agreed to sell, assign, transfer, and convey and deliver to Assignee all right, title and interest in the Assigned Intellectual Property (which includes, without limitation, the trademarks and patents set forth on Schedule 1 to this Agreement) (the "Assigned Registered Intellectual Property").

NOW, THEREFORE, in consideration of the payment by Assignors to Assignee under the Purchase Agreement, and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged:

1. Conveyance. Assignors do hereby sell, assign, transfer and convey to Assignee, and Assignee does hereby purchase, acquire, and accept from Assignors, free and clean of all Liens, all rights, title and interest in and to the Assigned Registered Intellectual Property, together with the goodwill connected with the use of and symbolized by any of the foregoing and the right to sue for past, present, and future infringement, misappropriation, or dilution thereof to be held and enjoyed by Assignee, its successors, heirs, and assigns on and as of the Effective Date.

2. Recordation and Further Actions. Assignors hereby authorize the Commissioner for Patents and the Commissioner for Trademarks in the United States Patent and Trademark Office and the officials of corresponding entities or agencies in any applicable jurisdictions to record and register this Agreement upon request by Assignee. Upon Assignee's reasonable request, Assignors shall take such steps and actions, and provide such cooperation and assistance to Assignee and its successors, assigns, and legal representatives, including the execution and delivery of any affidavits, declarations, oaths, exhibits, assignments, powers of attorney, or other documents, as may be necessary to effect, evidence, or perfect the assignment of the Assigned Registered Intellectual Property to Assignors or from Assignors to Assignee, or any assignee or successor thereto.

3. Additional Rights. If and to the extent that, under or as a matter of any law in any jurisdiction, ownership, title, or any rights or interest in or to any Assigned Registered Intellectual Property cannot be assigned, transferred or conveyed as provided in this Agreement or the Purchase Agreement, including, but not limited to, situations in which it is discovered that any of the Assigned Intellectual Property is not held in the name of an Assignor as of the Effective Date, Assignors agree to make or obtain any additional assignment, conveyance, and transfer in or to any Assigned Intellectual Property to the fullest extent permissible for Assignee to receive the rights under this Agreement and the Purchase Agreement in and to all Assigned Intellectual Property.

4. Amendment. This Agreement may not be amended, supplemented or otherwise modified except in a written document signed by each party to be bound by the amendment and that identifies itself as an amendment to this Agreement.

5. Governing Law. All matters arising from or relating to this Agreement and the transactions contemplated hereby shall be governed by and construed in accordance with the internal Laws of the State of New York without

giving effect to any choice or conflict of law provision or rule. Each Party hereto irrevocably and unconditionally submits to the exclusive jurisdiction of The United States District Court for the Southern District of New York or, if such court does not have jurisdiction, New York State Supreme Court in the borough of Manhattan, for purposes of enforcing this Agreement.

6. No Assignment. This Agreement shall not be assignable by either Seller, and any attempted assignment of the Agreement or any right or obligation shall be void ab initio. Assignee shall be free to assign this Agreement or its rights hereunder to one or more successors in interest and assigns in connection with the transfer of any Purchased Asset. This Agreement shall be binding upon and inure to the benefit of the Parties and their respective successors and permitted assigns.

7. General. This Agreement (a) does not modify or affect, and is subject to, the provisions of the Purchase Agreement and (b) may be signed in counterparts as provided in Section 6.7 of the Purchase Agreement. In the event of any conflict or inconsistency between the provisions of the Purchase Agreement and the provisions of this Agreement, the provisions of the Purchase Agreement will control.

[Signature page follows.]

The Assignors and Assignee have executed this Agreement, each through its authorized representative, to be effective as of the Effective Date.

ASSIGNORS

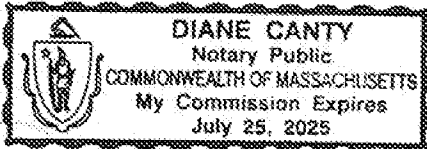
Preston R Ford

Preston R. Ford

STATE OF Massachusetts

COUNTY OF Suffolk

On the 11th day of October in the year 2019, before me, the undersigned, personally appeared Preston Ford, personally known to me or proved to me on the basis of satisfactory evidence to be the individual whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his capacity, and that by his signature on the instrument, the individual executed the instrument.



Diane Canty
Notary Public

Robert J. Russel

STATE OF _____

COUNTY OF _____

On the _____ day of _____ in the year _____, before me, the undersigned, personally appeared Robert J. Russel, personally known to me or proved to me on the basis of satisfactory evidence to be the individual whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his capacity, and that by his signature on the instrument, the individual executed the instrument.

Notary Public

The Assignors and Assignee have executed this Agreement, each through its authorized representative, to be effective as of the Effective Date.

ASSIGNORS

Preston R. Ford

STATE OF _____)

COUNTY OF _____)

On the _____ day of _____ in the year _____, before me, the undersigned, personally appeared Preston Ford, personally known to me or proved to me on the basis of satisfactory evidence to be the individual whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his capacity, and that by his signature on the instrument, the individual executed the instrument.

Notary Public

Robert J. Russel

Robert J. Russel

STATE OF CT)

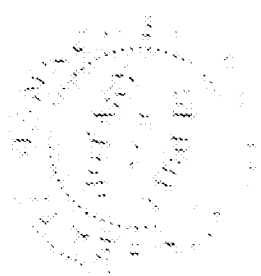
COUNTY OF Fairfield)

On the 11 day of October in the year 2019, before me, the undersigned, personally appeared Robert J. Russel, personally known to me or proved to me on the basis of satisfactory evidence to be the individual whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his capacity, and that by his signature on the instrument, the individual executed the instrument.

Beata Jankowski

Notary Public

BEATA JANKOWSKI
NOTARY PUBLIC OF CONNECTICUT
MY COMMISSION EXPIRES 06/30/2020



Neil Adams
Neil Adams

CITY OF Port Hope

PROVINCE OF Ontario

On the 15 day of October in the year 2019, before me, the undersigned, personally appeared Neil Adams, personally known to me or proved to me on the basis of satisfactory evidence to be the individual whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his capacity, and that by his signature on the instrument, the individual executed the instrument.

[Signature]
Notary Public
ASSIGNEE

INSTINET HOLDINGS INCORPORATED

By: [Signature]
Name: Paulston Roberts
Title: CEO

Schedule 1

Patents:

Title	App. No.	Patent No.	Filing Date	Status	Jurisdiction
SYSTEMS AND METHODS FOR FACILITATING ELECTRONIC SECURITIES TRANSACTIONS	11/736,962	8,521,627	4/18/2007	Patented	US
SYSTEMS AND METHODS FOR FACILITATING ELECTRONIC SECURITIES TRANSACTIONS	12/050,863	8,117,105	3/18/2008	Patented	US
SYSTEMS AND METHODS FOR FACILITATING ELECTRONIC SECURITIES TRANSACTIONS	PCT/US2008/060714	N/A	04/18/2008	Abandoned	WIPO
SYSTEMS AND METHODS FOR FACILITATING ELECTRONIC SECURITIES TRANSACTIONS	13/347,325	8,401,958	1/10/2012	Patented	US
SYSTEMS AND METHODS FOR FACILITATING ELECTRONIC SECURITIES TRANSACTIONS	13/774,126	8,583,544	2/22/2013	Patented	US
SYSTEMS AND METHODS FOR FACILITATING ELECTRONIC SECURITIES TRANSACTIONS	14/053,713	N/A	10/15/2013	Abandoned	US

Trademarks:

Reg. No.	Reg. Date	Mark	Jurisdiction	Status
3,715,654	11/17/2009	BLOCKCROSS	United States	Registered
5915095	04/17/2008	BLOCKCROSS	EU	Registered
1347816	05/17/2010	BLOCKCROSS	Canada	Registered
T0710654B	06/24/2008	BLOCKCROSS	Singapore	Registered
096023079	10/01/2008	BLOCKCROSS	Taiwan	Registered
1176508	9/17/2007	BLOCKCROSS	Australia	Abandoned
933135	5/17/2007	BLOCKCROSS	WO	Abandoned