

## TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1  
Stylesheet Version v1.2

ETAS ID: TM552610

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT		
<b>NATURE OF CONVEYANCE:</b>	NUNC PRO TUNC ASSIGNMENT		
<b>EFFECTIVE DATE:</b>	02/10/2014		
<b>CONVEYING PARTY DATA</b>			
<b>Name</b>	<b>Formerly</b>	<b>Execution Date</b>	<b>Entity Type</b>
G1 Execution Services LLC		12/09/2019	Limited Liability Company: ILLINOIS
<b>RECEIVING PARTY DATA</b>			
<b>Name:</b>	Waves Licensing, LLC		
<b>Street Address:</b>	1201 N. Orange Street, Suite 715		
<b>City:</b>	Wilmington		
<b>State/Country:</b>	DELAWARE		
<b>Postal Code:</b>	19801		
<b>Entity Type:</b>	Limited Liability Company: DELAWARE		
<b>PROPERTY NUMBERS Total: 2</b>			
<b>Property Type</b>	<b>Number</b>	<b>Word Mark</b>	
<b>Registration Number:</b>	4448697	G1X	
<b>Registration Number:</b>	4448592	G1 EXECUTION SERVICES	
<b>CORRESPONDENCE DATA</b>			
<b>Fax Number:</b>	2158518383		
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>			
<b>Phone:</b>	215-851-8432		
<b>Email:</b>	lmcguinness@eckertseamans.com		
<b>Correspondent Name:</b>	Christina D. Frangiosa		
<b>Address Line 1:</b>	50 South 16th Street, 22nd Floor		
<b>Address Line 4:</b>	Philadelphia, PENNSYLVANIA 19102-2516		
<b>NAME OF SUBMITTER:</b>	Christina D. Frangiosa		
<b>SIGNATURE:</b>	/christina d frangiosa/		
<b>DATE SIGNED:</b>	12/10/2019		
<b>Total Attachments: 2</b>			
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**NUN PRO TUNC CONFIRMATION OF ASSIGNMENT OF TRADEMARKS**

This confirmation of assignment is made *Nunc Pro Tunc* with the assignment being effective as of February 10, 2014.

**THIS TRADEMARK ASSIGNMENT** is made between G1 Execution Services LLC, an Illinois Limited Liability Company (the "Assignor") and Waves Licensing, LLC, a Delaware Limited Liability Company (the "Assignee").

**WHEREAS**, the Assignor owned all right, title, and interest to the below U.S. Trademark Registrations (the "Trademarks") and desires to confirm the transfer of the Trademarks to Assignee.

<b><u>TRADEMARK</u></b>	<b><u>U.S. REGISTRATION NO.</u></b>
GIX	4,448,697
G1 EXECUTION SERVICES	4,448,592

**WHEREAS**, Assignee acquired all right, title and interest in and to the said Marks, together with the goodwill of the business symbolized by the Marks and the registration thereof effective February 10, 2014; and

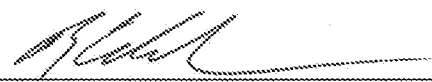
**WHEREAS**, it is desired that the assignment of said registrations be made of record in the United States Patent and Trademark Office;

**NOW, THEREFORE**, for good and valuable consideration, receipt of which is hereby acknowledged, Assignor agrees, acknowledges, and confirms that Assignor hereby assigned to Assignee *nunc pro tunc* effective as of February 10, 2014, all rights, title and interest in and to the Marks, together with the goodwill of the business symbolized by the Marks, and the registration thereof as set forth in the above Schedule, and all rights to damages and profits, due or accrued, arising out of past infringements of said Marks, and the right to sue for and recover the same.

IN WITNESS WHEREOF, the parties hereto have duly executed this Trademark Assignment to be effective the day and year first above written.

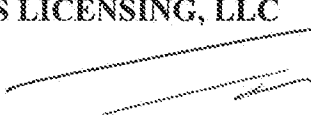
ASSIGNOR

GI EXECUTION SERVICES, LLC

By:   
Name: Robert Sack  
Title: Treasurer

ASSIGNEE

WAVES LICENSING, LLC

By:   
Name: Ted Boyce  
Title: Vice President