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# TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 ETAS ID: TM565739

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	RELEASE OF SECURITY INTEREST

# **CONVEYING PARTY DATA**

Name	Formerly	Execution Date	Entity Type
Midcap Financial Trust, as agent		02/28/2020	statutory trust, as agent: DELAWARE

# **RECEIVING PARTY DATA**

Name:	Aptevo Theapeutics Inc.
Street Address:	2401 4TH AVENUE, SUITE 1500
City:	Seattle
State/Country:	WASHINGTON
Postal Code:	98121
Entity Type:	Corporation: DELAWARE
Name:	APTEVO BIOTHERAPEUTICS LLC
Street Address:	2401 4TH AVENUE, SUITE 1050
City:	Seattle
State/Country:	WASHINGTON
Postal Code:	98121
Entity Type:	Limited Liability Company: DELAWARE
Name:	APTEVO RESEARCH AND DEVELOPMENT LLC
Street Address:	2401 4TH AVENUE, SUITE 1500
City:	Seattle
State/Country:	WASHINGTON
Postal Code:	98121
Entity Type:	Limited Liability Company: DELAWARE

#### **PROPERTY NUMBERS Total: 9**

Property Type	Number	Word Mark
Registration Number:	5341248	APTEVO THERAPEUTICS
Registration Number:	5370601	
Registration Number:	4597459	IXINITY
Registration Number:	4788624	IXINITY
Registration Number:	4923507	IXPERIENCE
Serial Number:	86974244	ADAPTIR
Serial Number:	86859161	APTEVO BIOTHERAPEUTICS  TRADEMARK

900539008 REEL: 006884 FRAME: 0659

Property Type	Number	Word Mark
Serial Number:	86859136	APTEVO RESEARCH AND DEVELOPMENT
Serial Number:	86972975	VYDAPTIV

#### **CORRESPONDENCE DATA**

**Fax Number:** 7036106200

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

**Phone:** 7036106100

**Email:** boxip@hoganlovells.com

Correspondent Name: Rachel Fleeson of Hogan Lovells US LLP

Address Line 1: 8350 Broad Street, 17th Floor Address Line 2: Attn: Box Intellectual Property Address Line 4: Tysons, VIRGINIA 22102

NAME OF SUBMITTER:	Rachel Fleeson of Hogan Lovells US LLP
SIGNATURE:	/rsf/
DATE SIGNED:	03/06/2020

### **Total Attachments: 6**

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### RELEASE OF SECURITY INTEREST IN INTELLECTUAL PROPERTY

This Release of Security Interest in Intellectual Property, dated as of February 28, 2020, is made by MIDCAP FINANCIAL TRUST, a Delaware statutory trust, ("Agent"), in favor of APTEVO THERAPEUTICS INC., a Delaware corporation ("Aptevo Therapeutics"), APTEVO BIOTHERAPEUTICS LLC a Delaware limited liability company ("Aptevo BioTherapeutics"), and APTEVO RESEARCH AND DEVELOPMENT LLC, a Delaware limited liability company ("Aptevo R&D" and together with Aptevo Therapeutics and Aptevo BioTherapeutics, each a "Grantor" and collectively, the "Grantors"):

WHEREAS, pursuant to that certain Intellectual Property Security Agreement, dated as of February 7, 2019 (as the same may have been amended, modified, restated, replaced or supplemented from time to time, the "IP Security Agreement"; capitalized terms used herein have the definition provided for in the IP Security Agreement), recorded with the United States Patent and Trademark Office on February 7, 2019 at Reel/Frame No. 6555/0001 (Trademarks) and at Reel/Frame No. 048270/0813 (Patents), Grantors granted Agent, on behalf of the Lenders, a security interest in and to all of its right, title and interest in all of Grantors' intellectual property, including those listed on Exhibits A through D thereto:

WHEREAS, the Grantors have requested that Agent release its security interest in and to the trademark assets as more particularly described on Schedule A attached hereto (the "Released Trademarks").

WHEREAS, the Grantors have requested that Agent release its security interest in and to the patent assets as more particularly described on Schedule B attached hereto (the "Released Patents").

WHEREAS, the Grantors have requested that Agent release its security interest in and to the various intellectual property assets set forth in Sections (a) through (j) of each IP Security Agreement (together with the Released Patents and Released Trademarks, the "Released IP Collateral").

NOW, THEREFORE, Agent, without recourse, representation or warranty and at Grantor's sole cost and expense, hereby releases all of its right, title and interest in and to the Released IP Collateral.

[SIGNATURE PAGE FOLLOWS]

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IN WITNESS WHEREOF, Agent has caused this Release of Security Interest in Intellectual Property to be duly executed and delivered by its duly authorized officer as of the date first written above.

#### AGENT:

#### MIDCAP FINANCIAL TRUST

as Agent

By: Apollo Capital Management, L.P.,

its investment manager

By: Apollo Capital Management GP, LLC,

its general partner

By:

Name: Maurice Amsellem Title: Authorized Signatory

# **SCHEDULE A**

[See attached]

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Country		Status	APTE Application No.	APTEVO THERAPEUTICS	UTICS  Registration No.	Registration Date
Country	Trademark Name	Status	Application No.	Filing Date	Application No.   Filing Date   Registration No.	Registration Date
US	APTEVO THERAPEUTICS	Registered 86/768,978	33	25-Sep-2015 5,341,248		21-Nov-2017

			APTEVO RESEARCH AND DEVELOPMENT	ARCH AND DE	EVELOPMENT	
Country	Country Trademark Name	Status	Application No. Filing Date		Registration No. Registration Date	Registration Date
US	APTEVO RESEARCH Allowed AND DEVELOPMENT		86/859,136	28-Dec-2015		

			APTEVO	APTEVO BIOTHERAPEUTICS	EUTICS		
Country	Country Trademark Name	Status	Application No.   Filing Date	Filing Date	Registration No.   Registration Date	Registration Date	
US	APTEVO BIOTHERAPEUTICS	Allowed	86/859161	28-Dec-2015			

					ADAPTIR		
Cou	Country	Trademark Name	Status	Application No.	Filing Date	Registration No.	Registration Date
US		ADAPTIR	Allowed 86/974,244	86/974,244	13-Apr-2016		

B. Trademarks

Registration Date	Registration No.	Filing Date	Application No.	Status	Trademark Name	Country
	0	IXINITY LOGO	XI			
		21-April-2106	86/972,975	Allowed	VYDAPTIV	US
Registration Date	Registration No.	Filing Date	Application No.	Status	Trademark Name	Country
		VYDAPTIV		•		
22-Mar-2016	4923507	27-June-2014	86/322,313	Registered	IXPERIENCE	SD
Registration Date	Registration No.	Filing Date	Application No.	Status	Trademark Name	Country
		IXPERIENCE				
11-Aug-2015	4788624	27-June-2014	86/322,323	Registered	IXINITY (STYLIZED) Registered	US
02-Sep-2014	4597459	19-Jan-2011	85/220,714	Registered	ALINIXI	Sn
Registration Date	Registration No.	Filing Date	Application No.	Status	Trademark Name	Country
		ALINIXI				
02-Jan- 2018	5,370,601	17-Nov-2015	86/823,176	Registered	APTEVO_Logo	US
Registration Date	Registration No.	Filing Date	Application No.	Status	Trademark Name	Country
		APTEVO LOGO	4			

**RECORDED: 03/06/2020** 

US
IXINITY_Logo
Registered
4,788,624
08/11/2015