# CH \$140.00 5

# TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 ETAS ID: TM574197

SUBMISSION TYPE:	NEW ASSIGNMENT	
NATURE OF CONVEYANCE:	SECURITY INTEREST	

### **CONVEYING PARTY DATA**

Name	Formerly	Execution Date	Entity Type
HI Q, INC.		03/26/2020	Corporation: DELAWARE

### **RECEIVING PARTY DATA**

Name:	TRIPLEPOINT VENTURE GROWTH BDC CORP.		
Street Address:	2755 SAND HILL RD.		
City:	MENLO PARK		
State/Country:	CALIFORNIA		
Postal Code:	94025		
Entity Type:	Corporation: MARYLAND		

# **PROPERTY NUMBERS Total: 5**

Property Type	Number	Word Mark
Registration Number:	5894271	HEALTH I.Q.
Serial Number:	88694782	HEALTH IQ
Serial Number:	88694785	HEALTH IQ
Serial Number:	88694788	HEALTH IQ
Serial Number:	88694789	HEALTH IQ

### **CORRESPONDENCE DATA**

Fax Number:

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: 650-815-7637
Email: alwine@mwe.com
Correspondent Name: Judy M. Mohr

Address Line 1: McDermott Will & Emery LLP
Address Line 2: 275 Middlefield Road, Suite 100
Address Line 4: Menlo Park, CALIFORNIA 94025

NAME OF SUBMITTER:	Judy M. Mohr		
SIGNATURE:	/Judy M. Mohr/		
DATE SIGNED:	04/29/2020		

**Total Attachments: 5** 

TRADEMARK REEL: 006926 FRAME: 0366



TRADEMARK REEL: 006926 FRAME: 0367

### FIRST AMENDMENT TO PLAIN ENGLISH INTELLECTUAL PROPERTY SECURITY AGREEMENT

This is a First Amendment to Plain English Intellectual Property Security Agreement dated March 26, 2020 by and between TRIPLEPOINT VENTURE GROWTH BDC CORP., a Maryland corporation and HI.Q, INC. a Delaware corporation (the "Amendment").

### RECITALS

- A. The words "We", "Us", or "Our", refer to the Grantee, which is TRIPLEPOINT VENTURE GROWTH BDC CORP. The words "You" or "Your" refers to the Grantor, which is HI.Q, INC. and not any individual. The words "the Parties" refers to both TRIPLEPOINT VENTURE GROWTH BDC CORP. and HI.O, INC.
- B. The Parties entered into a Plain English Growth Capital Loan and Security Agreement dated December 17, 2018 (together with amendments, supplements, extensions and exhibits, collectively the "Loan Agreement"). Pursuant to the Loan Agreement, We agreed to extend certain financial accommodations to or for the direct or indirect benefit of You.
- C. The Parties are also parties to that certain Plain English Intellectual Property Security Agreement dated as of December 17, 2018 (together with amendments, supplements, extensions and exhibits, collectively the "IP Security Agreement"), pursuant to which, among other things, You granted to Us a security interest in Your intellectual property to secure Your obligations to Us under the Loan Agreement. All term capitalized but not defined in this Amendment shall have the respective meanings set forth in the IP Security Agreement.
- D. The Parties are entering into this Amendment in order to reaffirm and continue in effect the Liens granted by You under the IP Security Agreement and, to the extent not granted in the IP Security Agreement, to grant the Liens contemplated by this Amendment.

NOW, THEREFORE, in consideration of the mutual covenants and agreements contained in this Amendment, and for other good and valuable consideration, the receipt and sufficiency of which are acknowledged, the Parties agree as follows:

### **AGREEMENT**

### 1. SUPPLEMENT TO IP SECURITY AGREEMENT

- ⇒ **Schedule A** to the IP Security Agreement is hereby supplemented by Supplement A attached to this Amendment and made a part of this Amendment.
- ⇒ **Schedule B** to the IP Security Agreement is hereby supplemented by Supplement B attached to this Amendment and made a part of this Amendment.

# 2. MISCELLANEOUS

Acknowledgment and Confirmation of Security Interest. You confirm and ratify Your prior assignment and grant, and assigns and grants to Us a continuing, first priority security interest in all of Your right, title and interest in, to and under the Intellectual Property Collateral.

**Conditions to Effectiveness**. This Amendment shall become effective as of the date hereof when We have received executed counterparts of this Amendment.

**Ratification**. Except as specifically modified by this Amendment, the Parties acknowledge the IP Security Agreement shall remain binding upon the Parties, and all provisions of the IP Security Agreement shall remain in full force and effect. You expressly ratify and affirm Your obligations to Us under the IP Security Agreement, the Loan Agreement and the other Loan Documents.

First Amendment to IP Security Agreement

**Complete Agreement**. This Amendment, together with the IP Security Agreement, is the entire agreement between the Parties with respect to the subject matter of this Amendment. This Amendment supersedes all prior and contemporaneous oral and written agreements and discussions with respect to the subject matter of this Amendment. Except as otherwise expressly modified in this Amendment, the IP Security Agreement shall remain in full force and effect.

**Recitals.** The recitals to this Amendment shall constitute a part of the agreement of the Parties in this Amendment.

Governing Law. THIS AMENDMENT SHALL BE GOVERNED BY AND INTERPRETED, CONSTRUED AND ENFORCED IN ACCORDANCE WITH THE LAWS OF THE STATE OF CALIFORNIA.

**No Novation**. Except as specifically set forth in this Amendment, the execution, delivery and effectiveness of this Amendment shall not (a) limit, impair, constitute a waiver by, or otherwise affect any right, power or remedy of, Us under the Loan Agreement or any other Loan Document, (b) constitute a waiver of any provision in the Loan Agreement or in any of the other Loan Documents, or (c) alter, modify, amend or in any way affect any of the terms, conditions, obligations, covenants or agreements contained in the Loan Agreement or in any of the other Loan Documents, all of which are ratified and affirmed in all respects and shall continue in full force and effect.

**Counterparts.** This Amendment may be executed in any number of counterparts and by different parties hereto in separate counterparts, each of which when so delivered shall be deemed an original, but all of which counterparts shall constitute but one and the same instrument. Delivery of an executed counterpart of a signature page to this Amendment by facsimile transmission shall be effective as delivery of a manually executed counterpart thereof.

First Amendment to IP Security Agreement

IN WITNESS WHEREOF, the Parties have caused this First Amendment to Plain English Intellectual Property Security Agreement to be duly executed and delivered as of the date first written above.

"You"

HLQ, INC.

By:

Name: Gaurav Suri

Title:

Chief Opearting Officer

[SIGNATURE PAGE TO FIRST AMENDMENT TO PLAIN ENGLISH INTELLECTUAL PROPERTY SECURITY AGREEMENT]

First Amendment to IP Security Agreement

## SUPPLEMENT TO SCHEDULE A

# To Plain English Intellectual Property Security Agreement Between HI.Q, INC., as You (Grantor) and TRIPLEPOINT VENTURE GROWTH BDC CORP., as Us (Grantee)

## PATENTS AND PATENT APPLICATIONS

## **PATENTS**

None.

## **PATENT APPLICATIONS**

Jurisdiction	Application Number	Filing Date	Title	Application Status
US	16/279,703	02/19/2019	REMOTE HEALTH ASSERTION VERIFICATION AND HEALTH PREDICTION SYSTEM	Awaiting Examination

First Amendment to IP Security Agreement

**REEL: 006926 FRAME: 0371** 

## SUPPLEMENT TO SCHEDULE B

# To Plain English Intellectual Property Security Agreement Between HI.Q, INC., as You (Grantor) and TRIPLEPOINT VENTURE GROWTH BDC CORP., as Us (Grantee)

## TRADEMARKS AND TRADEMARK APPLICATIONS

# **TRADEMARKS**

Jurisdiction	Registration Number	Registration Date	Description	Status
US	5894271	06/14/2017	₩ Health I.Q. "HEALTH I.Q" with design	Registered

## TRADEMARK APPLICATIONS

Jurisdiction	Application Number	Application Date	Description	Status
US	88/694,782	11/15/2019	Health IQ HEALTH IQ with Design	Pending - Undergoing Examination
US	88/694,785	11/15/2019	Health IQ  HEALTH IQ with Design	Pending - Undergoing Examination
US	88/694,788	11/15/2019	HEALTH IQ	Pending - Undergoing Examination
US	88/694,789	11/15/2019	HEALTH IQ	Pending - Undergoing Examination

First Amendment to IP Security Agreement

RECORDED: 04/29/2020

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TRADEMARK REEL: 006926 FRAME: 0372