\$140.00 88496

TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 ETAS ID: TM582752

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	SECURITY INTEREST

CONVEYING PARTY DATA

Name	Formerly	Execution Date	Entity Type
FOOTPRINT INTERNATIONAL, LLC		06/22/2020	Limited Liability Company: DELAWARE

RECEIVING PARTY DATA

Name:	TRINITY CAPITAL INC.
Street Address:	3075 W. Ray Road, Suite 525
City:	Chandler
State/Country:	ARIZONA
Postal Code:	85226
Entity Type:	Corporation: MARYLAND

PROPERTY NUMBERS Total: 5

Property Type	Number	Word Mark
Serial Number:	88496731	FOOTPRINT
Serial Number:	88496846	
Serial Number:	88407088	RESPONSIBOWL
Serial Number:	88404613	FOOTPRINT
Serial Number:	88575294	FOOTPRINT BARRIER TECHNOLOGY

CORRESPONDENCE DATA

Fax Number: 4156932222

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: 4156932000

Email: crhem@cooley.com

Correspondent Name: Cooley LLP

Address Line 1: 101 California Street, 5th Floor
Address Line 4: San Francisco, CALIFORNIA 94111

ATTORNEY DOCKET NUMBER:	330658-115
NAME OF SUBMITTER:	C. Rhem
SIGNATURE:	/CR/
DATE SIGNED:	06/23/2020

Total Attachments: 9 source=Trinity - Footprint - IP Security Agreement EXECUTED#page1.tif source=Trinity - Footprint - IP Security Agreement EXECUTED#page2.tif source=Trinity - Footprint - IP Security Agreement EXECUTED#page3.tif source=Trinity - Footprint - IP Security Agreement EXECUTED#page4.tif source=Trinity - Footprint - IP Security Agreement EXECUTED#page5.tif source=Trinity - Footprint - IP Security Agreement EXECUTED#page6.tif source=Trinity - Footprint - IP Security Agreement EXECUTED#page7.tif source=Trinity - Footprint - IP Security Agreement EXECUTED#page8.tif source=Trinity - Footprint - IP Security Agreement EXECUTED#page9.tif

INTELLECTUAL PROPERTY SECURITY AGREEMENT

THIS INTELLECTUAL PROPERTY SECURITY AGREEMENT ("Agreement") dated as of June 22, 2020, is made by FOOTPRINT INTERNATIONAL, LLC, a Delaware limited liability company (the "Grantor"), in favor of TRINITY CAPITAL INC., a Maryland corporation ("Lender").

RECITALS

- A. Grantor has entered into a Loan and Security Agreement with Lender, dated as of the date hereof (as amended, restated, or otherwise modified from time to time, the "Loan Agreement"). All capitalized terms used but not defined herein shall have the respective meanings given to them in the Loan Agreement.
- B. Pursuant to the terms of the Loan Agreement, Grantor has granted to Lender for its benefit a security interest in all of Grantor's right, title and interest, whether presently existing or hereafter acquired, in, to and under all of the Collateral (as defined in the Loan Agreement).
- NOW, THEREFORE, for good and valuable consideration, receipt of which is hereby acknowledged, and intending to be legally bound, as collateral security for the prompt and complete payment when due of its obligations under the Loan Agreement, Grantor hereby represents, warrants, covenants and agrees as follows:

AGREEMENT

- 1. <u>Grant of Security Interest</u>. To secure its obligations under the Loan Agreement, Grantor grants and pledges to Lender for its benefit a security interest in all of Grantor's right, title and interest in, to and under its intellectual property constituting Collateral (all of which shall collectively be called the "<u>Intellectual Property Collateral</u>"), including, without limitation, the following (to the extent constituting Collateral):
- (a) Any and all copyright rights, copyright applications, copyright registrations and like protections in each work of authorship and derivative work thereof, whether published or unpublished and whether or not the same also constitutes a trade secret, now or hereafter existing, created, acquired or held, including without limitation those set forth on Exhibit A attached hereto (collectively, the "Copyrights");
- (b) Any and all trade secrets, and any and all intellectual property rights in computer software and computer software products now or hereafter existing, created, acquired or held:
- (c) Any and all design rights that may be available to Grantor now or hereafter existing, created, acquired or held;
- (d) All patents, patent applications and like protections including, without limitation, improvements, divisions, continuations, renewals, reissues, extensions, re-examination certificates, utility models, and continuations-in-part of the same, including without limitation the patents and patent applications set forth on Exhibit B attached hereto (collectively, the "Patents");
- (e) Any trademark and servicemark rights, whether registered or not, applications to register and registrations of the same and like protections, and the entire goodwill of the business of Grantor connected with and symbolized by such trademarks, including without limitation those set forth on Exhibit C attached hereto (collectively, the "Trademarks");
- (f) All mask works or similar rights available for the protection of semiconductor chips, now owned or hereafter acquired, including, without limitation those set forth on Exhibit D attached hereto (collectively, the "Mask Works");

- (g) Any and all claims for damages by way of past, present and future infringements of any of the rights included above, with the right, but not the obligation, to sue for and collect such damages for said use or infringement of the intellectual property rights identified above;
- (h) All licenses or other rights to use any of the Copyrights, Patents, Trademarks, or Mask Works and all license fees and royalties arising from such use to the extent permitted by such license or rights;
- (i) All amendments, renewals and extensions of any of the Copyrights, Trademarks, Patents, or Mask Works; and
- (j) All proceeds and products of the foregoing, including without limitation all payments under insurance or any indemnity or warranty payable in respect of any of the foregoing.
- 2. <u>Recordation</u>. Grantor authorizes the Commissioner for Patents, the Commissioner for Trademarks and the Register of Copyrights and any other government officials to record and register this Agreement upon request by Lender. Grantor hereby authorizes Lender to (a) modify this Agreement unilaterally by amending the exhibits to this Agreement to include any Intellectual Property Collateral which Grantor obtains subsequent to the date of this Agreement and (b) file a duplicate original of this Agreement containing amended exhibits reflecting such new Intellectual Property Collateral.
- 3. <u>Loan Documents</u>. This Agreement has been entered into pursuant to and in conjunction with the Loan Agreement, which is hereby incorporated by reference. The provisions of the Loan Agreement shall supersede and control over any conflicting or inconsistent provision herein. The rights and remedies of Lender with respect to the Intellectual Property Collateral are as provided by the Loan Agreement and related documents, and nothing in this Agreement shall be deemed to limit such rights and remedies.
- 4. <u>Execution in Counterparts</u>. This Agreement and any amendments, waivers, consents or supplements hereto may be executed in any number of counterparts, and by different parties hereto in separate counterparts, each of which when so delivered shall be deemed an original, but all of which counterparts shall constitute but one and the same instrument. Delivery of an executed counterpart of a signature page of this Agreement by facsimile, portable document format (.pdf) or other electronic transmission will be as effective as delivery of a manually executed counterpart hereof.
- 5. <u>Successors and Assigns</u>. The provisions of this Agreement shall inure to the benefit of the parties hereto and their respective successors and assigns. Grantor shall not assign its obligations under this Agreement without Lender's express prior written consent, and any such attempted assignment shall be void and of no effect. Lender may assign, transfer, or endorse its rights hereunder pursuant to the terms of the Loan Agreement without prior notice to Grantor, and all of such rights shall inure to the benefit of Lender's successors and assigns.
- 6. <u>Governing Law.</u> This Agreement has been negotiated and delivered to Lender in the State of Arizona, and shall have been accepted by Lender in the State of Arizona. This Agreement shall be governed by, and construed and enforced in accordance with, the laws of the State of Arizona, excluding conflict of laws principles that would cause the application of laws of any other jurisdiction.

[Signature page follows.]

IN WITNESS WHEREOF, the parties have caused this Agreement to be duly executed by its officers thereunto duly authorized as of the first date written above.

GRANTOR:

FOOTPRINT INTERNATIONAL, LLC a Delaware limited liability company

Name: Troy W. Swope

Title: Chief Executive Officer

[Signature Page to Intellectual Property Security Agreement]

LENDER:

TRINITY CAPITAL INC.,

a Maryland corporation

Susan Echard

By: ______ Name: Susan Echard

Title: Chief Financial Officer

EXHIBIT A

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None.

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EXHIBIT B

PATENTS

Grantor	Title	Application	Application Date	Patent No.	Date Granted
Footprint International, LLC	Methods For Manufacturing Fiber- Based Produce Containers	15/342,524	03-Nov-2016	9,856,608	02-Jan-2018
Footprint International, LLC	Methods For Manufactuing Fiber-Based Microwavable Food Containers	15/342,561	03-Nov-2016	9,869,062	16-Jan-2018
Footprint International, LLC	Methods and Apparatus For Manufacturing Fiber- Based Microwavable Food Containers	15/220,376	26-Jul-2016	9,988,199	05-Jun-2018
Footprint International, LLC	Methods For Manufacturing Fiber- Based Beverage Lids	15/220,377	26-Jul-2016	10,036,126	31-Jul-2018
Footprint International, LLC	Methods For Manufacturing Fiber- Based Meat Containers	15/342,555	03-Nov-2016	10,087,584	02-Oct-2018
Footprint International, LLC	Methods and Apparatus For Manufacturing Fiber- Based, Foldable Packaging Assemblies	15/221,174	27-Jul-2016	10,124,926	13-Nov-2018
Footprint International, LLC	Die Press Assembly For Drying and Cutting Molded Fiber Parts	15/606,992	26-May-2017	10,240,286	26-Mar-2019
Footprint International, LLC	Methods and Apparatus For In-Line Die Cutting Of Vacuum Formed Molded Pulp Containers	15/606,988	26-May-2017	10,377,547	13-Aug-2019
Footprint International, LLC	Methods and Apparatus For Manufacturing Fiber- Based Meat Containers	15/220,374	26-Jul-2016	10,428,467	01-Oct-2019
Footprint International, LLC	Method For Simultaneously Pressing	16/363,910	25-Mar-2019	10,683,611	16-Jun-2020

Grantor	Title	Application Number	Application Date	Patent No.	Date Granted
	and Cutting A Molded Fiber Part				
Footprint International, LLC	Acrylate and Non-Acrylate Based Chemical	16/877,419	18-May-2020	1	-
	Compositions For				
	Selectively Coating Fiber-				
	Based Food Containers				
Footprint International,	Apparatus and Methods	15/953,369	13-Apr-2018	1	-
LLC	For Packaging and Shipping Wine Bottles				
Footprint International,	Methods and Apparatus	16/104,021	16-Aug-2018	:	1
LLC	For Manufacturing Fiber-				
Ecotorint International	Methods and Apparatus	16/457 897	28liin-2019	:	1
LLC	For Manufacturing Fiber-				
	Based Meat Containers				
Footprint International,	Methods and Apparatus For Manufacturing Fiber-	16/588,518	30-Sep-2019	ŀ	ŀ
	Based Meat Containers				
Footprint International,	Methods and Apparatus	16/790,675	13-Feb-2020		
LLC	Based Produce				
	Containers				
Footprint International,	Methods and Apparatus	15/220,371	26-Jul-2016	ŀ	1
LLC	For Manufacturing Fiber- Based Product Containers				
Footprint International,	Methods, Apparatus and	16/726,180	23-Dec-2019	-	
LLC	Chemical Compositions				
	Fiber-Based Food				
	Containers				
Footprint International,	Methods, Apparatus and	16/726,165	23-Dec-2019	1	1
LLC	For Selectively Coating				
	Fiber-Based Food				
	Containers				

EXHIBIT C

TRADEMARKS

Grantor	Description	Registration/Serial Number	Registration/ Application Date
Footprint International, LLC	FOOTPRINT (and Design)	88496731	7/1/19
Footprint International, LLC	Footprint Logo Design only	88496846	7/1/19
Footprint International, LLC	RESPONSIBOWL	88407088	4/29/19
Footprint International, LLC	FOOTPRINT	88404613	4/26/19
Footprint International, LLC	FOOTPRINT BARRIER TECHNOLOGY	88575294	8/12/19

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EXHIBIT D

MASK WORKS

None.

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RECORDED: 06/23/2020