

## TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1  
Stylesheet Version v1.2

ETAS ID: TM608165

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT		
<b>NATURE OF CONVEYANCE:</b>	SECURITY INTEREST		
<b>CONVEYING PARTY DATA</b>			
<b>Name</b>	<b>Formerly</b>	<b>Execution Date</b>	<b>Entity Type</b>
Horizon Technology Finance Corporation		11/06/2020	Corporation: DELAWARE
<b>RECEIVING PARTY DATA</b>			
<b>Name:</b>	PennRX Ventures, LLC		
<b>Street Address:</b>	7373 N Scottsdale Road		
<b>Internal Address:</b>	Suite B-200		
<b>City:</b>	Scottsdale		
<b>State/Country:</b>	ARIZONA		
<b>Postal Code:</b>	85253		
<b>Entity Type:</b>	Limited Liability Company: DELAWARE		
<b>PROPERTY NUMBERS Total: 7</b>			
<b>Property Type</b>	<b>Number</b>	<b>Word Mark</b>	
<b>Registration Number:</b>	3525859	TETRIX	
<b>Registration Number:</b>	3786119	BENZEFOAM	
<b>Registration Number:</b>	4003813	BENZEFOAM ULTRA	
<b>Registration Number:</b>	4039521	BENZEFOAM ULTRA SHORT CONTACT FOAM	
<b>Registration Number:</b>	3713111	HYLATOPIC	
<b>Registration Number:</b>	3999238	HYLATOPIC PLUS	
<b>Registration Number:</b>	3994954	HYLATOPICPLUS EMOLLIENT FOAM	
<b>CORRESPONDENCE DATA</b>			
<b>Fax Number:</b>			
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>			
<b>Phone:</b>	205-458-5279		
<b>Email:</b>	jbaker@burr.com		
<b>Correspondent Name:</b>	Jeff Baker		
<b>Address Line 1:</b>	420 20th St N		
<b>Address Line 2:</b>	Suite 3400		
<b>Address Line 4:</b>	Birmingham, ALABAMA 35203		
<b>NAME OF SUBMITTER:</b>	Jeff Baker		

OP \$190.00 3525859

<b>SIGNATURE:</b>	/Jeff Baker/
<b>DATE SIGNED:</b>	11/11/2020
<b>Total Attachments: 5</b> source=Trademark Security Interest#page1.tif source=Trademark Security Interest#page2.tif source=Trademark Security Interest#page3.tif source=Trademark Security Interest#page4.tif source=Trademark Security Interest#page5.tif	

**ASSIGNMENT OF SECURITY INTEREST – TRADEMARKS**

KNOW ALL BY THESE PRESENTS, THAT for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, the undersigned **HORIZON TECHNOLOGY FINANCE CORPORATION**, a Delaware corporation having an address of 312 Farmington Avenue, Farmington, Connecticut 06032 ("Assignor"), does hereby assign, transfer, set over and deliver to **PENNRX VENTURES, LLC**, a Delaware limited liability company ("Assignee"), all right, title and interest of Assignor in, to and under that certain Grant of Security Interest – Trademarks, dated as of April 1, 2019, made by **ENCORE DERMATOLOGY, INC.**, a Delaware corporation, in favor of Assignor, as recorded in the United States Patent and Trademark Office on April 22, 2019, at Reel 6625, Frame 0219 (the "Security Agreement"), including, without limitation, the lien and security interest of Assignor in and to all Trademarks and Trademark Applications identified therein. From and after the date hereof, Assignee shall be the sole owner and holder of all rights of the "Secured Party" under the Security Agreement.


The foregoing assignment is made pursuant to the terms of that certain Assignment of Loan Documents and Related Rights dated as of November 6, 2020, by and among Assignor, Assignee and certain other parties therein identified (the "Sale Agreement"). Except as expressly provided in the Sale Agreement, the foregoing assignment is made without representation or warranty by, and without recourse against, Assignor.

IN WITNESS WHEREOF, Assignor has caused this instrument to be properly executed and delivered this sixth day of November, 2020.

HORIZON TECHNOLOGY FINANCE CORPORATION,

A Delaware corporation

By:

  
\_\_\_\_\_  
Print Name: Robert D. Pomeroy, Jr.  
Title: Chief Executive Officer  
\_\_\_\_\_

## TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1  
Stylesheet Version v1.2

ETAS ID: TM520192

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT		
<b>NATURE OF CONVEYANCE:</b>	SECURITY INTEREST		
<b>CONVEYING PARTY DATA</b>			
<b>Name</b>	<b>Formerly</b>	<b>Execution Date</b>	<b>Entity Type</b>
ENCORE DERMATOLOGY, iNC.		04/01/2019	Corporation: DELAWARE
<b>RECEIVING PARTY DATA</b>			
<b>Name:</b>	Horizon Technology Finance Corporation		
<b>Street Address:</b>	312 Farmington Avenue		
<b>City:</b>	Farmington		
<b>State/Country:</b>	CONNECTICUT		
<b>Postal Code:</b>	06032		
<b>Entity Type:</b>	Corporation: DELAWARE		
<b>PROPERTY NUMBERS Total: 7</b>			
<b>Property Type</b>	<b>Number</b>	<b>Word Mark</b>	
<b>Registration Number:</b>	3525859	TETRIX	
<b>Registration Number:</b>	3786119	BENZEFOAM	
<b>Registration Number:</b>	4003813	BENZEFOAM ULTRA	
<b>Registration Number:</b>	4039521	BENZEFOAM ULTRA SHORT CONTACT FOAM	
<b>Registration Number:</b>	3713111	HYLATOPIC	
<b>Registration Number:</b>	3999238	HYLATOPIC PLUS	
<b>Registration Number:</b>	3994954	HYLATOPICPLUS EMOLLIENT FOAM	
<b>CORRESPONDENCE DATA</b>			
<b>Fax Number:</b>	8606768655		
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>			
<b>Phone:</b>	8602849878		
<b>Email:</b>	lucia@horizontechfinance.com		
<b>Correspondent Name:</b>	Horizon Technology Finance		
<b>Address Line 1:</b>	312 Farmington Avenue		
<b>Address Line 2:</b>	Attn: Legal Department		
<b>Address Line 4:</b>	Farmington, CONNECTICUT 06032		
<b>NAME OF SUBMITTER:</b>	Eric S. Darmofal		
<b>SIGNATURE:</b>	/s/Eric S. Darmofal		

OP \$190.00 3525859

<b>DATE SIGNED:</b>	04/22/2019
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**Total Attachments: 2**  
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source=5 Grant of Security Interest - Trademarks (4-1-19) (Encore)#page2.tif

GRANT OF SECURITY INTEREST  
TRADEMARKS

THIS GRANT OF SECURITY INTEREST, dated as April 1, 2019, is executed by ENCORE DERMATOLOGY, INC., a Delaware corporation with an address of 5 Great Valley Pkwy #200, Malvern, Pennsylvania 19355 ("Debtor"), in favor of HORIZON TECHNOLOGY FINANCE CORPORATION, a Delaware corporation with an address of 312 Farmington Avenue, Farmington, Connecticut 06032 ("Secured Party").

A. Pursuant to a certain Venture Loan and Security Agreement, dated as of the date hereof (the "Agreement") by and between Debtor and the Secured Party, the Secured Party has agreed to extend certain credit facilities to Debtor upon the terms and subject to the conditions set forth therein;

B. Debtor owns the registered trademarks, service marks (and applications and registrations therefor), of the United States, more particularly described on Schedules I-A and I-B annexed hereto as part hereof (collectively, the "Trademarks");

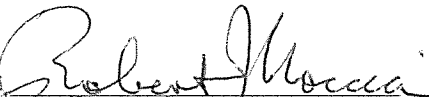
C. Pursuant to the Agreement, Debtor has granted to Secured Party a security interest in all right, title and interest of Debtor in and to the Trademarks (except intent-to-use trademarks at all times prior to the recording of a statement of use or an amendment to allege use with the United States Patent and Trademark Office, but only to the extent that granting of a security interest in such intent-to-use trademarks would be contrary to applicable law), together with associated goodwill, and all proceeds thereof, including any and all causes of action which may exist by reason of infringement thereof (the "Collateral") for the period described in the Agreement, to secure the prompt payment, performance and observance of the Obligations (as defined in the Agreement);

NOW, THEREFORE, for good and valuable consideration, receipt of which is hereby acknowledged, Debtor does hereby further grant to Secured Party a security interest in the Collateral to secure the prompt payment, performance and observance of the Obligations.

Debtor does hereby further acknowledge and affirm that the rights and remedies of Secured Party with respect to the security interest in the Collateral granted hereby are more fully set forth in the Agreement, the terms and provisions of which are hereby incorporated herein by reference as if fully set forth herein.

IN WITNESS WHEREOF, Debtor has caused this instrument to be executed as of the day and year first written above.

ENCORE DERMATOLOGY, INC.

By: 

Name: Robert Moccia

Title: President and Chief Executive Officer

SCHEDULE 1-A TO GRANT OF SECURITY INTEREST  
TRADEMARKS

<b>Trademark</b>	<b>Owner</b>	<b>Registration Number</b>	<b>Registration Date</b>
TETRIX		3525859	October 28, 2008
BENZEFOAM		3786119	May 4, 2010
BENZEFOAM ULTRA		4003813	July 26, 2011
BENZEFOAM ULTRA SHORT CONTACT FOAM		4039521	October 11, 2011
HYLATOPIC		3713111	November 17, 2009
HYLATOPIC PLUS		3999238	July 19, 2011
HYLATOPICPLUS EMOLLIENT FOAM		3994954	July 12, 2011

SCHEDULE 1-B TO GRANT OF SECURITY INTEREST  
TRADEMARK APPLICATIONS

<b>Trademark Application</b>	<b>Owner</b>	<b>Application Number</b>	<b>Application Date</b>
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