

## TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1  
Stylesheet Version v1.2

ETAS ID: TM584882

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT		
<b>NATURE OF CONVEYANCE:</b>	Security Agreement		
<b>CONVEYING PARTY DATA</b>			
<b>Name</b>	<b>Formerly</b>	<b>Execution Date</b>	<b>Entity Type</b>
Honkamp Krueger Financial Services, Inc.		07/01/2020	Corporation: IOWA
1st Global, Inc.		07/01/2020	Corporation: TEXAS
Avantax Wealth Management, Inc. (f/k/a H.D. Vest, Inc.)		07/01/2020	Corporation: TEXAS
TaxACT, Inc		07/01/2020	Corporation: IOWA
<b>RECEIVING PARTY DATA</b>			
<b>Name:</b>	JPMorgan Chase Bank, N.A., as Collateral Agent		
<b>Street Address:</b>	10 S. Dearborn		
<b>Internal Address:</b>	Floor L2, Mail Code IL 1-0480		
<b>City:</b>	Chicago		
<b>State/Country:</b>	ILLINOIS		
<b>Postal Code:</b>	60603		
<b>Entity Type:</b>	Bank: UNITED STATES		
<b>PROPERTY NUMBERS Total: 16</b>			
<b>Property Type</b>	<b>Number</b>	<b>Word Mark</b>	
<b>Registration Number:</b>	4982505	CONNECTING TAX ADVICE TO WEALTH MANAGEME	
<b>Registration Number:</b>	5999940	VOICE OF THE ADVISOR	
<b>Registration Number:</b>	4417333	1ST GLOBAL RESEARCH & CONSULTING	
<b>Registration Number:</b>	4477227	1ST GLOBAL WEALTH MANAGEMENT ACADEMY	
<b>Registration Number:</b>	3724746	METHOD 10	
<b>Registration Number:</b>	3403949	1ST GLOBAL	
<b>Registration Number:</b>	2932354	MATRIX	
<b>Registration Number:</b>	2383138	1ST GLOBAL ACCESS	
<b>Registration Number:</b>	5759226	SEE THINGS IN HD	
<b>Registration Number:</b>	4970809	1040 ANALYST	
<b>Registration Number:</b>	5080907	HD VEST	
<b>Registration Number:</b>	5080858	HD VEST ADVISORY SERVICES	
<b>Registration Number:</b>	5080857	HD VEST INVESTMENT SERVICES	
<b>Serial Number:</b>	88829970	SURPRISINGLY LEGAL	

TRADEMARK

Property Type	Number	Word Mark
Serial Number:	88829929	TAXACT
Serial Number:	88766989	SURPRISINGLY LEGAL

**CORRESPONDENCE DATA**

**Fax Number:** 8009144240  
*Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.*  
**Phone:** 800-713-0755  
**Email:** james.murray@wolterskluwer.com  
**Correspondent Name:** CT Corporation  
**Address Line 1:** 4400 Easton Commons Way  
**Address Line 2:** Suite 125  
**Address Line 4:** Columbus, OHIO 43219

<b>NAME OF SUBMITTER:</b>	Doris Ka
<b>SIGNATURE:</b>	/Doris Ka/
<b>DATE SIGNED:</b>	07/06/2020

**Total Attachments: 8**  
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source=05. Blucora - Trademark Security Agreement#page2.tif  
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source=05. Blucora - Trademark Security Agreement#page4.tif  
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### RECORDATION FORM COVER SHEET TRADEMARKS ONLY

To the Director of the U. S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

**1. Name of conveying party(ies):**

- 1. Honkamp Krueger Financial Services, Inc.  
Corporation - Iowa, USA
- 2. Ist Global, Inc.  
Corporation - Texas, USA
- 3. Avantax Wealth Management, Inc.  
(f/k/a H.D. Vest, Inc.)  
Corporation - Texas, USA
- 4. TaxACT, Inc.  
Corporation - Iowa, USA

Citizenship (see guidelines) \_\_\_\_\_

Additional names of conveying parties attached?  Yes  No

**3. Nature of conveyance/Execution Date(s) :**

Execution Date(s) July 1, 2020

- Assignment  Merger
- Security Agreement  Change of Name
- Other \_\_\_\_\_

**2. Name and address of receiving party(ies)**

Additional names, addresses, or citizenship attached?  Yes  No

Name: JPMorgan Chase Bank, N.A., as Collateral Agent

Street Address: 10 S. Dearborn  
Floor L2, Mail Code IL 1-0480

City: Chicago

State: IL

Country: USA Zip: 60603

- Individual(s) Citizenship \_\_\_\_\_
- Association Citizenship \_\_\_\_\_
- Partnership Citizenship \_\_\_\_\_
- Limited Partnership Citizenship \_\_\_\_\_
- Corporation Citizenship \_\_\_\_\_
- Other Bank Citizenship USA

If assignee is not domiciled in the United States, a domestic representative designation is attached:  Yes  No  
(Designations must be a separate document from assignment)

**4. Application number(s) or registration number(s) and identification or description of the Trademark.**

A. Trademark Application No.(s) Text  
see attached Schedule 2

B. Trademark Registration No.(s)  
see attached Schedule 2

Additional sheet(s) attached?  Yes  No

C. Identification or Description of Trademark(s) (and Filing Date if Application or Registration Number is unknown):

**5. Name & address of party to whom correspondence concerning document should be mailed:**

Name: Doris Ka - Senior Paralegal (Intellectual Property)

Internal Address: Cahill Gordon & Reindel LLP

Street Address: 80 Pine Street

City: New York

State: NY Zip: 10005

Phone Number: (212) 701-3569

Docket Number: 57320.1650 (Blucora)

Email Address: dka@cahill.com

**6. Total number of applications and registrations involved:**

**16**

**7. Total fee (37 CFR 2.6(b)(6) & 3.41) \$ \_\_\_\_\_**

- Authorized to be charged to deposit account
- Enclosed

**8. Payment Information:**

Deposit Account Number \_\_\_\_\_

Authorized User Name \_\_\_\_\_

**9. Signature:**

Doris Ka

Signature

July 2, 2020

Date

Doris Ka

Name of Person Signing

Total number of pages including cover sheet, attachments, and document:

**8**

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:  
Mail Stop Assignment Recordation Branch, Director of the USPTO, P.O. Box 1450, Alexandria, VA 22313-1450

TRADEMARK SECURITY AGREEMENT

This Trademark Security Agreement (this "Trademark Security Agreement"), dated as of July 1, 2020, by Blucora, Inc., a Delaware corporation (the "Borrower"), and each person listed on Schedule 1 hereto (each, a "Pledgor" and collectively, the "Pledgors"), in favor of JPMorgan Chase Bank, N.A., in its capacity as Collateral Agent pursuant to the Credit Agreement dated as of May 22, 2017 (in such capacity, the "Collateral Agent").

WITNESSETH:

WHEREAS, each Pledgor is party to a Security Agreement dated as of the date hereof (as amended, amended and restated, supplemented or otherwise modified from time to time, the "Security Agreement") in favor of the Collateral Agent pursuant to which each Pledgor is required to execute and deliver this Trademark Security Agreement.

NOW, THEREFORE, in consideration of the premises and to induce the Collateral Agent, for the ratable benefit of the Secured Parties, to enter into the Credit Agreement, each Pledgor hereby agrees with the Collateral Agent as follows:

SECTION 1. Defined Terms. Unless otherwise defined herein, terms defined in the Security Agreement and used herein have the meaning given to them in the Security Agreement.

SECTION 2. Grant of Security Interest in Trademark Collateral. As collateral security for the prompt and complete payment and performance in full of the Secured Obligations, each Pledgor hereby pledges, hypothecates and grants to the Collateral Agent for the benefit of the Secured Parties a Lien on and security interest in and to all of the right, title and interest of each Pledgor in and to all of its right, title and interest in, to and under all the following Collateral of each Pledgor (collectively, the "Trademark Collateral"):

- (a) Trademarks of the Pledgors listed on Schedule 2 attached hereto (provided that no security interest shall be granted in intent-to-use trademark or service mark applications);
- (b) all goodwill associated with such Trademarks; and
- (c) all Proceeds of any and all of the foregoing.

SECTION 3. Security Agreement. The lien and security interest granted pursuant to this Trademark Security Agreement is granted in conjunction with the security interest granted to the Collateral Agent pursuant to the Security Agreement, and each Pledgor hereby acknowledges and affirms that the rights and remedies of the Collateral Agent with respect to the lien on and security interest in the Trademark Collateral made and granted hereby are more fully set forth in the Security Agreement, the terms and provisions of which are incorporated by reference herein as if fully set forth herein. In the event that any provision of this Trademark Security Agreement is deemed to be inconsistent with or in conflict with the Security Agreement, the provisions of the Security Agreement shall control unless the Collateral Agent shall otherwise determine.

SECTION 4. Termination. Upon the full payment and performance of the Secured Obligations, upon written request of the Borrower, the Collateral Agent shall execute, acknowledge, and deliver to each Pledgor an instrument in writing in recordable form releasing the collateral pledge, grant, assignment, lien and security interest in the Trademarks under this Trademark Security Agreement.

SECTION 5. Recordation. Each Pledgor authorizes and requests that the Commissioner of Trademarks and any other applicable government officer record this Trademark Security Agreement.

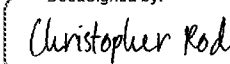
SECTION 6. Execution in Counterparts. This Trademark Security Agreement may be executed in any number of counterparts, each of which when so executed shall be deemed to be an original and all of which taken together shall constitute one and the same agreement.

SECTION 7. Governing Law. THIS AGREEMENT SHALL BE CONSTRUED IN ACCORDANCE WITH AND GOVERNED BY THE LAW OF THE STATE OF NEW YORK, WITHOUT REGARD TO CONFLICTS OF LAW PRINCIPLES THAT WOULD REQUIRE THE APPLICATION OF THE LAWS OF ANOTHER JURISDICTION, EXCEPT TO THE EXTENT THAT THE UCC PROVIDES THAT PERFECTION OF THE SECURITY INTEREST HEREUNDER, OR REMEDIES HEREUNDER, IN RESPECT OF ANY PARTICULAR COLLATERAL ARE GOVERNED BY THE LAWS OF A JURISDICTION OTHER THAN THE STATE OF NEW YORK, IN WHICH CASE THE LAWS OF SUCH JURISDICTION SHALL GOVERN WITH RESPECT TO THE PERFECTION OF THE SECURITY INTEREST IN, OR THE REMEDIES WITH RESPECT TO, SUCH PARTICULAR COLLATERAL.

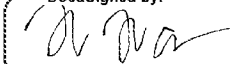
[Signature Page Follows]

IN WITNESS WHEREOF, the Pledgors have caused this Trademark Security Agreement to be executed and delivered by their respective duly authorized officers as of the date first set forth above.

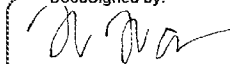
**HONKAMP KRUEGER FINANCIAL SERVICES, INC.,**  
as Pledgor,

By:  DocuSigned by:  
Name: Christopher Rod  
Title: Secretary and Treasurer

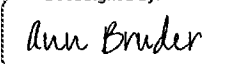
**1ST GLOBAL, INC.,**  
as Pledgor,

By:  DocuSigned by:  
Name: Wendy Walton  
Title: Secretary

**AVANTAX WEALTH MANAGEMENT, INC.,**  
as Pledgor,

By:  DocuSigned by:  
Name: Wendy Walton  
Title: Assistant Secretary

**TAXACT, INC.,**  
as Pledgor,

By:  DocuSigned by:  
Name: Ann J. Bruder  
Title: Secretary

Accepted and Agreed:

**JPMORGAN CHASE BANK, N.A.**,  
as Collateral Agent

By:           *Ch. Lathrop*            
Name: Christine Lathrop  
Title: Executive Director

SCHEDULE 1  
to  
TRADEMARK SECURITY AGREEMENT

PLEDGORS


NAME	ADDRESS
Honkamp Krueger Financial Services, Inc.	6333 North State Highway 161, Suite 400 Irving, TX 75038
1st Global, Inc.	6333 North State Highway 161, Suite 400 Irving, TX 75038
Avantax Wealth Management, Inc.	6333 North State Highway 161, Suite 400 Irving, TX 75038
TaxACT, Inc.	6333 North State Highway 161, Suite 400 Irving, TX 75038



SCHEDULE 2  
to  
TRADEMARK SECURITY AGREEMENT

TRADEMARK REGISTRATIONS AND TRADEMARK APPLICATIONS

Trademark Registrations:

Owner	Trademark	Type	Classes)	Filing Date/ Serial No.	Reg. Date/ Reg. No.	Int'l., Federal, or State Registration
Honkamp Krueger Financial Services, Inc.		Service Mark	IC 036, US 100 101 102, G & S; Financial services, namely, wealth management services; Insurance consultation; Investment management.	September 29, 2015 86771678	<b>6/21/2016</b> <b>4982505</b>	Federal
1st Global, Inc.	VOICE OF THE ADVISOR	Service Mark	IC 35	01/31/2019 88284463	03/03/2020 5999940	Federal
1st Global, Inc.	1st Global RESEARCH & CONSULTING & Design	Service Mark	IC 36	11/30/2012 85792185	10/15/2013 4417333	Federal
1st Global, Inc.	1ST GLOBAL WEALTH MANAGEMENT ACADEMY	Service Mark	IC 41	04/11/2013 85901849	02/04/2014 4477227	Federal
1st Global, Inc.	METHOD 10	Service Mark	IC 36	02/05/2009 77663930	12/15/2009 3724746	Federal
1st Global, Inc.	1ST GLOBAL	Service Mark	IC 36	03/06/2003 76495138	04/01/2008 3403949	Federal
1st Global, Inc.	MATRIX	Service Mark	IC 16	02/04/2003 76487179	03/15/2005 2932354	Federal
1st Global, Inc.	1ST GLOBAL ACCESS	Service Mark	IC 36	09/27/1999 75809163	09/05/2000 2383138	Federal

Owner	Trademark	Type	Class(es)	Filing Date/ Serial No.	Reg. Date/ Reg. No.	Int'l. Federal, or State Registration
Avantax Wealth Management, Inc.	SEE THINGS IN HD	Service Mark	IC 36	12/14/2017 87980349	5/21/2019 5759226	Federal
Avantax Wealth Management, Inc.	1040 ANALYST	Service Mark	IC 41	02/10/2015 86978636	05/31/2016 4970809	Federal
Avantax Wealth Management, Inc.	HD VEST	Service Mark	IC 36	03/11/2016 86936948	11/15/2016 5080907	Federal
Avantax Wealth Management, Inc.	HD VEST ADVISORY SERVICES	Service Mark	IC 36	03/02/2016 86926166	11/15/2016 5080858	Federal
Avantax Wealth Management, Inc.	HD VEST INVESTMENT SERVICES	Service Mark	IC 36	03/02/2016 86926122	11/15/2016 5080857	Federal
TaxACT, Inc.	SURPRISINGLY LEGAL	Service Mark	IC 35	03/11/2020 88829970	N/A	Federal
TaxACT, Inc.	TAXACT	Service Mark	IC 35	03/11/2020 88829929	N/A	Federal
TaxACT, Inc.	SURPRISINGLY LEGAL	Service Mark	IC 9 IC 42	01/21/2020 88766989	N/A	Federal

TRADEMARK

REEL: 006990 FRAME: 0986

RECORDED: 07/06/2020