

TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

ETAS ID: TM593990

SUBMISSION TYPE:	NEW ASSIGNMENT		
NATURE OF CONVEYANCE:	CHANGE OF NAME		
CONVEYING PARTY DATA			
Name	Formerly	Execution Date	Entity Type
AXA Equitable Life Insurance Company		12/10/2019	Corporation: NEW YORK
RECEIVING PARTY DATA			
Name:	Equitable Financial Life Insurance Company		
Street Address:	1290 Avenue of the Americas		
City:	New York		
State/Country:	NEW YORK		
Postal Code:	10104		
Entity Type:	Corporation: NEW YORK		
PROPERTY NUMBERS Total: 1			
Property Type	Number	Word Mark	
Registration Number:	4979670	EB360	
CORRESPONDENCE DATA			
Fax Number:			
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>			
Phone:	2129800120		
Email:	pto@fkks.com		
Correspondent Name:	Catherine M.C. Farrelly		
Address Line 1:	28 Liberty Street		
Address Line 4:	New York, NEW YORK 10005		
NAME OF SUBMITTER:	Catherine M.C. Farrelly		
SIGNATURE:	/cmcf/		
DATE SIGNED:	08/25/2020		
Total Attachments: 10			
source=Equitable Financial Life Insur Co County of NY - Certificate of Amendment of Name Change#page1.tif			
source=Equitable Financial Life Insur Co County of NY - Certificate of Amendment of Name Change#page2.tif			
source=Equitable Financial Life Insur Co County of NY - Certificate of Amendment of Name Change#page3.tif			
source=Equitable Financial Life Insur Co County of NY - Certificate of Amendment of Name Change#page4.tif			
source=Equitable Financial Life Insur Co County of NY - Certificate of Amendment of Name Change#page5.tif			

OP \$40.00 4979670

source=Equitable Financial Life Insur Co County of NY - Certificate of Amendment of Name Change#page6.tif
source=Equitable Financial Life Insur Co County of NY - Certificate of Amendment of Name Change#page7.tif
source=Equitable Financial Life Insur Co County of NY - Certificate of Amendment of Name Change#page8.tif
source=Equitable Financial Life Insur Co County of NY - Certificate of Amendment of Name Change#page9.tif
source=Equitable Financial Life Insur Co County of NY - Certificate of Amendment of Name Change#page10.tif

Certification

STATE OF NEW YORK, COUNTY OF NEW YORK, SS:

I, Milton Adair Tingling, County Clerk and Clerk of Supreme Court New York County,

do hereby certify that on January 30, 2020 I have compared

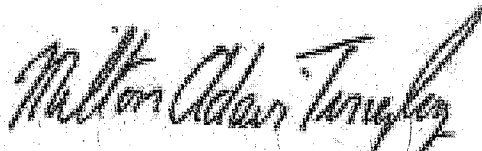
the document attached hereto,

**AMENDED INSURANCE FOR: AXA EQUITABLE LIFE INSURANCE COMPANY -
CHANGING NAME TO: EQUITABLE FINANCIAL LIFE INSURANCE COMPANY
page(s) 9**

with the originals filed in my office and the same is a correct transcript

therefrom and of the whole of such original in witness

whereeto I have affixed my signature and seal.



**MILTON ADAIR TINGLING
NEW YORK COUNTY CLERK**

CERTIFICATE OF AMENDMENT

OF

THE RESTATED CHARTER

OF

AXA EQUITABLE LIFE INSURANCE COMPANY

Under Section 1206 of the New York Insurance Law and
Section 805 of the New York Business Corporation Law

The undersigned, being the Chief Executive Officer and the Senior Executive Director and Secretary, respectively, of AXA Equitable Life Insurance Company (the "Corporation"), a New York corporation, hereby certify as follows:

1. The name of the Corporation is AXA Equitable Life Insurance Company, formerly known as The Equitable Assurance Society of the United States.
2. The Charter of the Corporation was filed in the office of the Superintendent of Insurance of The State of New York on May 10, 1859.
3. The Charter of the Corporation, as amended and restated prior to the date hereof (the "Charter"), is hereby further amended, as authorized by Section 1206 of the New York Insurance Law and Section 805 of the New York Business Corporation Law, to change the name of the Corporation to Equitable Financial Life Insurance Company, to be effective June 15, 2020.

(a) Article I of the Charter, which sets forth the name of the Corporation, is hereby amended to read in its entirety as follows:

"ARTICLE I

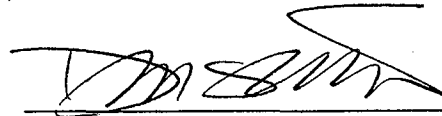
The name of the corporation shall be Equitable Financial Life Insurance Company. The original name of the corporation was The Equitable Life Assurance Society of the United States."

4. The foregoing amendment was duly authorized, adopted and approved, pursuant to Sections 803(a) and 615(a) of the New York Business Corporation Law, at a meeting duly called and held on November 21, 2019 by vote of the Board of Directors of the Corporation, followed by written consent, setting forth the action so taken, signed by the holder of all outstanding shares of the Corporation.

IN WITNESS WHEREOF, the undersigned have executed this Certificate of Amendment of the Restated Charter of AXA Equitable Life Insurance Company this 10th day of December, 2019.



Name: Mark Pearson
Title: Chief Executive Officer



Name: Dave S. Hattem
Title: Senior Executive Director and
Secretary

839898

RESTATED CHARTER
OF
EQUITABLE FINANCIAL LIFE INSURANCE COMPANY
UNDER SECTION 1206 OF THE NEW YORK INSURANCE LAW
AND
SECTION 807 OF THE BUSINESS CORPORATION LAW

The undersigned Chief Executive Officer and Secretary of Equitable Financial Life Insurance Company (the "corporation") pursuant to Section 1206 of the New York Insurance Law and Section 807 of the Business Corporation Law do hereby state, certify and set forth:

- (1) The name of the corporation is Equitable Financial Life Insurance Company. The original name of the corporation was The Equitable Life Assurance Society of the United States.
- (2) The date its Charter was filed by the New York Insurance Department is July 26, 1859.
- (3) The corporation's Restated Charter is hereby amended to effect the following amendment:
 - (a) an amendment to Article I to change the name of the corporation to "Equitable Financial Life Insurance Company."
- (4) The text of the Restated Charter as amended hereby is hereby restated to read as set forth in full below:

ARTICLE I

The name of the corporation shall be Equitable Financial Life Insurance Company. The original name of the corporation was The Equitable Life Assurance Society of the United States.

ARTICLE II

The principal office of the corporation shall be located in the City of New York, County of New York, State of New York.

ARTICLE III

(a) The business to be transacted by the corporation shall be the kinds of insurance business specified in Paragraphs 1, 2 and 3 of Subsection (a) of Section 1113 of the Insurance Law of the State of New York, as follows:

(1) "Life Insurance," means every insurance upon the lives of human beings, and every insurance appertaining thereto, including the granting of endowment benefits, additional benefits in the event of death by accident, additional benefits to safeguard the contract from lapse, accelerated payments of part or all of the death benefit or a special surrender value upon (A) diagnosis of terminal illness defined as a life expectancy of twelve months or less, (B) diagnosis of a medical condition requiring extraordinary medical care or treatment regardless of life expectancy, (C) certification by a licensed health care practitioner of any condition which requires continuous care for the remainder of the insured's life in an eligible facility or at home when the insured is chronically ill as defined by Section 7702(B) of the Internal Revenue Code and regulations thereunder, provided the accelerated payments qualify under Section 101(g)(3) of the Internal Revenue Code and all other applicable sections of federal law in order to maintain favorable tax treatment, or (D) certification by a licensed health care practitioner that the insured is chronically ill as defined by Section 7702(B) of the Internal Revenue Code and regulations thereunder, provided the accelerated payments qualify under Section 101(g)(3) of the Internal Revenue Code and all other applicable sections of federal law in order to maintain favorable tax treatment and the insurer that issues such policy is a qualified long term care insurance carrier under Section 4980c of the Internal Revenue Code or provide a special surrender value, upon total and permanent

disability of the insured, and optional modes of settlement proceeds. "Life insurance" also includes additional benefits to safeguard the contract against lapse in the event of unemployment of the insured or in the event the insured is a resident of a nursing home. Amounts paid the insurer for life insurance and proceeds applied under optional modes of settlement or under dividend options may be allocated by the insurer to one or more separate accounts pursuant to section four thousand two hundred forty of the Insurance Law of the State of New York;

(2) "Annuities," means all agreements to make periodical payments for a period certain or where the making or continuance of all or some of a series of such payments, or the amount of any such payment, depends upon the continuance of human life, except payments made under the authority of paragraph one hereof. Amounts paid the insurer to provide annuities and proceeds applied under optional modes of settlement or under dividend options may be allocated by the insurer to one or more separate accounts pursuant to section four thousand two hundred forty of the Insurance Law of the State of New York;

(3) "Accident and health insurance," means (i) insurance against death or personal injury by accident or by any specified kind or kinds of accident and insurance against sickness, ailment or bodily injury, including insurance providing disability benefits pursuant to article nine of the workers' compensation law, except as specified in item (ii) hereof; and (ii) non-cancellable disability insurance, meaning insurance against disability resulting from sickness, ailment or bodily injury (but excluding insurance solely against accidental injury) under any contract which does not give the insurer the option to cancel or otherwise terminate the contract at or after one year from its effective date or renewal date;

and any amendments to such paragraphs or provisions in substitution therefor which may be hereafter adopted; such other kind or kinds of business now or hereafter authorized by the laws of the State of New York to stock life insurance companies; and such other kind or kinds of business to the extent necessarily or properly incidental to the kind or kinds of insurance business which the corporation is authorized to do.

(b) The corporation shall also have all other rights, powers, and privileges now or hereafter authorized or granted by the Insurance Law of the State of New York or any other law or laws of the State of New York to

stock life insurance companies having power to do the kind or kinds of business hereinabove referred to and any and all other rights, powers, and privileges of a corporation now or hereafter granted by the laws of the State of New York and not prohibited to such stock life insurance companies.

ARTICLE IV

The business of the corporation shall be managed under the direction of the Board of Directors.

ARTICLE V

(a) The Board of Directors shall consist of not less than seven (except for vacancies temporarily unfilled) nor more than 36 Directors, as may be determined from time to time by a vote of a majority of the entire Board of Directors. No decrease in the number of Directors shall shorten the term of any incumbent Director.

(b) The Board of Directors shall have the power to adopt from time to time such By-Laws, rules and regulations for the governance of the officers, employees and agents and for the management of the business and affairs of the corporation, not inconsistent with this Charter and the laws of the State of New York, as may be expedient, and to amend or repeal such by-laws, rules and regulations, except as provided in the By-Laws.

(c) Any or all of the Directors may be removed at any time, either for or without cause, by vote of the shareholders.

(d) No Director shall be personally liable to the corporation or any of its shareholders for damages for any breach of duty as a Director; *provided, however,* that the foregoing provision shall not eliminate or limit (i) the liability of a Director if a judgment or other final adjudication adverse to him or her establishes that his or her acts or omissions were in bad faith or involved intentional misconduct or that he or she personally gained in fact a financial profit or other advantage to which he or she was not legally entitled, or were acts or omissions which (a) he or she knew or reasonably should have known violated the Insurance Law of the State of New York or (b) violated a specific standard of care imposed on Directors directly, and not by reference, by a provision of the Insurance Law of the State of New York (or any regulations promulgated thereunder) or (c) constituted a knowing violation of any other law; or (ii) the liability of a Director for any act or omission prior to September 21, 1989.

ARTICLE VI

(a) The Directors of the corporation shall be elected at each annual meeting of shareholders of the corporation in the manner prescribed by law. The annual meeting of the shareholders of the corporation for the election of Directors shall be held at the principal office of the corporation on the third Wednesday in the month of May at 3:00 P.M., local time, or at such other place, within or without the State of New York, or on such other earlier or later date in April or May or at such other hour as may be fixed from time to time by resolution of the Board of Directors. At each annual meeting of shareholders, Directors shall be elected to hold office for a term expiring at the next annual meeting of shareholders.

(b) Newly created directorships resulting from an increase in the number of Directors and vacancies occurring in the Board of Directors shall be filled by vote of the shareholders.

(c) Each Director shall be at least twenty-one years of age, and at all times a majority of the Directors shall be citizens and residents of the United States, and not less than one of the Directors shall be a resident of the State of New York.

(d) The Board of Directors shall elect such officers as are provided for in the By-Laws at the first meeting of the Board of Directors following each annual meeting of the shareholders. In the event of the failure to elect officers at such meeting, officers may be elected at any regular or special meeting of the Board of Directors. A vacancy in any office may be filled by the Board of Directors at any regular or special meeting.

ARTICLE VII

The duration of the corporate existence of the corporation shall be perpetual.

ARTICLE VIII

The amount of the capital of the corporation shall be \$2,500,000, and shall consist of 2,000,000 Common Shares, par value \$1.25 per share.

ARTICLE IX

The Board of Directors shall have the power and authority to authorize the use in a foreign country where the corporation does or proposes to do business of a specified translation of its name in any language commonly used in such country.

- (5) This Restated Charter was approved by authorization of the Board of Directors on November 21, 2019 and by the shareholders on December 4, 2019 to be effective June 15, 2020.

In witness whereof, the undersigned have subscribed this Certificate and affirmed it as true under the penalties of perjury this 10th day of December, 2019.

Equitable Life Insurance Company

By


Chief Executive Officer

By


Senior Executive Director and Secretary

REC'D
COUNTY CLERK
N.Y. COUNTY

2020 JAN 30 AM 9:41

INDEX #

\$100

37/1905C

**CERTIFICATE OF AMENDMENT OF
INSURANCE CHARTER**

AMENDED INSURANCE

THIS RECORD NOT TO
BE REMOVED FROM THE
COUNTY CLERK'S OFFICE

FILED BY RBH

INDEXED BY _____