

TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

ETAS ID: TM561831

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	MERGER
EFFECTIVE DATE:	12/31/2019

CONVEYING PARTY DATA

Name	Formerly	Execution Date	Entity Type
Scottrade, Inc.		12/31/2019	Corporation: ARIZONA

RECEIVING PARTY DATA

Name:	TD Ameritrade, Inc.
Street Address:	200 S 108th Ave
City:	Omaha
State/Country:	NEBRASKA
Postal Code:	68154
Entity Type:	Corporation: NEW YORK

PROPERTY NUMBERS Total: 17

Property Type	Number	Word Mark
Registration Number:	5064620	ADVISOR ACCESS
Registration Number:	5073651	ADVISOR ACCESS FROM SCOTTRADE INVESTMENT
Registration Number:	3926586	
Registration Number:	4673220	GUIDANCE SOLUTIONS
Registration Number:	5069047	GUIDANCE SOLUTIONS FROM SCOTTRADE INVEST
Registration Number:	2140963	SCOTTRADE
Registration Number:	4710632	SCOTTRADE
Registration Number:	3941794	SCOTTRADE BANK
Registration Number:	4605541	SCOTTRADE CARES
Registration Number:	3514361	SCOTTRADE CENTER
Registration Number:	3428801	SCOTTRADE CENTER
Registration Number:	3428802	SCOTTRADE CENTER
Registration Number:	3428799	SCOTTRADE CENTER
Registration Number:	5069048	SCOTTRADE INVESTMENT MANAGEMENT
Registration Number:	2940785	SCOTTRADEELITE
Registration Number:	4979280	SCOTTRADEPRO
Registration Number:	2729327	SCOTTRADER

CH \$440.00 5064620

CORRESPONDENCE DATA**Fax Number:** 2027995000*Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.***Phone:** 2027994000**Email:** dctrademarks@dlapiper.com**Correspondent Name:** Ann K. Ford**Address Line 1:** 500 8th Street NW**Address Line 4:** Washington, D.C. 20004

NAME OF SUBMITTER:	Naomi Abraham
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SIGNATURE:	/Naomi Abraham/
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DATE SIGNED:	02/12/2020
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Total Attachments: 5

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STATE OF NEW YORK
DEPARTMENT OF STATE

I hereby certify that the annexed copy has been compared with the original document in the custody of the Secretary of State and that the same is a true copy of said original.

WITNESS my hand and official seal of the
Department of State, at the City of Albany, on
January 10, 2020.

Brendan C. Hughes

Brendan C. Hughes
Executive Deputy Secretary of State



191231000594

Certificate of Merger

of

SCOTTRADE, INC.,
an Arizona corporation
(non-surviving entity)

into

TD AMERITRADE, INC.,
a New York corporation
(surviving entity)

Pursuant to Section 904 of the New York Business Corporation Law

Filed by: Lucinda D. Newberry
Kutak Rock LLP
8601 N. Scottsdale Rd., Ste. 300
Scottsdale, AZ 85253

STATE OF NEW YORK
DEPARTMENT OF STATE

FILED DEC 31 2019

TAX S.

BY: DL/MSS

191231000594

**CERTIFICATE OF MERGER
OF
SCOTTRADE, INC.
INTO
TD AMERITRADE, INC.**

Under Section 904 of the New York Business Corporation Law

FIRST: The names of the constituent entities are **Scottrade, Inc.**, an Arizona corporation (the "Arizona Corporation"), and **TD Ameritrade, Inc.**, a New York corporation (the "New York Corporation").

SECOND: As to New York Corporation, 200 Common Stock Shares are issued and outstanding, and all such Common Stock Shares of the New York Corporation are entitled to vote. As to Arizona Corporation, 7,500,000 Class A Shares and 750 Class B Shares are issued and outstanding, and all Class A Shares and Class B Shares of the Arizona Corporation are entitled to vote. The merger of Arizona Corporation into New York Corporation has been unanimously approved by all (i) 200 Common Stock Shares of New York Corporation, (ii) 7,500,000 Class A Shares of Arizona Corporation, and (iii) 750 Class B Shares of Arizona Corporation.

THIRD: There are no amendments or changes in the certificate of incorporation of the New York Corporation to be affected by this merger.

FOURTH: The effective date of the merger is December 31, 2019.

FIFTH: For each domestic constituent entity, the date when its initial certificate of incorporation was filed with Department of State is:

For the New York Corporation, the certificate of incorporation of "Waterhouse Securities Inc." was filed with the Department of State of New York on September 29, 1978, as amended to change the name of the New York Corporation to "TD Waterhouse Investor Services, Inc." on September 20, 1999, as further amended to change the name of the New York Corporation to "TD Ameritrade, Inc." on April 24, 2006.

SIXTH: For each foreign constituent entity, the jurisdiction and date of filing of its original articles of organization and the date when its application for authority was filed with the Department of State are as follows:

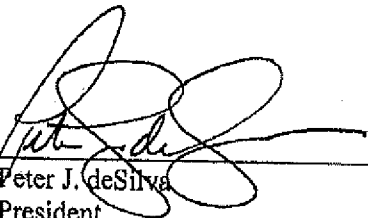
For the Arizona Corporation, the articles of organization of "Scottsdale Securities, Inc." were filed with the Arizona Corporation Commission on January 30, 1980, as amended to change the name of the Arizona Corporation to "Scottrade, Inc." on May 8, 2000. The application for authority of "Scottsdale Securities, Inc." to do business in the State of New York was filed with the Department of State of New York on July 15, 1993, as amended to reflect the change in name of the Arizona Corporation to "Scottrade, Inc." on May 22, 2000.

SEVENTH: The merger of the Arizona Corporation into the New York Corporation was unanimously approved by the Board of Directors and the Sole Shareholder of the Arizona Corporation and the New York Corporation, and is permitted by the laws of the jurisdiction of incorporation of the Arizona Corporation, and is in compliance therewith.

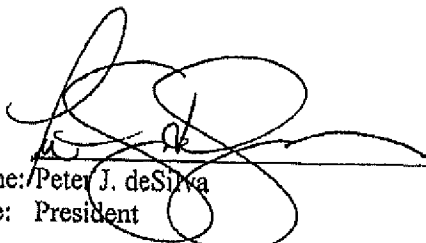
[SIGNATURE PAGE FOLLOWS]

IN WITNESS WHEREOF, the entities named above have caused this certificate to be signed by an authorized officer, as of the date first written above.

SCOTTRADE, INC.

By: 
Name: Peter J. deSilva
Title: President

TD AMERITRADE, INC.

By: 
Name: Peter J. deSilva
Title: President