

TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

ETAS ID: TM600478

SUBMISSION TYPE:	NEW ASSIGNMENT		
NATURE OF CONVEYANCE:	SECURITY INTEREST		
CONVEYING PARTY DATA			
Name	Formerly	Execution Date	Entity Type
INVESTEDGE, INC.		09/30/2020	Corporation: DELAWARE
RECEIVING PARTY DATA			
Name:	WESTERN ALLIANCE BANK		
Street Address:	One East Washington Street, Suite 1400		
Internal Address:	Attn: Legal Department		
City:	Phoenix		
State/Country:	ARIZONA		
Postal Code:	85004		
Entity Type:	Corporation: ARIZONA		
PROPERTY NUMBERS Total: 5			
Property Type	Number	Word Mark	
Registration Number:	2685722	INVESTEDGE	
Registration Number:	4752183	RISKEDGE	
Registration Number:	5459359	MMXCHANGE	
Serial Number:	87835989	INVESTEDGE MAKE IT EASY	
Serial Number:	87699232	SNAPXCHANGE	
CORRESPONDENCE DATA			
Fax Number:	3102843894		
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>			
Phone:	4242393744		
Email:	susan.yates@btlaw.com		
Correspondent Name:	Susan Yates		
Address Line 1:	2029 Century Park E Ste 300		
Address Line 4:	Los Angeles, CALIFORNIA 90067		
NAME OF SUBMITTER:	Susan Yates		
SIGNATURE:	/Susan Yates/		
DATE SIGNED:	09/30/2020		
Total Attachments: 6			

OP \$140.00 2685722

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INTELLECTUAL PROPERTY SECURITY AGREEMENT

This **INTELLECTUAL PROPERTY SECURITY AGREEMENT**, dated as of September 30, 2020 (the "**Agreement**") between **WESTERN ALLIANCE BANK**, an Arizona corporation ("**Bank**") and **INVESTEDGE, INC.**, Delaware corporation ("**Grantor**") is made with reference to the Loan and Security Agreement, dated as of September 30, 2020 (as amended from time to time, the "**Loan Agreement**"), among, *inter alios*, Bank and Grantor. Terms defined in the Loan Agreement have the same meaning when used in this Agreement.

For good and valuable consideration, receipt of which is hereby acknowledged, Grantor hereby covenants and agrees as follows:

To secure the Obligations under the Loan Agreement, Grantor grants to Bank a security interest in all right, title, and interest of Grantor in any of the following, whether now existing or hereafter acquired or created in any and all of the following property (collectively, the "**Intellectual Property Collateral**"):

(a) copyright rights, copyright applications, copyright registrations and like protections in each work or authorship and derivative work thereof, whether published or unpublished and whether or not the same also constitutes a trade secret, now or hereafter existing, created, acquired or held (collectively, the "**Copyrights**"), including the Copyrights described in **Exhibit A**;

(b) trademark and servicemark rights, whether registered or not, applications to register and registrations of the same and like protections, and the entire goodwill of the business of Borrower connected with and symbolized by such trademarks (collectively, the "**Trademarks**"), including the Trademarks described in **Exhibit B**;

(c) patents, patent applications and like protections including without limitation improvements, divisions, continuations, renewals, reissues, extensions and continuations-in-part of the same (collectively, the "**Patents**"), including the Patents described in **Exhibit C**;

(d) mask work or similar rights available for the protection of semiconductor chips or other products (collectively, the "**Mask Works**");

(e) trade secrets, and any and all intellectual property rights in computer software and computer software products;

(f) design rights;

(g) claims for damages by way of past, present and future infringement of any of the rights included above, with the right, but not the obligation, to sue for and collect such damages for said use or infringement of the intellectual property rights identified above;

(h) licenses or other rights to use any of the Copyrights, Patents, Trademarks, or Mask Works, and all license fees and royalties arising from such use to the extent permitted by such license or rights;

(i) amendments, renewals and extensions of any of the Copyrights, Trademarks, Patents, or Mask Works; and

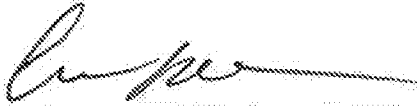
(j) proceeds and products of the foregoing, including without limitation all payments under insurance or any indemnity or warranty payable in respect of any of the foregoing.

The rights and remedies of Bank with respect to the security interests granted hereunder are in addition to those set forth in the Loan Agreement, and those which are now or hereafter available to Bank as a matter of law or equity. Each right, power and remedy of Bank provided for herein or in the Loan Agreement, or now or hereafter existing at law or in equity shall be cumulative and concurrent and shall be in addition to every right, power or remedy provided for herein, and the exercise by Bank of any one or more of such rights, powers or remedies does not preclude the simultaneous or later exercise by Bank of any other rights, powers or remedies.

IN WITNESS WHEREOF, the parties have executed this Agreement as of the date first written above.

GRANTOR:

INVESTEDGE, INC.,
a Delaware corporation

By:  _____

Name: Conner McCabe

Title: Executive Vice President

Address for Notices:

Attn: Liz Pharo
2317 3rd Ave. N, Suite 101
Birmingham, AL 35213
Email: liz@featheringillcapital.com
Tel: 205-879-2722

LENDER:

WESTERN ALLIANCE BANK,
an Arizona corporation

By: _____

Name: _____

Title: _____

Address for Notices:

Attn: Legal Department
One East Washington Street, Suite 1400
Phoenix, AZ 85004
Email: Rick.Lamoreux@bridgebank.com
Tel: (408) 423-8500
Fax: (408) 423-8520

{SIGNATURE PAGE TO INTELLECTUAL PROPERTY SECURITY AGREEMENT}

DMS 17693923

TRADEMARK
REEL: 007065 FRAME: 0773

IN WITNESS WHEREOF, the parties have executed this Agreement as of the date first written above.

GRANTOR:

INVESTEDGE, INC.,
a Delaware corporation

By: _____

Name: Conner McCabe

Title: Executive Vice President

Address for Notices:

Attn: Liz Pharo
2317 3rd Ave. N, Suite 101
Birmingham, AL 35213
Email: liz@featheringillcapital.com
Tel: 205-879-2722

LENDER:

WESTERN ALLIANCE BANK,
an Arizona corporation

By:  _____

Name: Brady Porter

Title: AVP

Address for Notices:

Attn: Legal Department
One East Washington Street, Suite 1400
Phoenix, AZ 85004
Email: Rick.Lamoreux@bridgebank.com
Tel: (408) 423-8500
Fax: (408) 423-8520

EXHIBIT A
COPYRIGHTS

Please Check if No Copyrights Exist

<u>Type Of Work:</u>	<u>Title:</u>	<u>International Standard Serial Number (ISSN):</u>	<u>Registration Number:</u>	<u>Filing Date:</u>	<u>Preregistered?</u>

EXHIBIT B
TRADEMARKS

Please Check if No Trademarks Exist

<u>Mark / Title:</u>	<u>U.S. Serial Number:</u>	<u>U.S. Registration Number:</u>	<u>Filing Date:</u>	<u>Registered Date:</u>
INVESTEDGE	76327357	2685722	10/19/01	2/11/03
RISKEDGE	85440523	4752183	10/6/11	6/9/15
MMXCHANGE	86511308	5459359	1/22/15	5/1/18
INVESTEDGE MAKE IT EASY	87835989		3/15/18	
SNAPXCHANGE	87699232		11/28/17	

EXHIBIT C**PATENTS**Please Check if No Patents Exist

<u>Title:</u>	<u>Patent Number:</u>	<u>Application Serial Number:</u>	<u>Filing Date:</u>
Integrated Investment Management System with Network Datafeed	7,587,350	US09/915,993	July 26, 2001
Integrated Investment Management System with Network Datafeed and Incremental Database Refresh	8,346,645	US12/554,447	September 4, 2009
Integrated Investment Management System with Network Datafeed and Incremental Database Refresh	8,595,110	US13/731,611	December 31, 2012
Integrated Investment Management System with Network Datafeed and Incremental Database Refresh	9,805,421	US14/088,507	November 25, 2013
Distributed Report Processing System and Methods	7,565,394	US09/949,304	September 7, 2001
Distributed Report Processing System and Methods	7,917,575	US12/478,212	June 4, 2009
Distributed Report Processing System and Methods	8,078,666	US13/073,564	March 28, 2011
Distributed Report Processing System and Methods	9,542,659	US13/323,247	December 12, 2011
Economic Risk Calculation System	Pending	14/218,258	March 18, 2014
Investment Product Exchange System	Pending	15/189,882	June 22, 2016