

## TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1  
Stylesheet Version v1.2

ETAS ID: TM606365

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT		
<b>NATURE OF CONVEYANCE:</b>	CHANGE OF NAME		
<b>CONVEYING PARTY DATA</b>			
<b>Name</b>	<b>Formerly</b>	<b>Execution Date</b>	<b>Entity Type</b>
AXA Equitable Financial Services, LLC		05/28/2020	Limited Liability Company: DELAWARE
<b>RECEIVING PARTY DATA</b>			
<b>Name:</b>	Equitable Financial Services, LLC		
<b>Street Address:</b>	1290 Avenue of the Americas		
<b>City:</b>	New York		
<b>State/Country:</b>	NEW YORK		
<b>Postal Code:</b>	10104		
<b>Entity Type:</b>	Limited Liability Company: DELAWARE		
<b>PROPERTY NUMBERS Total: 3</b>			
<b>Property Type</b>	<b>Number</b>	<b>Word Mark</b>	
<b>Registration Number:</b>	1286421	MONY	
<b>Registration Number:</b>	3997292	MONY	
<b>Registration Number:</b>	3336332	AT RETIREMENT	
<b>CORRESPONDENCE DATA</b>			
<b>Fax Number:</b>	3474382134		
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>			
<b>Phone:</b>	212-980-0120		
<b>Email:</b>	pto@fkks.com		
<b>Correspondent Name:</b>	Catherine M.C. Farrelly		
<b>Address Line 1:</b>	28 Liberty Street		
<b>Address Line 4:</b>	New York, NEW YORK 10005		
<b>NAME OF SUBMITTER:</b>	Catherine M.C. Farrelly		
<b>SIGNATURE:</b>	/cmcf/		
<b>DATE SIGNED:</b>	11/02/2020		
<b>Total Attachments: 2</b>			
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# Delaware

The First State

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I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF AMENDMENT OF "AXA EQUITABLE FINANCIAL SERVICES, LLC", CHANGING ITS NAME FROM "AXA EQUITABLE FINANCIAL SERVICES, LLC" TO "EQUITABLE FINANCIAL SERVICES, LLC", FILED IN THIS OFFICE ON THE TWENTY-NINTH DAY OF MAY, A.D. 2020, AT 2:26 O`CLOCK P.M.



  
Jeffrey W. Bullock, Secretary of State

3067386 8100  
SR# 20205152924

Authentication: 203029955  
Date: 06-02-20

You may verify this certificate online at [corp.delaware.gov/authver.shtml](http://corp.delaware.gov/authver.shtml)

TRADEMARK  
REEL: 007095 FRAME: 0173

## STATE OF DELAWARE CERTIFICATE OF AMENDMENT

1. Name of Limited Liability Company: AXA Equitable Financial Services, LLC

2. The Certificate of Formation of the limited liability company is hereby amended as follows:

1. The name of the limited liability Company is Equitable Financial Services, LLC

IN WITNESS WHEREOF, the undersigned have executed this Certificate on the 28th day of May, A.D. 2020.

By: DocuSigned by:  
*Denise Tedeschi*  
09F728C002E64A7  
Authorized Person(s)

Name: Denise Tedeschi  
Print or Type