

## TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1  
Stylesheet Version v1.2

ETAS ID: TM592525

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT		
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT OF THE ENTIRE INTEREST AND THE GOODWILL		
<b>CONVEYING PARTY DATA</b>			
<b>Name</b>	<b>Formerly</b>	<b>Execution Date</b>	<b>Entity Type</b>
Cognios Capital, LLC		05/31/2020	Limited Liability Company: KANSAS
<b>RECEIVING PARTY DATA</b>			
<b>Name:</b>	Quantitative Value Technologies, LLC		
<b>Street Address:</b>	7900 College Boulevard, Suite 124		
<b>City:</b>	Overland Park		
<b>State/Country:</b>	KANSAS		
<b>Postal Code:</b>	66210		
<b>Entity Type:</b>	Limited Liability Company: KANSAS		
<b>PROPERTY NUMBERS Total: 5</b>			
<b>Property Type</b>	<b>Number</b>	<b>Word Mark</b>	
<b>Registration Number:</b>	4400103	COGNIOS	
<b>Registration Number:</b>	4444901	COGNIOS CAPITAL	
<b>Registration Number:</b>	4645621	COGNIOS	
<b>Registration Number:</b>	4589299		
<b>Registration Number:</b>	4362370	ROTA/ROME	
<b>CORRESPONDENCE DATA</b>			
<b>Fax Number:</b>	8164121095		
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>			
<b>Phone:</b>	816-842-8600		
<b>Email:</b>	TRADEMARK@STINSON.COM		
<b>Correspondent Name:</b>	LAURIE DALE		
<b>Address Line 1:</b>	1201 WALNUT STREET, SUITE 2900		
<b>Address Line 2:</b>	STINSON TRADEMARK ADMINISTRATOR		
<b>Address Line 4:</b>	KANSAS CITY, MISSOURI 64106-2150		
<b>NAME OF SUBMITTER:</b>	Laurie Dale		
<b>SIGNATURE:</b>	/Laurie Dale/		
<b>DATE SIGNED:</b>	08/18/2020		
<b>Total Attachments: 6</b>			

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## EXHIBIT C

## INTELLECTUAL PROPERTY ASSIGNMENT

THIS INTELLECTUAL PROPERTY ASSIGNMENT AGREEMENT, dated as of May 31, 2020 (the “**Assignment**”) by and between Cognios Capital, LLC, a Kansas limited liability company (“**Seller**”), Cognios Holdings, LLC, a Delaware limited liability company (“**Cognios Holdings**”), and Quantitative Value Technologies, LLC, a Kansas limited liability company (“**Buyer**”). Buyer, Seller, and Cognios Holdings are sometimes hereinafter collectively referred to as the “**Parties**” and individually as a “**Party**”. Capitalized terms not defined herein will have the meaning set forth in the Purchase Agreement.

WHEREAS, the Parties are parties to an Asset Purchase Agreement, dated as of May 31, 2020 (the “**Purchase Agreement**”) pursuant to which Seller is selling to Buyer certain assets on the terms and conditions set forth in the Purchase Agreement; and

WHEREAS, the Purchase Agreement provides that, in connection with the consummation of the transactions contemplated thereby, Seller and Cognios Holdings will enter into this Assignment to provide for, among other things, assignment of the Intellectual Property owned by Seller and/or Cognios Holdings related to the Business to Buyer, all as more fully described herein.

NOW, THEREFORE, for good and valuable consideration, the receipt and adequacy of which are hereby acknowledged and accepted, Seller and Cognios Holdings hereby sell, convey, assign, transfer and deliver unto Buyer and its successors and assigns, all of its right, title and interest in and to the Intellectual Property identified on **Schedule C-1** attached hereto, including all rights of recovery for past and future infringement thereof, together with the goodwill of the business connected with the Intellectual Property.

This Assignment may be executed in any number of counterparts, each of which will be deemed to be an original, but all of which together will constitute one and the same instrument.

Seller and/or Cognios Holdings agree to execute any assignments or other documents as may be reasonably requested by Buyer in the future in order to perfect, preserve and protect Buyer’s ownership in the Intellectual Property including any necessary assignments from Seller’s and/or Cognios Holdings’ Affiliates. Seller and/or Cognios Holdings will deliver to Buyer all files and documentation that relate to the Intellectual Property.

Seller and/or Cognios Holdings will reasonably cooperate with Buyer in connection with the preparation, filing, prosecution, maintenance and defense of the Intellectual Property.

This Assignment will be governed by and construed in accordance with the internal laws of the State of Kansas without giving effect to any choice or conflict of law provision or rule (whether of the State of Kansas or any other jurisdiction).

*[Remainder of page intentionally blank; signature page follows]*

IN WITNESS WHEREOF this Assignment has been executed by the Parties as of the date first above written.

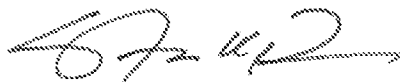
**Quantitative Value Technologies, LLC**

  
Name: Jonathan C. Augrist  
Title: Chief Executive Officer

*Signature page to Exhibit C - Intellectual Property Assignment Agreement.*

IN WITNESS WHEREOF this Assignment has been executed by the Parties as of the date first above written.

Cognios Capital, LLC



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Name: Steven K. Braun

Title: Chief Financial Officer

*Signature page in Exhibit C - Intellectual Property Assignment Agreement*

IN WITNESS WHEREOF this Assignment has been executed by the Parties as of the date first above written.

Cognios Holdings, LLC



Name: Joseph Brandmeyer

Title: Manager

*Signature page to Exhibit C - Intellectual Property Assignment Agreement*

SCHEDULE C-1  
INTELLECTUAL PROPERTY ASSIGNED

1. All intellectual property rights and ownership (whether registered or otherwise) related to the following names, marks and intangible property and derivations thereof:

Trademark	App. No. / Reg. No.	Status	Class
CC COGNIOS 	<b>App</b> 85844926 <b>App</b> 08-FEB-2013 <b>Reg</b> 4400103 <b>Reg</b> 10-SEP-2013	Registered	36 <b>INT. CL. 36</b> FINANCIAL SERVICES, NAMELY, INVESTMENT ADVICE, INVESTMENT MANAGEMENT, INVESTMENT CONSULTATION AND INVESTMENT OF FUNDS FOR OTHERS, INCLUDING PRIVATE AND PUBLIC EQUITY AND DEBT INVESTMENT SERVICES; FINANCIAL ASSET MANAGEMENT; CAPITAL INVESTMENT SERVICES
COGNIOS CAPITAL COGNIOS CAPITAL	<b>App</b> 85729377 <b>App</b> 14-SEP-2012 <b>Reg</b> 4444901 <b>Reg</b> 03-DEC-2013	Registered	36 <b>INT. CL. 36</b> FINANCIAL SERVICES, NAMELY, INVESTMENT ADVICE, INVESTMENT MANAGEMENT, INVESTMENT CONSULTATION AND INVESTMENT OF FUNDS FOR OTHERS, INCLUDING PRIVATE AND PUBLIC EQUITY AND DEBT INVESTMENT SERVICES; FINANCIAL ASSET MANAGEMENT; CAPITAL INVESTMENT SERVICES
COGNIOS COGNIOS	<b>App</b> 85729385 <b>App</b> 14-SEP-2012 <b>Reg</b> 4645621 <b>Reg</b> 25-NOV-2014	Registered	36 <b>INT. CL. 36</b> FINANCIAL SERVICES, NAMELY, INVESTMENT ADVICE, INVESTMENT MANAGEMENT, INVESTMENT CONSULTATION AND INVESTMENT OF FUNDS FOR OTHERS, INCLUDING PRIVATE AND PUBLIC EQUITY AND DEBT INVESTMENT SERVICES; FINANCIAL ASSET MANAGEMENT; CAPITAL INVESTMENT SERVICES
<i>Design Only</i> 	<b>App</b> 85729394 <b>App</b> 14-SEP-2012 <b>Reg</b> 4589299 <b>Reg</b> 19-AUG-2014	Registered	36 <b>INT. CL. 36</b> FINANCIAL SERVICES, NAMELY, INVESTMENT ADVICE, INVESTMENT MANAGEMENT, INVESTMENT CONSULTATION AND INVESTMENT OF FUNDS FOR OTHERS, INCLUDING PRIVATE AND PUBLIC EQUITY AND DEBT INVESTMENT SERVICES; FINANCIAL ASSET MANAGEMENT; CAPITAL INVESTMENT SERVICES
ROTA/ROME <b>Cross References:</b> ROTA ROME ROTA/ROME	<b>App</b> 85658032 <b>App</b> 21-JUN-2012 <b>Reg</b> 4362370 <b>Reg</b> 02-JUL-2013	Registered	36 <b>INT. CL. 36</b> FINANCIAL SERVICES, NAMELY, OPERATION AND MANAGEMENT OF HEDGE FUNDS, MUTUAL FUNDS, AND CAPITAL INVESTMENT; PROVIDING INFORMATION AND ADVICE IN THE FIELD OF FINANCE, FINANCIAL INVESTMENTS, FINANCIAL VALUATIONS; PROVIDING INFORMATION AND RESEARCH IN THE FIELD OF FINANCE AND FINANCIAL INVESTMENTS; PROVIDING INVESTMENT ADVICE TO

[Schedule C-1 to Intellectual Property Assignment]

**TRADEMARK**  
**REEL: 007026 FRAME: 0029**

Trademark	App. No. / Reg. No.	Status	Class
			OTHERS; INVESTMENT PORTFOLIO MANAGEMENT SERVICES

2. All intellectual property rights and ownership related to the portfolio management methodologies and stock selection techniques relating to the Business.

3. The following URLs:

- [www.cognios.com](http://www.cognios.com)

4. Anything related to the foregoing in tangible, electronic or any intangible form including without limitation computer code, files, domain names/urls, logos, goodwill, license rights (including those arising under Seller's and/or Cognios Holdings' relationship with Google Cloud Platform, CapitalIQ, ClariFI, or other similar relationships).

*[Schedule C-1 to Intellectual Property Assignment]*