

TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

ETAS ID: TM609715

SUBMISSION TYPE:	NEW ASSIGNMENT		
NATURE OF CONVEYANCE:	ASSIGNMENT OF THE ENTIRE INTEREST AND THE GOODWILL		
CONVEYING PARTY DATA			
Name	Formerly	Execution Date	Entity Type
Artivest Holdings, Inc.		08/26/2020	Corporation: DELAWARE
RECEIVING PARTY DATA			
Name:	Institutional Capital Network, Inc.		
Street Address:	One Grand Central Place		
Internal Address:	60 East 42nd Street, 26th Floor		
City:	New York		
State/Country:	NEW YORK		
Postal Code:	10165		
Entity Type:	Corporation: DELAWARE		
PROPERTY NUMBERS Total: 7			
Property Type	Number	Word Mark	
Registration Number:	4871106	ARTIVEST	
Registration Number:	4871125		
Registration Number:	5436100	ALT THINKING	
Registration Number:	3542702	TRUSTED ALTERNATIVES. INTELLIGENT INVEST	
Serial Number:	77314972	TRUSTED ALTERNATIVES. INTELLIGENT INVEST	
Registration Number:	3542704	APM	
Registration Number:	3542703	APM FUNDS	
CORRESPONDENCE DATA			
Fax Number:			
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>			
Email:	jordan.lavine@flastergreenberg.com		
Correspondent Name:	Jordan A. LaVine		
Address Line 1:	100 Front Street, Suite 100		
Address Line 4:	Conshohocken, PENNSYLVANIA 19428		
NAME OF SUBMITTER:	Jordan LaVine		
SIGNATURE:	/jordan lavine/		
DATE SIGNED:	11/19/2020		

OP \$190.00 4871106

Total Attachments: 21

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TRADEMARK ASSIGNMENT AGREEMENT

This **TRADEMARK ASSIGNMENT AGREEMENT** (this "Assignment") is made effective as of 26th day of August, 2020 (the "Effective Date") among Artivest Holdings, Inc., a Delaware corporation ("Holdings"), each Subsidiary of Holdings listed under the heading "Other Sellers" on the signature pages hereto (together with Holdings, collectively, "Assignors"), and Institutional Capital Network, Inc., a Delaware corporation ("Assignee"). Terms used and not otherwise defined herein shall have the respective meanings set forth under that certain Asset Purchase Agreement, dated as of May 11, 2020, by and among Assignee and Assignors, as amended by that certain Omnibus Amendment to Asset Purchase Agreements, dated as of July 17, 2020, dated July 17, 2020, and as further amended by that certain Amendment No. 2 to Asset Purchase Agreement, dated as of the date hereof (the "Agreement").

For good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the parties agree as follows:

1. Assignors hereby irrevocably sell, assign, transfer, and convey to Assignee the following (collectively, the "Trademark Rights"):

(a) all right, title and interest of Assignors throughout the world (including all rights provided by international conventions and treaties) in and to the Trademarks set forth in Schedule A (collectively, the "Assigned Trademarks"), together with the goodwill of the business symbolized by the Assigned Trademarks and the goodwill of the business in connection with which the Assigned Trademarks are used;

(b) all rights of Assignors to causes of action and remedies to the extent related to the Assigned Trademarks, including the right to sue (including for damages and injunctive relief) for any past, present or future infringement, violation, dilution or other unauthorized use of any of the Assigned Trademarks;

(c) all rights of Assignors to receive income, royalties, damages, payments or other consideration now or hereafter due or payable with respect to the use of the Assigned Trademarks;

(d) all rights of Assignors to prosecute and maintain the Assigned Trademarks;
and

(e) all other rights and interests of Assignors arising out of, in connection with or in relation to the use of the Assigned Trademarks.

2. Assignor hereby authorizes and requests the respective trademark office or governmental agency in each jurisdiction to record this Assignment and to issue any trademarks or trademark registrations from any trademark applications included in the Trademark Rights to and in the name of Assignee.

3. From and after the Effective Date, Assignor shall cooperate with Assignee and Assignee's representatives, and shall execute and deliver such documents and take such other actions as Assignee may reasonably request, to cause to be conveyed to Assignee and its successors

or assigns all of the rights, titles and interests intended to be conveyed to Assignee under this Assignment. Assignor hereby irrevocably nominates, constitutes and appoints Assignee as the true and lawful attorney-in-fact of Assignor (with full power of substitution) effective as of the Effective Date, and hereby authorizes Assignee, in the name of and on behalf of Assignor, to execute, deliver, acknowledge, certify, file and record any document and to take any other action (on or at any time after the date of this Assignment) necessary for the purpose of collecting, asserting, enforcing or perfecting any claim, right or interest of any kind that is included in or relates to any of the Trademark Rights or otherwise carrying out or facilitating any of the transactions contemplated hereby. The power of attorney referred to in the preceding sentence is and shall be coupled with an interest and shall be irrevocable, and shall survive the dissolution or insolvency of Assignor.

4. Notwithstanding any provision to the contrary set forth herein or in the Agreement or in any document, instrument or agreement executed in connection herewith or therewith, no provision of this Assignment in any way waives, restricts, alters, adds to, diminishes, or limits the provisions of the Agreement, this Assignment being intended solely for the purpose of separately evidencing the sale, assignment, transfer, and conveyance of the Trademark Rights contemplated by the Agreement. In the event of a conflict between the terms of this Assignment and the terms of the Agreement, the terms of the Agreement shall prevail and govern.

5. This Assignment, the rights and obligations of the parties under this Assignment, and any claim or controversy directly or indirectly based upon, arising out of or related to, this Assignment or the transactions contemplated by this Assignment (whether based upon contract, tort or any other theory), including all matters of construction, validity and performance, shall be governed by and construed in accordance with the laws of the State of Delaware, without regard to any conflict of laws provisions that would require the application of the law of any other jurisdiction.

6. This Assignment shall be binding upon and inure to the benefit of the parties and their respective successors and permitted assigns.

7. This Assignment may not be amended, modified or supplemented in any manner, whether by course of conduct or otherwise, except by an instrument in writing specifically designated as an amendment hereto, signed by each of the parties.

8. No failure or delay of a party in exercising any right or remedy hereunder will operate as a waiver thereof, nor will any single or partial exercise of any such right or power, or any abandonment or discontinuance of steps to enforce such right or power, or any course of conduct, preclude any other or further exercise thereof or the exercise of any other right or power. Any agreement on the part of any party to any such waiver will be valid only if set forth in a written instrument executed and delivered by such party.

9. Any rule of construction to the effect that ambiguities are to be resolved against the drafting party will not be applied in the construction or interpretation of this Assignment. The words "include", "including" and variations thereof will be deemed to be followed by the words "without limitation". The use of "or" will not be deemed to be exclusive. This Assignment may be executed in counterparts, each of which when executed will be deemed to be an original but all of which taken together will constitute one and the same agreement. Delivery of an executed

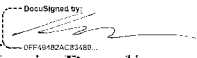
counterpart of a signature page to this Assignment may be made by electronic or digital delivery such as in Adobe Portable Document Format or using generally recognized e-signature technology (e.g., DocuSign).

[Signature page follows]

IN WITNESS WHEREOF, intending to be legally bound, the parties through their duly authorized representatives have executed this Assignment as of the Effective Date.

“ASSIGNORS”

ARTIVEST HOLDINGS, INC.

By:  _____
Name: Martin Beaulieu
Title: Chief Executive Officer

OTHER SELLERS:

AQGEN LIBERTY MANAGEMENT I, INC.

By: _____
DocuSigned by:
James Waldinger
323488530034C3
Name: James Waldinger
Title: President

ALTEGRIS CLEARING SOLUTIONS, L.L.C.

By: AqGen Liberty Management, Inc.

Its: Sole Member

By: _____

Deciphered by:
James Waldinger
52348850604CE

Name: James Waldinger

Title: President

ARTIVEST ALTERNATIVE ADVISORS LLC

By: AqGen Liberty Management I, Inc.

Its: Sole Member

By: _____

DocuSigned by:
James Waldinger
323488500064C6

Name: James Waldinger

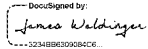
Title: President

ALTEGRIS INVESTMENTS, L.L.C.
By: AqGen Liberty Management I, Inc.
Its: Sole Member

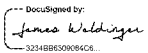
DocuSigned by:
James Waldinger
3248862008464

By: _____
Name: James Waldinger
Title: President


ARTIVEST SOLUTIONS LLC
By: AqGen Liberty Management I, Inc.
Its: Sole Member

By:  _____
Name: James Waldinger
Title: President

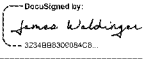
ARTIVEST SERVICES L.L.C.
By: AqGen Liberty Management I, Inc.
Its: Sole Member

By:  _____
Name: James Waldinger
Title: President

ALTEGRIS ADVISORS, L.L.C.
By: AqGen Liberty Management I, Inc.
Its: Sole Member

By:  _____
Name: James Waldinger
Title: President


ARTIVEST ADVISORS LLC
By: AqGen Liberty Management I, Inc.
Its: Sole Member

By:  _____
Name: James Waldinger
Title: President

IN WITNESS WHEREOF, intending to be legally bound, the parties through their duly authorized representatives have executed this Assignment as of the Effective Date.

“ASSIGNEE”

INSTITUTIONAL CAPITAL NETWORK, INC.

By:  _____

Name: Lawrence Calcano

Title: Chief Executive Officer

SCHEDULE A

Trademarks


<u>Mark/Serial Number/Registration Number/Listed Owner</u>	<u>Country</u>	<u>Class/Goods and Services</u>	<u>Status</u>
ARTIVEST Serial No: 85/958,800 Reg. No: 4,871,106 Artivest Holdings, Inc.	United States of America	Class 35: Advertising on the internet for others; marketing analytics in the fields of finance and investment Class 36: Financial services, namely, investment advisory, investment management, and investment consultation services; investment of funds for others; investments in the nature of exchange-traded funds for others; private placement of investment securities for others; broker-dealer services, namely, brokering and trading securities, investment banking services, and private placement of investment securities for others; financial information services; financial analysis; providing information in the fields of finance and investment via the Internet; providing an interactive website featuring financial information and analysis about investing in securities, exchange-traded funds, private equity, venture capital, and hedge funds; providing an online trading platform, namely, online brokerage trading of investment securities, exchange-traded funds, private equity funds, venture capital funds, and hedge funds Class 41: Educational services, namely, conducting online and in-person seminars and conferences in the fields of finance and investment Class 42: Providing online non-downloadable software for use in the analysis of finance and investments and for use in trading and investing in securities, exchange-traded funds, private equity, venture capital, and hedge funds	Registered App Date: 06/13/2013 Reg Date: 12/15/2015 Section 8 & 15 Due: 12/15/2021
ARTIVEST Serial No: 1655591	Canada	Advertising on the Internet for others; marketing analytics in the	Registered App Date: 12/10/2013


<u>Mark/Serial Number/Registration Number/Listed Owner</u>	<u>Country</u>	<u>Class/Goods and Services</u>	<u>Status</u>
Reg. No: TMA957356 Artinvest Holdings, Inc.		fields of finance and investment Financial services, namely, investment advisory, investment management, and investment consultation services; investment of funds for others; investments in the nature of exchange-traded funds; private placement of investment securities; broker-dealer services, namely, brokering and trading securities and private placement of investment securities; financial information services; financial analysis Educational services, namely, conducting online and in-person seminars and conferences in the fields of finance and investment Providing information in the fields of finance and investment via the Internet; providing online non-downloadable software for use in the analysis of finance and investments and for use in trading and investing in securities, exchange-traded funds, private equity, venture capital, and hedge funds; providing an online trading platform for brokerage in the fields of securities, exchange-traded funds, private equity, venture capital, and hedge funds and for the investment of funds for others; providing an interactive website featuring financial information and analysis about investing in securities, exchange-traded funds, private equity, venture capital, and hedge funds	Reg Date: 12/06/2016 Renewal Due: 12/06/2031 Mark Vulnerable to Cancellation Due to Non-Use
ARTIVEST Serial No: IR1226602 Reg. No: IR1226602 Artinvest Holdings, Inc.	Madrid Protocol China European Union India See specific country below for current status.	Class 35: Advertising on the Internet for others; marketing analytics in the fields of finance and investment Class 36: Financial services, namely, investment advisory, investment management, and investment consultation services; investment of funds for others; investments in the nature of exchange-traded funds for others; private placement of investment securities for others; broker-	Registered App Date: 12/10/2013 Reg Date: 12/10/2013 Renewal Due: 12/10/2023

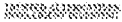
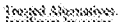
<u>Mark/Serial Number/Registration Number/Listed Owner</u>	<u>Country</u>	<u>Class/Goods and Services</u>	<u>Status</u>
		<p>dealer services, namely, brokering and trading securities, investment banking services, and private placement of investment securities for others; financial information services; financial analysis; providing information relating to finance and investments via electronic means; providing an interactive website featuring financial information and analysis about investing in securities, exchange-traded funds, private equity, venture capital, and hedge funds; providing an online trading platform, namely, online brokerage trading of investment securities, exchange-traded funds, private equity funds, venture capital funds, and hedge funds</p> <p>Class 42: Providing temporary use of online non-downloadable software for use in the analysis of finance and investments and for use in trading and investing in securities, exchange-traded funds, private equity, venture capital, and hedge funds</p>	
<p>ARTIVEST Serial No: IR1226602 Reg. No: IR1226602 Artinvest Holdings, Inc.</p>	<p>China</p>	<p>Class 35: Advertising on the Internet for others; marketing analytics in the fields of finance and investment</p> <p>Class 36: Financial services, namely, investment advisory, investment management, and investment consultation services; investment of funds for others; investments in the nature of exchange-traded funds for others; private placement of investment securities for others; broker-dealer services, namely, brokering and trading securities, investment banking services, and private placement of investment securities for others; financial information services; financial analysis; providing information relating to finance and investments via electronic means; providing an interactive website featuring financial information and analysis about investing in securities, exchange-traded funds,</p>	<p>Registered (Madrid) App Date: 12/10/2013 Reg Date: 12/10/2013</p> <p>For Renewal, see Madrid Protocol Record</p> <p>Mark Vulnerable to Cancellation Due to Non-Use</p>

<u>Mark/Serial Number/Registration Number/Listed Owner</u>	<u>Country</u>	<u>Class/Goods and Services</u>	<u>Status</u>
		<p>private equity, venture capital, and hedge funds; providing an online trading platform, namely, online brokerage trading of investment securities, exchange-traded funds, private equity funds, venture capital funds, and hedge funds</p> <p>Class 42: Providing temporary use of online non-downloadable software for use in the analysis of finance and investments and for use in trading and investing in securities, exchange-traded funds, private equity, venture capital, and hedge funds</p>	
<p>ARTIVEST Serial No: IR1226602 Reg. No: IR1226602 Artinvest Holdings, Inc.</p>	<p>European Union</p>	<p>Class 35: Advertising on the Internet for others; marketing analytics in the fields of finance and investment.</p> <p>Class 36: Financial services, namely, investment advisory, investment management, and investment consultation services; investment of funds for others; investments in the nature of exchange-traded funds for others; private placement of investment securities for others; broker-dealer services, namely, brokering and trading securities, investment banking services, and private placement of investment securities for others; financial information services; financial analysis; providing information relating to finance and investments via electronic means; providing an interactive website featuring financial information and analysis about investing in securities, exchange-traded funds, private equity, venture capital, and hedge funds; providing an online trading platform, namely, online brokerage trading of investment securities, exchange-traded funds, private equity funds, venture capital funds, and hedge funds</p> <p>Class 42: Providing temporary use of online non-downloadable software for use in the analysis of</p>	<p>Registered (Madrid) App Date: 12/10/2013 Reg Date: 12/10/2013</p> <p>For Renewal, see Madrid Protocol Record</p> <p>Mark Vulnerable to Cancellation If Not Used: 10/14/2020</p>

<u>Mark/Serial Number/Registration Number/Registered Owner</u>	<u>Country</u>	<u>Class/Goods and Services</u>	<u>Status</u>
		finance and investments and for use in trading and investing in securities, exchange-traded funds, private equity, venture capital, and hedge funds	
ARTIVEST Serial No: IR1226602 Reg. No: IR1226602 Artinvest Holdings, Inc.	India	Class 35: Advertising on the Internet for others; marketing analytics in the fields of finance and investment. Class 36: Financial services, namely, investment advisory, investment management, and investment consultation services; investment of funds for others; investments in the nature of exchange-traded funds for others; private placement of investment securities for others; broker-dealer services, namely, brokering and trading securities, investment banking services, and private placement of investment securities for others; financial information services; financial analysis; providing information relating to finance and investments via electronic means; providing an interactive website featuring financial information and analysis about investing in securities, exchange-traded funds, private equity, venture capital, and hedge funds; providing an online trading platform, namely, online brokerage trading of investment securities, exchange-traded funds, private equity funds, venture capital funds, and hedge funds Class 42: Providing temporary use of online non-downloadable software for use in the analysis of finance and investments and for use in trading and investing in securities, exchange-traded funds, private equity, venture capital, and hedge funds	Registered (Madrid) App Date: 12/10/2013 Reg Date: 12/10/2013 For Renewal, see Madrid Protocol Record Mark Vulnerable to Cancellation If Not Used: 05/13/2024
ARTIVEST LOGO Serial No: 86/004,756 Reg. No: 4,871,125 Artinvest Holdings, Inc.	United States of America	Class 35: Advertising on the Internet for others; marketing analytics in the fields of finance and investment Class 36: Financial services, namely,	Registered App Date: 07/08/2013 Reg Date: 12/15/2015 Section 8 & 15 Due: 12/15/2021

<u>Mark/Serial Number/Registration Number/Listed Owner</u>	<u>Country</u>	<u>Class/Goods and Services</u>	<u>Status</u>
		<p>investment advisory, investment management, and investment consultation services; investment of funds for others; investments in the nature of exchange-traded funds for others; private placement of investment securities for others; broker-dealer services, namely, brokering and trading securities, investment banking services, and private placement of investment securities for others; financial information services; financial analysis; providing information in the fields of finance and investment via the Internet; providing an interactive website featuring financial information and analysis about investing in securities, exchange-traded funds, private equity, venture capital, and hedge funds; providing an online trading platform, namely, online brokerage trading of investment securities, exchange-traded funds, private equity funds, venture capital funds, and hedge funds</p> <p>Class 41: Educational services, namely, conducting online and in-person seminars and conferences in the fields of finance and investment</p> <p>Class 42: Providing online non-downloadable software for use in the analysis of finance and investments and for use in trading and investing in securities, exchange-traded funds, private equity, venture capital, and hedge funds</p>	

	ALT THINKING	87307523	5436100	Altegris Services, L.L.C.	United States (USPTO)	Registered - Principal Register	<p>016 - Printed matter, namely, newsletters, brochures, analyses and questionnaires in the field of financial investment management, investment advice and security, commodity and investment contract brokerage services First use: 12/31/2016 Use in commerce: 12/31/2016</p> <p>036 - Financial services, namely, rendering</p>
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							<p>investment management, investment advice and security, commodity and investment contract brokerage services; Financial advice and consultancy services, namely, identification of and association with hedge fund managers and commodity trading advisors;</p> <p>Computer services, namely, providing on-line analyses in the field of financial investment management, investment advice and security, commodity and investment contract brokerage services</p> <p>First use: 12/1/2016 Use in commerce: 12/1/2016</p> <p>041 - Computer services, namely, providing on-line newsletters, brochures and questionnaires in the field of financial investment management, investment advice and security, commodity and investment contract brokerage services</p> <p>First use: 12/1/2016 Use in commerce: 12/1/2016</p>
	TRUSTED ALTERNATIVES. INTELLIGENT INVESTING.	77311852	3542702	ALTEGRIS SERVICES, L.L.C.	United States (USPTO)	Registered and renewed	<p>036 - Financial advice and consultancy services, namely, identification of and association with hedge fund managers and commodity trading advisors</p> <p>First use: 10/15/2007 Use in commerce: 10/15/2007</p>
	TRUSTED ALTERNATIVES. INTELLIGENT INVESTING.	77314972		Altegris Investments, Inc.	United States (USPTO)	Application abandoned: late or missing response	<p>036 - Financial services: namely alternative investment (hedge funds and managed futures) research, consulting and investment advice</p> <p>First use: 9/24/2007 Use in commerce: 9/24/2007</p>

APM	APM	77311917	3542704	ALTEGRIS SERVICES, L.L.C.	United States (USPTO)	Mark cancelled: section 8	036 - Financial advice and consultancy services, namely, identification of and association with hedge fund managers and commodity trading advisors First use: 12/31/2002 Use in commerce: 12/31/2002
APM FUNDS	APM FUNDS	77311889	3542703	ALTEGRIS SERVICES, L.L.C.	United States (USPTO)	Mark cancelled: section 8	036 - Financial advice and consultancy services, namely, identification of and association with hedge fund managers and commodity trading advisors First use: 1/1/2007 Use in commerce: 1/1/2007