

TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

ETAS ID: TM588161

| | | | |
|---|---------------------------|--|---------------------|
| SUBMISSION TYPE: | NEW ASSIGNMENT | | |
| NATURE OF CONVEYANCE: | SECURITY INTEREST | | |
| CONVEYING PARTY DATA | | | |
| Name | Formerly | Execution Date | Entity Type |
| Phoseon Technology, Inc. | | 01/13/2017 | Corporation: OREGON |
| RECEIVING PARTY DATA | | | |
| Name: | Silicon Valley Bank | | |
| Street Address: | 3003 Tasman Dr., HF150 | | |
| City: | Santa Clara | | |
| State/Country: | CALIFORNIA | | |
| Postal Code: | 95054 | | |
| Entity Type: | Corporation: CALIFORNIA | | |
| PROPERTY NUMBERS Total: 9 | | | |
| Property Type | Number | Word Mark | |
| Serial Number: | 88607762 | KEYLIGHT | |
| Serial Number: | 88607778 | KEYSOURCE | |
| Serial Number: | 87913836 | PHOSEON TECHNOLOGY INNOVATIVE LED SOLUTI | |
| Serial Number: | 87913842 | INNOVATIVE LED SOLUTIONS | |
| Serial Number: | 87496344 | TARGETSURE | |
| Serial Number: | 87913848 | PHOSEON TECHNOLOGY INNOVATIVE LED SOLUTI | |
| Serial Number: | 87904851 | WHISPERCURE | |
| Serial Number: | 87568621 | KEYPRO | |
| Serial Number: | 87328726 | KEYVIEW | |
| CORRESPONDENCE DATA | | | |
| Fax Number: | | | |
| <i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i> | | | |
| Phone: | 5614142116 | | |
| Email: | tbinder@vlplawgroup.com | | |
| Correspondent Name: | Tatiana Binder | | |
| Address Line 1: | 305 CAMBRIDGE DR | | |
| Address Line 4: | LYNCHBURG, VIRGINIA 24502 | | |
| NAME OF SUBMITTER: | Tatiana Binder | | |

OP \$240.00 88607762

| | |
|---|-----------------|
| SIGNATURE: | /TatianaBinder/ |
| DATE SIGNED: | 07/23/2020 |
| Total Attachments: 14 source=(SVB-Phoseon) EXECUTED 2nd A&R Intellectual Property Security Agreement (as filed 7-21-20)#page1.tif source=(SVB-Phoseon) EXECUTED 2nd A&R Intellectual Property Security Agreement (as filed 7-21-20)#page2.tif source=(SVB-Phoseon) EXECUTED 2nd A&R Intellectual Property Security Agreement (as filed 7-21-20)#page3.tif source=(SVB-Phoseon) EXECUTED 2nd A&R Intellectual Property Security Agreement (as filed 7-21-20)#page4.tif source=(SVB-Phoseon) EXECUTED 2nd A&R Intellectual Property Security Agreement (as filed 7-21-20)#page5.tif source=(SVB-Phoseon) EXECUTED 2nd A&R Intellectual Property Security Agreement (as filed 7-21-20)#page6.tif source=(SVB-Phoseon) EXECUTED 2nd A&R Intellectual Property Security Agreement (as filed 7-21-20)#page7.tif source=(SVB-Phoseon) EXECUTED 2nd A&R Intellectual Property Security Agreement (as filed 7-21-20)#page8.tif source=(SVB-Phoseon) EXECUTED 2nd A&R Intellectual Property Security Agreement (as filed 7-21-20)#page9.tif source=(SVB-Phoseon) EXECUTED 2nd A&R Intellectual Property Security Agreement (as filed 7-21-20)#page10.tif source=(SVB-Phoseon) EXECUTED 2nd A&R Intellectual Property Security Agreement (as filed 7-21-20)#page11.tif source=(SVB-Phoseon) EXECUTED 2nd A&R Intellectual Property Security Agreement (as filed 7-21-20)#page12.tif source=(SVB-Phoseon) EXECUTED 2nd A&R Intellectual Property Security Agreement (as filed 7-21-20)#page13.tif source=(SVB-Phoseon) EXECUTED 2nd A&R Intellectual Property Security Agreement (as filed 7-21-20)#page14.tif | |

**SECOND AMENDED AND RESTATED
INTELLECTUAL PROPERTY SECURITY AGREEMENT**

This Second Amended and Restated Intellectual Property Security Agreement (“Agreement”) is entered into as of January 13, 2017 by and between SILICON VALLEY BANK (“Bank”) and PHOSEON TECHNOLOGY, INC. (“Grantor”).

RECITALS

A. This Second Amended and Restated Intellectual Property Security Agreement amends and restates in its entirety, that certain Amended and Restated Intellectual Property Security Agreement by and between Grantor and Bank dated as of June 8, 2011.

B. Bank has agreed to make certain advances of money and to extend certain financial accommodation to Grantor (the “Loans”) in the amounts and manner set forth in that certain Loan and Security Agreement by and between Bank and Grantor dated January 13, 2017 (as the same may be amended, modified or supplemented from time to time, the “Loan Agreement”; capitalized terms used herein are used as defined in the Loan Agreement). Bank is willing to make the Loans to Grantor, but only upon the condition, among others, that Grantor shall grant to Bank a security interest in certain Copyrights, Trademarks, Patents, and Mask Works (as each term is described below) to secure the obligations of Grantor under the Loan Agreement.

C. Pursuant to the terms of the Loan Agreement, Grantor has granted to Bank a security interest in all of Grantor’s right, title and interest, whether presently existing or hereafter acquired, in, to and under all of the Collateral.

NOW, THEREFORE, for good and valuable consideration, receipt of which is hereby acknowledged, and intending to be legally bound, as collateral security for the prompt and complete payment when due of its obligations under the Loan Agreement, Grantor hereby represents, warrants, covenants and agrees as follows:

AGREEMENT

1. Grant of Security Interest. To secure its obligations under the Loan Agreement, Grantor grants and pledges to Bank a security interest in all of Grantor’s right, title and interest in, to and under its intellectual property (all of which shall collectively be called the “Intellectual Property Collateral”), including, without limitation, the following:

(a) Any and all copyright rights, copyright applications, copyright registrations and like protections in each work of authorship and derivative work thereof, whether published or unpublished and whether or not the same also constitutes a trade secret, now or hereafter existing, created, acquired or held, including without limitation those set forth on Exhibit A attached hereto (collectively, the “Copyrights”);

(b) Any and all trade secrets, and any and all intellectual property rights in computer software and computer software products now or hereafter existing, created, acquired or held;

(c) Any and all design rights that may be available to Grantor now or hereafter existing, created, acquired or held;

(d) All patents, patent applications and like protections including, without limitation, improvements, divisions, continuations, renewals, reissues, extensions and continuations-in-part of the same, including without limitation the patents and patent applications set forth on Exhibit B attached hereto (collectively, the "Patents");

(e) Any trademark and servicemark rights, whether registered or not, applications to register and registrations of the same and like protections, and the entire goodwill of the business of Grantor connected with and symbolized by such trademarks, including without limitation those set forth on Exhibit C attached hereto (collectively, the "Trademarks");

(f) All mask works or similar rights available for the protection of semiconductor chips, now owned or hereafter acquired, including, without limitation those set forth on Exhibit D attached hereto (collectively, the "Mask Works");

(g) Any and all claims for damages by way of past, present and future infringements of any of the rights included above, with the right, but not the obligation, to sue for and collect such damages for said use or infringement of the intellectual property rights identified above;

(h) All licenses or other rights to use any of the Copyrights, Patents, Trademarks, or Mask Works and all license fees and royalties arising from such use to the extent permitted by such license or rights;

(i) All amendments, extensions, renewals and extensions of any of the Copyrights, Trademarks, Patents, or Mask Works; and

(j) All proceeds and products of the foregoing, including without limitation all payments under insurance or any indemnity or warranty payable in respect of any of the foregoing.

2. Recordation. Grantor authorizes the Commissioner for Patents, the Commissioner for Trademarks and the Register of Copyrights and any other government officials to record and register this Agreement upon request by Bank.

Grantor hereby authorizes Bank to (a) modify this Agreement unilaterally by amending the exhibits to this Agreement to include any Intellectual Property Collateral which Grantor obtains subsequent to the date of this Agreement and (b) file a duplicate original of this Agreement containing amended exhibits reflecting such new Intellectual Property Collateral.

3. Loan Documents. This Agreement has been entered into pursuant to and in conjunction with the Loan Agreement, which is hereby incorporated by reference. The provisions of the Loan Agreement shall supersede and control over any conflicting or inconsistent provision herein. The rights and remedies of Bank with respect to the Intellectual Property Collateral are as provided by the Loan Agreement and related documents, and nothing in this Agreement shall be deemed to limit such rights and remedies.

4. Execution in Counterparts. This Agreement may be executed in counterparts (and by different parties hereto in different counterparts), each of which shall constitute an original, but all of which when taken together shall constitute a single contract. Delivery of an executed counterpart of a signature page to this Agreement by facsimile or in electronic (i.e., “pdf” or “tif” format) shall be effective as delivery of a manually executed counterpart of this Agreement.

5. Successors and Assigns. This Agreement will be binding on and shall inure to the benefit of the parties hereto and their respective successors and assigns.

6. Governing Law. This Agreement and any claim, controversy, dispute or cause of action (whether in contract or tort or otherwise) based upon, arising out of or relating to this Agreement and the transactions contemplated hereby and thereby shall be governed by, and construed in accordance with, the laws of the United States and the State of California, without giving effect to any choice or conflict of law provision or rule (whether of the State of California or any other jurisdiction).

[Signature page follows.]

IN WITNESS WHEREOF, the parties have caused this Second Amended and Restated Intellectual Property Security Agreement to be duly executed by its officers thereunto duly authorized as of the first date written above.

GRANTOR:

PHOSEON TECHNOLOGY, INC.

Chris O'Leary Jan 12, 2017
By: CHRIS O'Leary
Title: CFO

BANK:

SILICON VALLEY BANK

By: _____
Title: _____

IN WITNESS WHEREOF, the parties have caused this Second Amended and Restated Intellectual Property Security Agreement to be duly executed by its officers thereunto duly authorized as of the first date written above.

GRANTOR:


PHOSEON TECHNOLOGY, INC.

By: _____

Title: _____

BANK:

SILICON VALLEY BANK

By: 

Title: Director

EXHIBIT A

Copyrights

Description

Registration/
Application
Number

Registration/
Application
Date

NONE

EXHIBIT B

Patents

| Description | Registration/ Application Number |
|--|--|
| LIGHTING DEVICE WITH FACETED REFLECTOR | 20160109097 |
| LOAD CURRENT CONTROL CIRCUIT | 20160100467 |
| LED DRIVE CURRENT ADJUSTMENT FOR IRRADIANCE STEP RESPONSE OUTPUT | 20160143101 |
| LED DRIVE CURRENT ADJUSTMENT FOR IRRADIANCE STEP RESPONSE OUTPUT | US2015/035874 |
| LED DRIVE CURRENT ADJUSTMENT FOR IRRADIANCE STEP RESPONSE OUTPUT | 15007084 |
| LED OUTPUT RESPONSE DAMPENING FOR IRRADIANCE STEP RESPONSE OUTPUT | 20160174309 |
| MULTIPLE LIGHT COLLECTION AND LENS COMBINATIONS WITH CO-LOCATED FOCI FOR CURING OPTICAL FIBERS | 20160187542 |
| LIGHT ENGINE FRAME WITH INTEGRATED BAFFLE | 20160282578 |
| METHOD AND SYSTEM FOR EMITTING OFFSET ILLUMINATION FOR REDUCED STRAY LIGHT | 20160193851 |
| AUTOMATIC POWER CONTROLLER | 20160262225 |
| COMPOUND ELLIPTICAL REFLECTOR FOR CURING OPTICAL FIBERS | 20160271647 |
| METHOD AND SYSTEM FOR DETERMINING CURING TUBE CLARITY | 20160318797 |
| MICRO-REFLECTORS ON A SUBSTRATE FOR HIGH-DENSITY LED ARRAY | 20140131755 |
| LIGHTING MODULE HAVING A COMMON TERMINAL | 20130048885 |
| VAPOR CHAMBER COOLING OF SOLID-STATE LIGHT FIXTURES | 20120294002 |
| HEAT SINK FOR LIGHT MODULES | 20120275152 |
| ECONOMICAL PARTIALLY COLLIMATING REFLECTIVE MICRO OPTICAL ARRAY | 20110116262 |
| REFLECTOR CHANNEL | 20100165620 |
| MULTI-ATTRIBUTE LIGHT EFFECTS FOR USE IN CURING AND OTHER APPLICATIONS INVOLVING PHOTOREACTIONS AND PROCESSING | 20100076111 |
| METHODS AND SYSTEMS RELATING TO LIGHT SOURCES FOR USE IN INDUSTRIAL PROCESSES | 20090233003 |
| METHODS AND SYSTEMS RELATING TO SOLID STATE LIGHT SOURCES FOR USE IN INDUSTRIAL PROCESSES | 20090085046 |
| MULTI-ATTRIBUTE LIGHT EFFECTS FOR USE IN CURING AND OTHER APPLICATIONS INVOLVING PHOTOREACTIONS AND PROCESSING | 20070154823 |
| Series wiring of highly reliable light sources | 20070030678 |
| DIRECT COOLING OF LEDS | 20060216865 |
| Micro-reflectors on a substrate for high-density LED array | 9,478,720 |

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| Method and system for light array thermal slope detection | 9,462,657 |
| Method and system for determining curing tube clarity | 9,442,008 |
| Method and system for monitoring ultraviolet light for a fiber cure system | 9,442,007 |
| Automatic power controller | 9,398,647 |
| Edge weighted spacing of LEDs for improved uniformity range | 9,388,967 |
| Compound elliptical reflector for curing optical fibers | 9,370,046 |
| Internal deflection venting | 9,366,417 |
| Method and system for emitting offset illumination for reduced stray light | 9,346,288 |
| Edge weighted spacing of LEDs for improved uniformity range | 9,335,010 |
| LED drive current adjustment for irradiance step response output | 9,313,854 |
| Edge weighted spacing of LEDs for improved uniformity range | 9,310,032 |
| Multiple light collection and lens combinations with co-located foci for curing optical fibers | 9,304,273 |
| Load current control circuit | 9,277,623 |
| Removable window frame for lighting module | 9,169,998 |
| Linear fresnel optic for reducing angular spread of light from LED array | 9,109,777 |
| Method and system for shutting down a lighting device | 9,107,246 |
| Dual elliptical reflector with a co-located foci for curing optical fibers | 9,105,367 |
| Microchannel cooler for light emitting diode light fixtures | 9,103,544 |
| Smart FET circuit | 9,101,024 |
| Wrap-around window for lighting module | 9,033,555 |
| Method and system for light array thermal slope detection | 8,928,256 |
| Microchannel cooler for light emitting diode light fixtures | 8,870,418 |
| Lamp | D770,639 |
| Micro-reflectors on a substrate for high-density LED array | 9,478,720 |
| Method and system for determining curing tube clarity | 9,442,008 |
| Method and system for monitoring ultraviolet light for a fiber cure system | 9,442,007 |
| Controller box | D758,324 |
| Light source temperature monitor and control | 9,357,592 |
| LED output response dampening for irradiance step response output | 9,320,090 |
| LED output response dampening for irradiance step response output | 15051314 |
| LED output response dampening for irradiance step response output | US2015035869 |
| Multi-wavelength LED curing lamp | 9,318,649 |
| Multiple light collection and lens combinations with co-located foci for curing optical fibers | 9,304,273 |
| Methods and systems relating to light sources for use in industrial processes | 9,281,001 |
| Load current control circuit | 9,277,623 |
| Air deflectors for heat management in a lighting module | 9,170,013 |

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| Removable window frame for lighting module | 9,169,998 |
| Differential Ultraviolet curing using external optical elements | 9,126,432 |
| Dual elliptical reflector with a co-located foci for curing optical fibers | 9,105,367 |
| Microchannel cooler for light emitting diode light fixtures | 9,103,544 |
| Removable window frame for lighting module | 8,931,928 |
| Air deflectors for heat management in a lighting module | 8,888,336 |
| Dual elliptical reflector with a co-located foci for curing optical fibers | 8,872,137 |
| Microchannel cooler for light emitting diode light fixtures | 8,870,418 |
| Lamp ventilation system | 8,851,715 |
| Smart FET circuit | 8,823,279 |
| Semiconductor light sources, systems, and methods | 8,735,193 |
| Wrap-around window for lighting module | 8,678,622 |
| Modular light source | 8,678,612 |
| Cooling large arrays with high heat flux densities | 8,669,697 |
| Controller for semiconductor lighting device | 8,653,737 |
| Micro-reflectors on a substrate for high-density LED array | 8,637,332 |
| Microchannel cooler for light emitting diode light fixtures | 8,591,078 |
| Collection optics for LED array with offset hemispherical or faceted surfaces | 8,523,387 |
| High efficiency solid-state light source and methods of use and manufacture | 8,496,356 |
| Lighting module with diffractive optical element | 8,465,172 |
| Monitoring voltage to track temperature in solid state light modules | 8,330,377 |
| High irradiance through off-center optics | 8,328,390 |
| High efficiency solid-state light source and methods of use and manufacture | 8,192,053 |
| Semiconductor light sources, systems, and methods | 8,115,213 |
| LED array | 8,093,614 |
| Multi-attribute light effects for use in curing and other applications involving photoreactions and processing | 8,080,812 |
| LED array having array-based LED detectors | 8,039,785 |
| Collection optics for led array with offset hemispherical or faceted surfaces | 7,819,550 |
| LED array having array-based LED detectors | 7,816,638 |
| Methods and systems relating to solid state light sources for use in industrial processes | 7,684,665 |
| LED array | 7,659,547 |
| Multi-attribute light effects for use in curing and other applications involving photoreactions and processing | 7,642,527 |
| Micro-reflectors on a substrate for high-density LED array | 7,638,808 |
| Series wiring of highly reliable light sources | 7,524,085 |
| Methods and systems relating to solid state light sources for use in industrial processes | 7,461,949 |

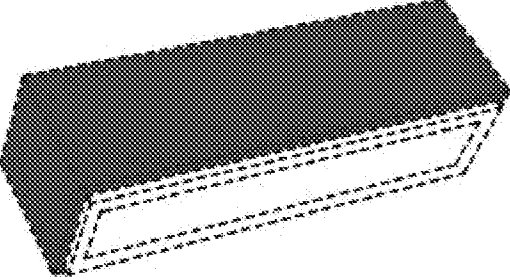

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|---|-------------|
| Direct cooling of LEDs | 7,285,445 |
| Direct cooling of LEDs | 7,235,878 |
| High density LED array | 7,071,493 |
| High Efficiency Solid-State Light Source and Methods of Use and Manufacture | 20130302209 |
| TRANSIENT VOLTAGE SUPPRESSION IN SOLID-STATE LIGHT FIXTURES | 20120242765 |
| LINEAR FRESNEL OPTIC FOR REDUCING ANGULAR SPREAD OF LIGHT FROM LED ARRAY | 20150308656 |
| LIGHTING SYSTEM AND METHODS FOR REDUCING NOISE AT LIGHT SENSING DEVICE | 20160119996 |
| METHOD AND SYSTEM FOR MONITORING ULTRAVIOLET LIGHT FOR A FIBER CURE SYSTEM | 20160033326 |
| RADIATION DELIVERY SYSTEM AND METHOD | 14965739 |
| AUTOMATIC POWER CONTROLLER FOR A PLURALITY OF LIGHTING ARRAYS | 15012049 |
| METHOD AND SYSTEM FOR EMISSION OF AND CURING VIA NARROW WIDTH RADIATION | 62323474 |

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| METHODS AND SYSTEMS FOR EFFICIENT SEPARATION OF POLARIZED UV LIGHT | 16690037 |
| METHODS AND SYTEM FOR THERMO-OPTIC POWER MONITORING | 16559498 |
| LIGHT ENGINE FRAME WITH INTEGRATED BAFFLE | 16573767 |
| IRRADIATION SYSTEM FOR MULTIWELL INACTIVATION | 16421263 |
| METHOD AND SYSTEM FOR CALIBRATION OF UV LIGHT SOURCES | 16424336 |
| METHODS AND SYSTEMS FOR EFFICIENT SEPARATION OF POLARIZED UV LIGHT | 16404679 |
| COMPOUND ELLIPTICAL REFLECTOR FOR CURING OPTICAL FIBERS | 16404574 |
| SYSTEMS AND METHODS FOR AN ABSORBANCE DETECTOR WITH OPTICAL REFERENCE | 16270202 |
| SYSTEMS FOR A MODULAR MULTI-WAVELENGTH ABSORBANCE DETECTOR | 16215428 |
| SYSTEM AND METHOD FOR OPERATING SEGMENTS OF A LIGHTING SYSTEM | 15725096 |
| SYSTEMS AND METHODS FOR AN ABSORBANCE DETECTOR WITH OPTICAL REFERENCE | 15650746 |
| SYSTEM AND METHOD TO IDENTIFY SHORT CIRCUITING CURRENT AND OPEN CIRCUITS IN A SEMICONDUCTOR LIGHT MATRIX | 15637827 |
| METHOD AND SYSTEM FOR MONITORING ULTRAVIOLET LIGHT FOR A FIBER CURE SYSTEM | 16024156 |
| PIVOTED ELLIPTICAL REFLECTOR FOR LARGE DISTANCE REFLECTION OF ULTRAVIOLET RAYS | 15945571 |
| INTEGRATED ILLUMINATION-DETECTION FLOW CELL FOR LIQUID CHROMATOGRAPHY | 15902924 |
| LOAD CURRENT CONTROL CIRCUIT | 15866357 |

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| SYSTEMS AND METHODS FOR BIO-INACTIVATION | 15783428 |
| PRE-CHARGE LIGHTING CONTROL CIRCUIT | 15710687 |
| METHODS AND SYSTEMS FOR ACCELERATED START-UP FOR A SWITCHING REGULATOR | 15154744 |
| METHOD AND SYSTEM FOR EMISSION OF AND CURING VIA NARROW WIDTH RADIATION | 15487326 |

EXHIBIT C

Trademarks

| <u>Description</u> | <u>Registration/ Application Number</u> | <u>Registration/ Application Date</u> |
|---|--|--|
| WHISPERCOOL TARGETCURE STARFIRE MAX | 86909702 86909698 4221774 85560554 4061678 85164073 | 02/16/2016 02/16/2016 03/05/2012 10/09/2012 11/22/2011 10/28/2010 |
|  | | |
| | 4169977 85164029 | 07/10/2012 10/28/2010 |
| | | |
| FIREPOWER | 4154865 85159134 | 06/05/2012 10/22/2010 |
| FIREJET | 4154864 85159098 | 06/05/2012 10/22/2010 |
| | | |
| PHOSEON | 2940674 78167543 2890265 78203246 | 04/12/2005 09/24/2002 09/28/2004 01/14/2003 |
|  | | |
| FIRELINE | 3964320 77963907 | 05/24/2011 03/19/2010 |
| STARFIRE | 3964319 77963894 | 05/24/2011 03/19/2010 |
| FIREEDGE | 3964317 77963884 | 05/24/2011 03/19/2010 |
| PHOSEON FIREFLY | 4139568 77963872 | 05/08/2012 03/19/2010 |
| FIREFLEX | 3964316 77963856 | 05/24/2011 03/19/2010 |
| SLM | 4016653 77963775 | 08/23/2011 03/19/2010 |

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|---|---------------------|------------|
| KEYLIGHT | 88607762 | 09/06/2019 |
| KEYSOURCE | 88607778 | 09/06/2019 |
| PHOSEON TECHNOLOGY INNOVATIVE LED SOLUTIONS | 87913836 | 05/09/2018 |
| INNOVATIVE LED SOLUTIONS | 87913842 | 05/09/2018 |
| TARGETSURE | 87496344 | 06/19/2017 |
| PHOSEON TECHNOLOGY INNOVATIVE LED SOLUTIONS | 87913848 | 05/09/2018 |
| WHISPERCURE | 87904851 5822814 | 05/02/2018 |
| KEYPRO | 87568621 5591469 | 08/14/2017 |
| KEYVIEW | 87328726 5382287 | 02/08/2017 |

EXHIBIT D

Mask Works

Description

Registration/
Application
Number

Registration/
Application
Date

NONE