

TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

ETAS ID: TM562915

SUBMISSION TYPE:	NEW ASSIGNMENT		
NATURE OF CONVEYANCE:	CHANGE OF NAME		
CONVEYING PARTY DATA			
Name	Formerly	Execution Date	Entity Type
H.D. Vest, Inc.		09/12/2019	Corporation: TEXAS
RECEIVING PARTY DATA			
Name:	Avantax Wealth Management, Inc.		
Street Address:	6333 N. State Highway 161		
City:	Irving		
State/Country:	TEXAS		
Postal Code:	75038		
Entity Type:	Corporation: TEXAS		
PROPERTY NUMBERS Total: 19			
Property Type	Number	Word Mark	
Registration Number:	3671163	8 WEALTH MANAGEMENT ISSUES	
Registration Number:	5010645	1040 ANALYST	
Registration Number:	4970809	1040 ANALYST	
Registration Number:	4648020	1040 ANALYST	
Registration Number:	5080907	HD VEST	
Registration Number:	5080858	HD VEST ADVISORY SERVICES	
Registration Number:	2047321	HD VEST FINANCIAL SERVICES	
Registration Number:	5080857	HD VEST INVESTMENT SERVICES	
Registration Number:	2561056	HDVLINK	
Registration Number:	2431408	MANAGING AMERICA'S FUTURE	
Registration Number:	4702224	REINVENT YOURSELF	
Registration Number:	5759226	SEE THINGS IN HD	
Serial Number:	87721422	SEE THINGS IN HD	
Registration Number:	4476961	THE FINANCIAL SERVICES FIRM OF TAX PROFE	
Registration Number:	4868095	V4 CLIENT EXPERIENCE	
Registration Number:	2415760	VESTADVISOR	
Registration Number:	4393223	VESTADVISOR SELECT	
Registration Number:	4758756	VESTSUCCESS	
Registration Number:	4725216	VESTVISION	

CH \$490.00 3671163

CORRESPONDENCE DATA**Fax Number:**

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: 8178783571
Email: trademark.uspto@kellyhart.com
Correspondent Name: Leslie M Darby
Address Line 1: 201 Main Street Suite 2500
Address Line 4: Fort Worth, TEXAS 76102

NAME OF SUBMITTER:	Leslie Darby
SIGNATURE:	/Leslie M. Darby/
DATE SIGNED:	02/20/2020

Total Attachments: 3

source=Filed H.D. VEST INC. (AMENDING TO) AVANTAX WEALTH MANAGEMENT INC__pdf#page1.tif
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Office of the Secretary of State

**CERTIFICATE OF FILING
OF**

Avantax Wealth Management, Inc.
102086000

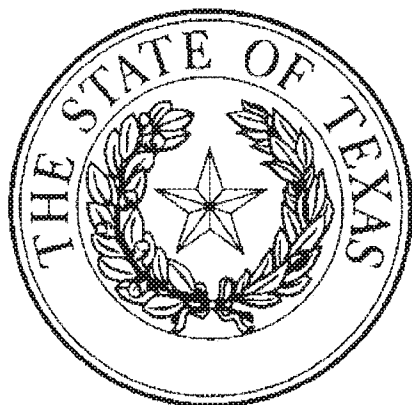
[formerly: H.D. VEST, INC.]

The undersigned, as Secretary of State of Texas, hereby certifies that a Certificate of Amendment for the above named entity has been received in this office and has been found to conform to the applicable provisions of law.

ACCORDINGLY, the undersigned, as Secretary of State, and by virtue of the authority vested in the secretary by law, hereby issues this certificate evidencing filing effective on the date shown below.

Dated: 09/12/2019

Effective: 09/16/2019



A handwritten signature in black ink, appearing to read "Ruth R. Hughs".

Ruth R. Hughs
Secretary of State

**CERTIFICATE OF AMENDMENT
OF
RESTATED CERTIFICATE OF FORMATION
OF
H.D. VEST, INC.**

FILED
In the Office of the
Secretary of State of Texas
SEP 12 2019
Corporations Section

The undersigned officer of H.D. Vest, Inc., a Texas for-profit corporation (the "Corporation"), hereby duly executes the following Certificate of Amendment to the Corporation's Certificate of Formation (as amended) in effect as of the date hereof (the "Certificate of Formation") on behalf of the Corporation pursuant to Section 3.054 of the Texas Business Organizations Code.

1. The name of the corporation is H.D. Vest, Inc. (the "Company").
2. The Certificate of Formation was filed with the Texas Secretary of State on December 17, 1986 and the file number of the Certificate of Formation is 102086000.
3. This amendment to the Certificate of Formation has been approved in the manner required by the Texas Business Organizations Code and by the governing documents of the Corporation.
4. Article "ARTICLE F" of the Company's Certificate of Formation is hereby deleted in its entirety with the following Article I being substituted in its place:

"ARTICLE I:

The name of the filing entity is "Avantax Wealth Management, Inc." (the "Corporation") with a filing number of 102086000 and formed on December 17, 1986."

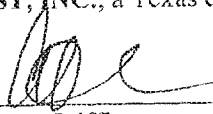
5. This Certificate of Amendment becomes effective on September 16, 2019.

The undersigned signs this document subject to the penalties imposed by law for the submission of a materially false or fraudulent instrument and certifies under penalty of perjury that the undersigned is authorized under the provisions of law governing the Corporation to execute the filing instrument.

Signed on this 12th day of September, 2019.

[signature page to follow]

H.D. VEST, INC., a Texas corporation

By:  _____

Name: Casey Griffin

Title: Assistant Secretary