

TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

ETAS ID: TM601996

SUBMISSION TYPE:	NEW ASSIGNMENT		
NATURE OF CONVEYANCE:	ENTITY CONVERSION		
CONVEYING PARTY DATA			
Name	Formerly	Execution Date	Entity Type
Stockdale Investment Group, Inc.		09/30/2020	Corporation: TEXAS
RECEIVING PARTY DATA			
Name:	Stockdale Investment Group, LLC		
Street Address:	5950 Berkshire Ln.		
Internal Address:	Ste 800		
City:	Dallas		
State/Country:	TEXAS		
Postal Code:	75225-5836		
Entity Type:	Limited Liability Company: TEXAS		
PROPERTY NUMBERS Total: 2			
Property Type	Number	Word Mark	
Registration Number:	5076647		
Serial Number:	88006185	STOCKDALE	
CORRESPONDENCE DATA			
Fax Number:	2147455390		
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>			
Phone:	2147455612		
Email:	ngraham@winstead.com		
Correspondent Name:	Nancy Graham c/o WINSTEAD PC		
Address Line 1:	2728 N. Harwood Street		
Address Line 2:	Suite 500		
Address Line 4:	Dallas, TEXAS 75201		
ATTORNEY DOCKET NUMBER:	56331/K001US		
NAME OF SUBMITTER:	Nancy Graham		
SIGNATURE:	/Nancy Graham/		
DATE SIGNED:	10/09/2020		
Total Attachments: 8			
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Corporations Section
P.O.Box 13697
Austin, Texas 78711-3697



Ruth R. Hughs
Secretary of State

Office of the Secretary of State

October 02, 2020

Attn: CAPITOL SERVICES INC

Capitol Services, Inc.
PO Box 1831
Austin, TX 78767 USA

RE: Stockdale Investment Group, LLC
File Number: 803783151

It has been our pleasure to approve and place on record the filing instrument effecting a conversion. The appropriate evidence is attached for your files. Payment of the filing fee is acknowledged by this letter.

If we can be of further service at any time, please let us know.

Sincerely,

Corporations Section
Business & Public Filings Division
(512) 463-5555

Enclosure

Come visit us on the internet at <https://www.sos.texas.gov/>

Phone: (512) 463-5555
Prepared by: Elizabeth "Annie" Denton

Fax: (512) 463-5709
TID: 10337

Dial: 7-1-1 for Relay Services
Document: 999259000002

TRADEMARK
REEL: 007073 FRAME: 0273

Corporations Section
P.O.Box 13697
Austin, Texas 78711-3697



Ruth R. Hughs
Secretary of State

Office of the Secretary of State

CERTIFICATE OF CONVERSION

The undersigned, as Secretary of State of Texas, hereby certifies that a filing instrument for

Stockdale Investment Group, Inc.
File Number: 801573594

Converting it to

Stockdale Investment Group, LLC
File Number: 803783151

has been received in this office and has been found to conform to law. ACCORDINGLY, the undersigned, as Secretary of State, and by virtue of the authority vested in the secretary by law, hereby issues this certificate evidencing the acceptance and filing of the conversion on the date shown below.

Dated: 09/30/2020

Effective: 09/30/2020



A handwritten signature in black ink, appearing to read "Ruth R. Hughs".

Ruth R. Hughs
Secretary of State

Come visit us on the internet at <https://www.sos.texas.gov/>

Phone: (512) 463-5555
Prepared by: Elizabeth "Annie" Denton

Fax: (512) 463-5709
TID: 10340

Dial: 7-1-1 for Relay Services
Document: 999259000002

TRADEMARK
REEL: 007073 FRAME: 0274

**CERTIFICATE OF CONVERSION
OF
STOCKDALE INVESTMENT GROUP, INC.
(a Texas corporation)
INTO
STOCKDALE INVESTMENT GROUP, LLC
(a Texas limited liability company)**

1. The name of the converting corporation (the “**Corporation**”) is Stockdale Investment Group, Inc.

2. The jurisdiction of formation of the Corporation is Texas.

3. The effective date of registration for the Corporation with the Secretary of State is March 28, 2012.

4. The file number, if any, issued to the Corporation by the Secretary of State is 0801573594.

5. The Corporation is converting to a limited liability company. The name of the limited liability company (the “**Company**”) is “Stockdale Investment Group, LLC”.

6. The Company will be formed under the laws of Texas.

7. The Corporation certifies to the following statements:

(a) A signed plan of conversion is on file at the principal place of business of the Corporation, the converting entity. The address of the principal place of business of the Corporation is 5950 BERKSHIRE LN STE 800, DALLAS, TX 75225-5836.

(b) A signed plan of conversion will be on file after the conversion at the principal place of business of the Company, the converted entity. The address of the principal place of business of the Company is 5950 BERKSHIRE LN STE 800, DALLAS, TX 75225-5836.

(c) A copy of the plan of conversion will be furnished on written request without cost by the Corporation before the conversion or by the Company after the conversion to any shareholder of the Corporation or member of the Company.

8. The converted entity is a Texas limited liability company. The certificate of formation of the Texas limited liability company is attached to this Certificate as an attachment.

9. The plan of conversion has been approved as required by the laws of the jurisdiction of formation and the governing documents of the Corporation.

10. This document becomes effective when the document is accepted and filed by the Texas Secretary of State.

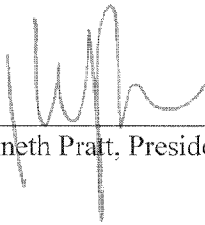
11. In lieu of providing the tax certificate, the Company, as the converted entity, is liable for the payment of any franchise taxes.

The undersigned signs this document subject to the penalties imposed by law for the submission of a materially false or fraudulent instrument.

EXECUTED on September 30, 2020.

STOCKDALE INVESTMENT GROUP, INC.

By:



Kenneth Pratt, President

**CERTIFICATE OF FORMATION
OF
STOCKDALE INVESTMENT GROUP, LLC**

ARTICLE 1

The name of the limited liability company is Stockdale Investment Group, LLC (the "Company").

ARTICLE 2

The Company is organized for the purpose of conducting any and all lawful business for which a limited liability company may be organized under the Texas Business Organizations Code.

ARTICLE 3

The period of duration of the Company will be perpetual, and as such the Company will remain in existence until it is wound up and terminated in accordance with its organizational documents or otherwise in accordance with applicable law.

ARTICLE 4

The street address of the Company's initial registered office in the State of Texas is 206 E. 9th Street, Suite 1300, Austin, TX 78701. The name of the Company's initial registered agent at such address is Capitol Corporate Services, Inc.

ARTICLE 5

The Company will be governed by a Board of Managers which will initially consist of three (3) manager(s). The name and address of each of the initial managers are as follows:

Name	Address
Kenneth Pratt	5950 Berkshire Lane, Suite 800 Dallas, Texas 75225
Jose Pastora	5950 Berkshire Lane, Suite 800 Dallas, Texas 75225
Daniel Winters	5950 Berkshire Lane, Suite 800 Dallas, Texas 75225

ARTICLE 6

The name and address of the organizer are as follows:

Name	Mailing Address
Kenneth Pratt	5950 Berkshire Lane, Suite 800 Dallas, Texas 75225

ARTICLE 7

The Company is being formed under and pursuant to a plan of conversion whereby Stockdale Investment Group, Inc. is being converted into Stockdale Investment Group, LLC. The name, address,

date of formation, prior form of organization, and jurisdiction of formation of the converting entity are as follows:

Name	Address	Date of Formation	Form of Organization	Jurisdiction of Formation
Stockdale Investment Group, Inc.	5950 Berkshire Lane, Suite 800 Dallas, Texas 75225	March 28, 2012	Corporation	Texas

ARTICLE 8

The initial members of the Company will adopt a Company Agreement which will set forth all of the provisions for the regulation and management of the affairs of the Company. Any person or entity that acquires a membership interest in the Company will be bound by the provisions of the Company Agreement, notwithstanding the fact that such person has not executed such Company Agreement or a separate written instrument pursuant to which it agrees to be bound by the provisions thereof.

ARTICLE 9

The Company will, to the fullest extent permitted by the TBOC, as the same exists or may hereafter be amended, indemnify any and all persons who it has power to indemnify under the TBOC from and against any and all of the expenses, liabilities or other matters referred to in or covered by the TBOC.

ARTICLE 10

To the fullest extent permitted by the TBOC, as the same exists or may hereafter be amended, a governing person of the Company will not be liable to the Company or its members for monetary damages for an act or omission in the governing person's capacity as a governing person. Any repeal or modification of this Article 10 will not increase the personal liability of any governing person of the Company for any act or occurrence taking place before such repeal or modification, or adversely affect any right or protection of a governing person of the Company existing at the time of such repeal or modification. The provisions of this Article 10 shall not be deemed to limit or preclude indemnification of a governing person by the Company for any liability of a governing person that has not been eliminated by the provisions of this Article 10.

[Signature page follows.]

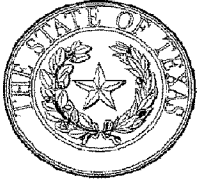
IN WITNESS WHEREOF, the undersigned has executed this Certificate of Formation as sole organizer of the Company as of the 30th day of September, 2020.



Kenneth Pratt

[SIGNATURE PAGE TO CERTIFICATE OF FORMATION OF STOCKDALE INVESTMENT GROUP, LLC]

TRADEMARK
REEL: 007073 FRAME: 0279

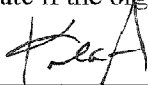


**Acceptance of Appointment
and
Consent to Serve as Registered Agent
§5.201(b) Business Organizations Code**

The following form may be used when the person designated as registered agent in a registered agent filing is an individual.

<u>Acceptance of Appointment and Consent to Serve as Registered Agent</u>		
I acknowledge, accept and consent to my designation or appointment as registered agent in Texas for		
<i>Name of represented entity</i>		
I am a resident of the state and understand that it will be my responsibility to receive any process, notice, or demand that is served on me as the registered agent of the represented entity; to forward such to the represented entity; and to immediately notify the represented entity and submit a statement of resignation to the Secretary of State if I resign.		
x:	_____	_____
	<i>Signature of registered agent</i>	<i>Printed name of registered agent</i>
		<i>Date (mm/dd/yyyy)</i>

The following form may be used when the person designated as registered agent in a registered agent filing is an organization.

<u>Acceptance of Appointment and Consent to Serve as Registered Agent</u>		
I am authorized to act on behalf of <u>Capitol Corporate Services, Inc.</u>		
<i>Name of organization designated as registered agent</i>		
The organization is registered or otherwise authorized to do business in Texas. The organization acknowledges, accepts and consents to its appointment or designation as registered agent in Texas for: <u>Stockdale Investment Group, LLC</u>		
<i>Name of represented entity</i>		
The organization takes responsibility to receive any process, notice, or demand that is served on the organization as the registered agent of the represented entity; to forward such to the represented entity; and to immediately notify the represented entity and submit a statement of resignation to the Secretary of State if the organization resigns.		
x:		Krista Abair, Asst. Secretary on behalf of Capitol Corporate Services, Inc.
	<i>Signature of person authorized to act on behalf of organization</i>	<i>Printed name of authorized person</i>
		<i>Date (mm/dd/yyyy)</i>