

TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

ETAS ID: TM585752

SUBMISSION TYPE:	NEW ASSIGNMENT		
NATURE OF CONVEYANCE:	MERGER AND CHANGE OF NAME		
EFFECTIVE DATE:	09/30/2016		
CONVEYING PARTY DATA			
Name	Formerly	Execution Date	Entity Type
Ascendant Compliance Management, Inc.		09/30/2016	Corporation: NEW YORK
NEWLY MERGED ENTITY DATA			
Name	Execution Date	Entity Type	
Ascendant Compliance Merger Sub, LLC	09/30/2016	Limited Liability Company: NEW YORK	
MERGED ENTITY'S NEW NAME (RECEIVING PARTY)			
Name:	Ascendant Compliance Management, LLC		
Street Address:	400 Madison Avenue, Suite 3A		
City:	New York		
State/Country:	NEW YORK		
Postal Code:	10017		
Entity Type:	Limited Liability Company: NEW YORK		
PROPERTY NUMBERS Total: 1			
Property Type	Number	Word Mark	
Registration Number:	3745298	COMPLIANCECASTS	
CORRESPONDENCE DATA			
Fax Number:	2027393001		
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>			
Phone:	202.739.3000		
Email:	jennifer.evans@morganlewis.com		
Correspondent Name:	Morgan, Lewis & Bockius LLP		
Address Line 1:	1111 Pennsylvania Avenue, NW		
Address Line 4:	Washington, D.C. 20004		
ATTORNEY DOCKET NUMBER:	010959-0007		
NAME OF SUBMITTER:	Jennifer C. Evans		
SIGNATURE:	/JCE/		

CH \$40.00 3745298

DATE SIGNED:

07/09/2020

Total Attachments: 7

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FILING RECEIPT

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ENTITY NAME: ASCENDANT COMPLIANCE MERGER SUB, LLC

DOCUMENT TYPE: MERGER (DOM LLC)
PROCESS

COUNTY: NEWY

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FILED:09/30/2016 DURATION:***** CASH#:160930000475 FILM #:160930000453

FILER:

EFFECT DATE

LISA J. FALENSKI
C/O MORGAN, LEWIS & BOCKIUS LLP
301 GRANT ST., FL. 32
PITTSBURGH, PA 15219

09/30/2016

ADDRESS FOR PROCESS:

CT CORPORATION SYSTEM
111 EIGHTH AVENUE
NEW YORK, NY 10011

REGISTERED AGENT:



CONSTITUENT NAME: ASCENDANT COMPLIANCE MANAGEMENT, INC.

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SERVICE COMPANY: C T CORPORATION SYSTEM - 07

SERVICE CODE: 07

FEEs 370.00

FILING 60.00
TAX 0.00
CERT 0.00
COPIES 10.00
HANDLING 300.00

PAYMENTS 370.00

CASH 0.00
CHECK 0.00
CHARGE 0.00
DRAWDOWN 370.00
OPAL 0.00
REFUND 0.00

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10183035JW

TRADEMARK (04/2007)

REEL: 006995 FRAME: 0628

STATE OF NEW YORK
DEPARTMENT OF STATE

I hereby certify that the annexed copy has been compared with the original document in the custody of the Secretary of State and that the same is a true copy of said original.



WITNESS my hand and official seal of the
Department of State, at the City of Albany, on
September 30, 2016.

A handwritten signature in black ink, appearing to read "B. Fitzgerald", written over a horizontal line.

Brendan Fitzgerald
Executive Deputy Secretary of State

Rev. 09/16



Division of Corporations, State Records and Uniform Commercial Code

Department of State DIVISION OF CORPORATIONS, STATE RECORDS AND UNIFORM COMMERCIAL CODE One Commerce Plaza 99 Washington Ave. Albany, NY 12231-0001 www.dos.ny.gov

CERTIFICATE OF MERGER OF

Ascendant Compliance Management, Inc.

(Insert Name of Domestic Entity)

AND

Ascendant Compliance Merger Sub, LLC

(Insert Name of Domestic Limited Liability Company)

INTO

Ascendant Compliance Merger Sub, LLC

(Insert Name of Domestic Limited Liability Company)

Under Section 1003 of the Limited Liability Company Law

FIRST: The name (and if the name has been changed, the name under which it was formed) and jurisdiction of formation or organization of each domestic limited liability company or other domestic business entity that is to merge is:

Ascendant Compliance Management, Inc. (f/k/a IMS Consulting Partners Inc.), a New York corporation Ascendant Compliance Merger Sub, LLC, a New York limited liability company

SECOND: For each domestic limited liability company and domestic other business entity, the date when its initial articles of organization or formation document was filed with Department of State is:

Ascendant Compliance Management, Inc. (f/k/a IMS Consulting Partners Inc.), Certificate of Incorporation filed February 25, 2005 Ascendant Compliance Merger Sub, LLC, Articles of Organization filed September 28, 2016

THIRD: The name of the surviving domestic limited liability company is:

Ascendant Compliance Merger Sub, LLC

FOURTH: The agreement of merger has been approved and executed by each of the domestic limited liability companies or domestic other business entities that is a party thereto.

FIFTH: The effective date of merger, if it is not to be effective upon the filing of the certificate of merger, is: September 30, 2016 (A future effective date may not exceed 30 days from the date of filing.)

SIXTH: The Secretary of State is designated as agent of the surviving limited liability company upon whom process against it may be served. The address within or without this state to which the Secretary of State shall mail a copy of any process against the limited liability company served upon him or her is:

CT Corporation System, 111 Eighth Avenue, New York, NY 10011

SEVENTH: The agreement of merger is on file at the following place of business of the surviving domestic limited liability company:

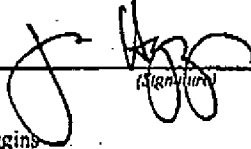
194 Main Street, Salisbury, Connecticut 06068

EIGHTH: A copy of the agreement of merger will be furnished by the surviving domestic limited liability company on request and without cost to any member of any domestic limited liability company or to any person holding an interest in any other business entity that is to merge pursuant to such agreement.

Ascendant Compliance Management, Inc.

(Name of Domestic Entity)

X



(Signature)

Jon Higgins

(Type or Print Name)

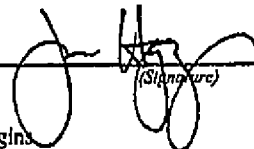
President

(Capacity of Signer)

Ascendant Compliance Merger Sub. LLC

(Name of Domestic Limited Liability Company)

X



(Signature)

Jon Higgins

(Type or Print Name)

Authorized Person

(Capacity of Signer)

453

FILED

2016 SEP 30 PM 1:33

CERTIFICATE OF MERGER
OF

Ascendant Compliance Management, Inc.

(Insert Name of Domestic Entity)

AND

Ascendant Compliance Merger Sub, LLC

(Insert Name of Domestic Limited Liability Company)

INTO

Ascendant Compliance Merger Sub, LLC

(Insert Name of Domestic Limited Liability Company)

Under Section 1003 of the Limited Liability Company Law

Filed by: Lisa J. Falenski
(Name)
c/o Morgan, Lewis & Bockius LLP, 301 Grant St., Fl. 32
(Mailing Address)
Pittsburgh, PA 15219
(City, State and Zip Code)

NOTES:

1. The name of the limited liability company or other business entity and the date(s) of filing the articles of organization or formation document must exactly match the records of the Department of State. This information should be verified on the Department of State's website at www.dos.ny.gov.
2. This sample form was prepared by the New York State Department of State for filing a certificate of merger with a domestic business entity into a domestic limited liability company survivor. The certificate must be signed on behalf of each entity. It does not contain all optional provisions under the law. You are not required to use this form. You may draft your own form or use forms available at legal supply stores.
3. The Department of State recommends that legal documents be prepared under the guidance of an attorney.
4. The certificate must be submitted with a \$60 filing fee made payable to the Department of State.

(For office use only)

100
STATE OF NEW YORK
DEPARTMENT OF STATE

FILED SEP 30 2016

TAXS _____
BY: [Signature]

10183035 JW
DRAWDOWN

475

TRADEMARK

RECEIVED
2016 SEP 30 PM 12:05



CERTIFICATE OF AMENDMENT
OF
ARTICLES OF ORGANIZATION
OF

Ascendant Compliance Merger Sub, LLC

(Insert Name of Domestic Limited Liability Company)

Under Section 211 of the Limited Liability Company Law

FIRST: The name of the limited liability company is:

Ascendant Compliance Merger Sub, LLC

If the name of the limited liability company has been changed, the name under which it was organized is:

SECOND: The date of filing of the articles of organization is: September 28, 2016

THIRD: The amendment effected by this certificate of amendment is as follows:

The subject matter and full text of each amended paragraph must be stated.

FOR EXAMPLE, a certificate of amendment changing the name of the limited liability company would read as follows:

Paragraph FIRST of the Articles of Organization relating to the name of the limited liability company is hereby amended to read as follows:

FIRST: The name of the limited liability company is (...new name...).

Paragraph FIRST of the Articles of Organization relating to

the name of the limited liability company

is hereby amended to read as follows:

Articles FIRST: The name of the limited liability company is Ascendant Compliance Management, LLC

X
(Signature)

Bobby Kelly, President and Secretary
(Type or print name)

Capacity of Signer (Check appropriate box):

Member

Manager

Authorized Person

CERTIFICATE OF AMENDMENT
OF
ARTICLES OF ORGANIZATION
OF

Ascendant Compliance Merger Sub, LLC

(Insert Name of Domestic Limited Liability Company)

Under Section 211 of the Limited Liability Company Law

Filed by: Charlotte Mayes c/o Sidley Austin, LLP
(Name)
2021 McKinney Avenue, Suite 2000
(Mailing address)
Dallas, Texas 75201
(City, State and Zip Code)

NOTES:

1. The name of the limited liability company and the date of filing of the articles of organization must exactly match the records of the Department of State. This information should be verified on the Department of State's website at www.dos.ny.gov.
2. This form was prepared by the New York State Department of State for filing a certificate of amendment for a domestic limited liability company. It does not contain all optional provisions under the law. You are not required to use this form. You may draft your own form or use forms available at legal supply stores.
3. The Department of State recommends that legal documents be prepared under the guidance of an attorney.
4. The certificate must be submitted with a **\$60** filing fee made payable to the Department of State.

(For office use only.)