

UCC FINANCING STATEMENT

FOLLOW INSTRUCTIONS

A. NAME & PHONE OF CONTACT AT FILER (optional) MICHELL DO (949) 760-0404
B. E-MAIL CONTACT AT FILER (optional) MICHELL.DO@KNOBBE.COM
C. SEND ACKNOWLEDGMENT TO: (Name and Address) <input type="checkbox"/> KNOBBE, MARTENS, OLSON & BEAR, LLP ATT: MICHELL DO 2040 MAIN STREET 14TH FLOOR IRVINE, CA 92614

Delaware Department of State
 U.C.C. Filing Section
 Filed: 08:39 PM 06/10/2020
 U.C.C. Initial Filing No: 2020 4052173
 Service Request No: 20205627233

THE ABOVE SPACE IS FOR FILING OFFICE USE ONLY

1. DEBTOR'S NAME: Provide only one Debtor name (1a or 1b) (use exact, full name; do not omit, modify, or abbreviate any part of the Debtor's name); if any part of the individual Debtor's name will not fit in line 1b, leave all of item 1 blank, check here and provide the individual Debtor information in item 10 of the Financing Statement Addendum (Form UCC1Ad)

1a. ORGANIZATION'S NAME INSERA THERAPEUTICS, INC.				
OR	1b. INDIVIDUAL'S SURNAME	FIRST PERSONAL NAME	ADDITIONAL NAME(S)/INITIAL(S)	SUFFIX
1c. MAILING ADDRESS	CITY	STATE	POSTAL CODE	COUNTRY
1560 ARCOLA AVENUE	SACRAMENTO	CA	95835	US

2. DEBTOR'S NAME: Provide only one Debtor name (2a or 2b) (use exact, full name; do not omit, modify, or abbreviate any part of the Debtor's name); if any part of the individual Debtor's name will not fit in line 2b, leave all of item 2 blank, check here and provide the individual Debtor information in item 10 of the Financing Statement Addendum (Form UCC1Ad)

2a. ORGANIZATION'S NAME				
OR	2b. INDIVIDUAL'S SURNAME	FIRST PERSONAL NAME	ADDITIONAL NAME(S)/INITIAL(S)	SUFFIX
2c. MAILING ADDRESS	CITY	STATE	POSTAL CODE	COUNTRY

3. SECURED PARTY'S NAME (or NAME of ASSIGNEE of ASSIGNOR SECURED PARTY): Provide only one Secured Party name (3a or 3b)

3a. ORGANIZATION'S NAME KNOBBE, MARTENS, OLSON & BEAR, LLP				
OR	3b. INDIVIDUAL'S SURNAME	FIRST PERSONAL NAME	ADDITIONAL NAME(S)/INITIAL(S)	SUFFIX
3c. MAILING ADDRESS	CITY	STATE	POSTAL CODE	COUNTRY
2040 MAIN ST., 14TH FLOOR	IRVINE	CA	92614	US

4. COLLATERAL: This financing statement covers the following collateral:
Collateral Description - please see attached

5. Check only if applicable and check only one box: Collateral is <input type="checkbox"/> held in a Trust (see UCC1Ad, item 17 and instructions) <input type="checkbox"/> being administered by a Decedent's Personal Representative	
6a. Check only if applicable and check only one box: <input type="checkbox"/> Public-Finance Transaction <input type="checkbox"/> Manufactured-Home Transaction <input type="checkbox"/> A Debtor is a Transmitting Utility	6b. Check only if applicable and check only one box: <input type="checkbox"/> Agricultural Lien <input type="checkbox"/> Non-UCC Filing
7. ALTERNATIVE DESIGNATION (if applicable): <input type="checkbox"/> Lessee/Lessor <input type="checkbox"/> Consignee/Consignor <input type="checkbox"/> Seller/Buyer <input type="checkbox"/> Bailor/Bailor <input type="checkbox"/> Licensee/Licensee	

8. OPTIONAL FILER REFERENCE DATA:
INS - 0001

All of debtor's intellectual property that is or has ever been the subject of secured party's representation and all files and records relating thereto, any recoveries from litigation involving such intellectual property, including, without limitation, any judgments, amounts paid in settlement, insurance proceeds and any awards of attorneys' fees and costs, and any other proceeds of such intellectual property, including, but not limited to, the property described below.

U.S. Patents & Patent Applications

Application No.	App. Filing Date	Patent No.	Issued Date	Title of Invention
		9034007	5/19/2015	DISTAL EMBOLIC PROTECTION DEVICES WITH A VARIABLE THICKNESS MICROGUIDEWIRE AND METHODS FOR THEIR USE
14/709794	5/12/2015			VARIABLE THICKNESS VASCULAR TREATMENT DEVICE SYSTEMS AND METHODS
		8679150	3/25/2014	SHAPE-SET TEXTILE STRUCTURE BASED MECHANICAL THROMBECTOMY METHODS
		9179931	11/10/2015	SHAPE-SET TEXTILE STRUCTURE BASED MECHANICAL THROMBECTOMY SYSTEMS
		9750524	9/5/2017	SHAPE-SET TEXTILE STRUCTURE BASED MECHANICAL THROMBECTOMY SYSTEMS
15/693816	9/1/2017			WOVEN VASCULAR DEVICE AND TREATMENTS
		8690907	4/8/2014	VASCULAR TREATMENT METHODS
		8715315	5/6/2014	VASCULAR TREATMENT SYSTEMS
		8747432	6/10/2014	WOVEN VASCULAR TREATMENT DEVICES
		8721676	5/13/2014	SLOTTED VASCULAR TREATMENT DEVICES

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U.S. Patents & Patent Applications

Application No.	App. Filing Date	Patent No.	Issued Date	Title of Invention
		8783151	7/22/2014	METHODS OF MANUFACTURING VASCULAR TREATMENT DEVICES
		8895891	11/25/2014	METHODS OF CUTTING TUBULAR DEVICES
		8753371	6/17/2014	WOVEN VASCULAR TREATMENT SYSTEMS
		9592068	3/14/2017	FREE END VASCULAR TREATMENT SYSTEMS
15/457089	3/13/2017			VASCULAR TREATMENT DEVICES
		8715314	5/6/2014	VASCULAR TREATMENT MEASUREMENT METHODS
		8845678	9/30/2014	TWO-WAY SHAPE MEMORY VASCULAR TREATMENT METHODS
		8852227	10/7/2014	WOVEN RADIOPAQUE PATTERNS
		8715316	5/6/2014	OFFSET VASCULAR TREATMENT DEVICES
		8721677	5/13/2014	VARIABLY-SHAPED VASCULAR DEVICES
		8715317	5/6/2014	FLOW DIVERTING DEVICES
		8728117	5/20/2014	FLOW DISRUPTING DEVICES
		8870910	10/28/2014	METHODS OF DECOUPLING JOINTS

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U.S. Patents & Patent Applications

Application No.	App. Filing Date	Patent No.	Issued Date	Title of Invention
		8932320	1/13/2015	METHODS OF ASPIRATING THROMBI
		8932321	1/13/2015	ASPIRATION SYSTEMS
		8845679	9/30/2014	VARIABLE POROSITY FLOW DIVERTING DEVICES
		8863631	10/21/2014	METHODS OF MANUFACTURING FLOW DIVERTING DEVICES
		8733618	5/27/2014	METHODS OF COUPLING PARTS OF VASCULAR TREATMENT SYSTEMS
		8910555	12/16/2014	NON-CYLINDRICAL MANDRELS
		8828045	9/9/2014	BALLOON CATHETERS
		8816247	8/26/2014	METHODS FOR MODIFYING HYPOTUBES
		8859934	10/14/2014	METHODS FOR SLAG REMOVAL
		8866049	10/21/2014	METHODS OF SELECTIVELY HEAT TREATING TUBULAR DEVICES
		8882797	11/11/2014	METHODS OF EMBOLIC FILTERING
		8790365	7/29/2014	FISTULA FLOW DISRUPTOR METHODS

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U.S. Patents & Patent Applications

Application No.	App. Filing Date	Patent No.	Issued Date	Title of Invention
		8789452	7/29/2014	METHODS OF MANUFACTURING WOVEN VASCULAR TREATMENT DEVICES
		8869670	10/28/2014	METHODS OF MANUFACTURING VARIABLE POROSITY DEVICES
		8813625	8/26/2014	METHODS OF MANUFACTURING VARIABLE POROSITY FLOW DIVERTING DEVICES
		8904914	12/9/2014	METHODS OF USING NON-CYLINDRICAL MANDRELS
		8872068	10/28/2014	DEVICES FOR MODIFYING HYPOTUBES
		8803030	8/12/2014	DEVICES FOR SLAG REMOVAL
		8795330	8/5/2014	FISTULA FLOW DISRUPTORS
		8784446	7/22/2014	CIRCUMFERENTIALLY OFFSET VARIABLE POROSITY DEVICES
		9833251	12/5/2017	VARIABLELY BULBOUS VASCULAR TREATMENT DEVICES
15/829416	12/1/2017			ASPIRATION AND MECHANICAL THROMBECTOMY METHODS
16/872234	5/11/2020			

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U.S. Patents & Patent Applications

Application No.	App. Filing Date	Patent No.	Issued Date	Title of Invention
		9179995	11/10/2015	METHODS OF MANUFACTURING SLOTTED VASCULAR TREATMENT DEVICES
		8870901	10/28/2014	TWO-WAY SHAPE MEMORY VASCULAR TREATMENT SYSTEMS
		8728116	5/20/2014	SLOTTED CATHETERS
		8735777	5/27/2014	HEAT TREATMENT SYSTEMS
		9314324	4/19/2016	VASCULAR TREATMENT DEVICES AND METHODS
		9901435	2/27/2018	LONGITUDINALLY VARIABLE VASCULAR TREATMENT DEVICES
15/903587	2/23/2018			THROMBUS ASPIRATION FACILITATION SYSTEMS
		10251739	4/9/2019	THROMBUS ASPIRATION USING AN OPERATOR SELECTABLE SUCTION PATTERN
		10463468	11/5/2019	THROMBUS ASPIRATION WITH DIFFERENT INTENSITY LEVELS
		10342655	7/9/2019	METHODS OF TREATING A THROMBUS IN AN ARTERY USING CYCLICAL ASPIRATION PATTERNS

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U.S. Patents & Patent Applications

Application No.	App. Filing Date	Patent No.	Issued Date	Title of Invention
		10335260	7/2/2019	METHODS OF TREATING A THROMBUS IN A VEIN USING CYCLICAL ASPIRATION PATTERNS
16/239894	1/4/2019			DEVICES FOR INHIBITING DISTAL DRIFT OF FLOW DIVERTING STENTS
		10390926	8/27/2019	ASPIRATION DEVICES AND METHODS
16/241256	1/7/2019			METHODS OF TREATING BRAIN BLEEDS USING CYCLICAL ASPIRATION PATTERNS
16/241611	1/7/2019			METHODS OF TREATING INTRACEREBRAL HEMORRHAGES USING CYCLICAL ASPIRATION PATTERNS
16/241639	1/7/2019			SYSTEMS FOR ASPIRATION THROMBUS DURING NEUROSURGICAL PROCEDURES
16/241219	1/7/2019			MULTILAYER DEVICES FOR INHIBITING DISTAL MIGRATION OF FLOW DIVERTING STENTS
		D847864	5/7/2019	PUMP
29/684193	3/19/2019			
		D850490	6/4/2019	PUMP

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U.S. Patents & Patent Applications

Application No.	App. Filing Date	Patent No.	Issued Date	Title of Invention
		D847866	5/7/2019	PUMP
		D847865	5/7/2019	PUMP

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Non U.S. Patents & Patent Applications

Application No.	App. Filing Date	Patent No.	Issued Date	Country	Title of Invention
		2014237360	11/8/2018	AU	VASCULAR TREATMENT DEVICES AND METHODS
2018253494	10/23/2018			AU	
2901443	8/14/2015			CA	
		ZL 201480027806.8	2/19/2019	CN	VASCULAR TREATMENT DEVICES AND METHODS
201910047118.2	1/18/2019			CN	VASCULAR TREATMENT DEVICES AND METHODS
14768577.0	9/7/2015			DE	
		2968872	9/25/2019	EP	VASCULAR TREATMENT DEVICES AND METHODS
19187671.3	10/18/2019			EP	VASCULAR TREATMENT DEVICES AND METHODS
240620	8/17/2015			IL	
270000	10/17/2019			IL	

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Non U.S. Patents & Patent Applications

Application No.	App. Filing Date	Patent No.	Issued Date	Country	Title of Invention
		6437517	11/22/2018	JP	VASCULAR TREATMENT DEVICES AND METHODS
2018-213882	11/14/2018			JP	
		11201507173Q	12/20/2017	SG	VASCULAR TREATMENT DEVICES AND METHODS
10201709513P	11/17/2017			SG	
201780011441.3	8/15/2018			CN	ASPIRATION DEVICES AND ANCHORED FLOW DIVERTING DEVICES
17753706.5	6/15/2018			EP	ASPIRATION DEVICES AND ANCHORED FLOW DIVERTING DEVICES
2018-561199	8/15/2018			JP	
		ZL 201830286207.9	1/8/2019	CN	PUMP
		005509742-0001	8/3/2018	EM	PUMP
		005509742-0002	8/3/2018	EM	PUMP

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Non U.S. Patents & Patent Applications

Application No.	App. Filing Date	Patent No.	Issued Date	Country	Title of Invention
		005509742-0003	8/13/2018	EM	PUMP
		005509742-0004	8/13/2018	EM	PUMP

U.S. Trademarks

Reg No	Reg Date	Trademark Name
3670358	8/18/2009	SHELTER
5519995	7/17/2018	CLEAR (stylized and/or with design)

<u>Case No.</u>	<u>Title:</u>	<u>Application No.</u>	<u>Filing Date:</u>	<u>Reg Date:</u>	<u>Reg No:</u>
INS.005T	SHELTER	77/444028	4/9/2008	8/18/2009	3670358
INS.009T	CLEAR (stylized and/or with design)	86/871844	1/11/2016	7/17/2018	5519995