

TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

ETAS ID: TM605730

SUBMISSION TYPE:	NEW ASSIGNMENT		
NATURE OF CONVEYANCE:	SECURITY INTEREST		
CONVEYING PARTY DATA			
Name	Formerly	Execution Date	Entity Type
Topia Limited		09/30/2020	Private Limited Company: ENGLAND AND WALES
RECEIVING PARTY DATA			
Name:	Horizon Technology Finance Corporation		
Street Address:	312 Farmington Avenue		
City:	Farmington		
State/Country:	CONNECTICUT		
Postal Code:	06032		
Entity Type:	Corporation: DELAWARE		
PROPERTY NUMBERS Total: 4			
Property Type	Number	Word Mark	
Registration Number:	5766867	TOPIA	
Registration Number:	4778462	TELEPORT	
Registration Number:	4882302	TELEPORT	
Registration Number:	4909410	TELEPORT	
CORRESPONDENCE DATA			
Fax Number:	8606768655		
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>			
Email:	lucia@horizontechfinance.com		
Correspondent Name:	Horizon Technology Finance		
Address Line 1:	312 Farmington Avenue		
Address Line 2:	Attn: Legal Department		
Address Line 4:	Farmington, CONNECTICUT 06032		
NAME OF SUBMITTER:	Eric S Darmofal		
SIGNATURE:	/s/Eric S. Darmofal		
DATE SIGNED:	10/29/2020		
Total Attachments: 3			
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OP \$115.00 5766867

GRANT OF SECURITY INTEREST
TRADEMARKS

THIS GRANT OF SECURITY INTEREST, dated as of September 30, 2020, is executed by TOPIA LIMITED, an English private limited company with an address of 5 New Street Square, London EC4A 3TW (“Debtor”), in favor of HORIZON TECHNOLOGY FINANCE CORPORATION, a Delaware corporation with an address of 312 Farmington Avenue, Farmington, Connecticut 06032 (“Secured Party”).

A. Pursuant to a certain Venture Loan and Security Agreement and Debenture, each dated as of the date hereof (collectively, the “Agreements”) by and between Debtor and the Secured Party, the Secured Party has agreed to extend certain credit facilities to Debtor upon the terms and subject to the conditions set forth therein;

B. Debtor owns the registered trademarks, service marks (and applications and registrations therefor), of the United States, more particularly described on Schedules 1-A and 1-B annexed hereto as part hereof (collectively, the “Trademarks”);

C. Pursuant to the Agreements, Debtor has granted to Secured Party a security interest in all right, title and interest of Debtor in and to the Trademarks, together with associated goodwill, and all proceeds thereof, including any and all causes of action which may exist by reason of infringement thereof for the full term of the Trademarks (the “Collateral”), to secure the prompt payment, performance and observance of the Obligations (as defined in the Agreements);


NOW, THEREFORE, for good and valuable consideration, receipt of which is hereby acknowledged, Debtor does hereby further grant to Secured Party a security interest in the Collateral to secure the prompt payment, performance and observance of the Obligations.

Debtor does hereby further acknowledge and affirm that the rights and remedies of Secured Party with respect to the security interest in the Collateral granted hereby are more fully set forth in the Agreements, the terms and provisions of which are hereby incorporated herein by reference as if fully set forth herein.

This agreement shall be governed by, and construed and enforced in accordance with, the laws of the State of Connecticut.

IN WITNESS WHEREOF, Debtor has caused this instrument to be executed as of the day and year first written above.

TOPIA LIMITED

By: 
Name: Terry Schmitt
Title: CFO

SCHEDULE 1-A TO GRANT OF SECURITY INTEREST

TRADEMARKS

Trademark	Owner	Registration Number	Registration Date
TOPIA	Topia Limited	5,766,867	06/04/2019
TELEPORT	Topia Limited	4,778,462	07/21/2015
TELEPORT	Topia Limited	4,882,302	01/05/2016
TELEPORT	Topia Limited	4,909,410	03/01/2016

SCHEDULE 1-B TO GRANT OF SECURITY INTEREST

TRADEMARK APPLICATIONS

Trademark Application	Owner	Application Number	Application Date
None			