

TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

ETAS ID: TM611975

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|------------------------------|--|
| SUBMISSION TYPE: | RESUBMISSION |
| NATURE OF CONVEYANCE: | ASSIGNMENT OF THE ENTIRE INTEREST AND THE GOODWILL |
| RESUBMIT DOCUMENT ID: | 900569285 |
| SEQUENCE: | 1 |

CONVEYING PARTY DATA

| Name | Formerly | Execution Date | Entity Type |
|------------------------|----------|----------------|--------------|
| Advisor Software, Inc. | | 07/24/2020 | Corporation: |

RECEIVING PARTY DATA

| | |
|------------------------|-------------------------------------|
| Name: | Refinitiv US LLC |
| Street Address: | 3 TIMES SQUARE |
| City: | NEW YORK |
| State/Country: | NEW YORK |
| Postal Code: | 10036 |
| Entity Type: | Limited Liability Company: NEW YORK |

PROPERTY NUMBERS Total: 8

| Property Type | Number | Word Mark |
|-----------------------|----------|------------------------------------|
| Serial Number: | 77689527 | ASI PORTFOLIO FORECASTER |
| Serial Number: | 77544860 | ASI PORTFOLIO REBALANCING SOLUTION |
| Serial Number: | 78659287 | CLIENT ACQUISITION SOLUTION |
| Serial Number: | 85377835 | GOALGAMIPRO |
| Serial Number: | 85379069 | ADVISOR SOFTWARE |
| Serial Number: | 85617767 | GOALGETTER |
| Serial Number: | 85617768 | GOALGETTER |
| Serial Number: | 87243705 | BEHAVIORAL IQ |

CORRESPONDENCE DATA

Fax Number:

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Email: trademark@refinitiv.com

Correspondent Name: REFINITIV C/O INTELLECTUAL PROPERTY

Address Line 1: 3 TIMES SQUARE

Address Line 4: NEW YORK, NEW YORK 10036

NAME OF SUBMITTER: Katy Chan parsons

| | |
|--|---------------------|
| SIGNATURE: | /Katy Chan Parsons/ |
| DATE SIGNED: | 12/02/2020 |
| Total Attachments: 7 source=Refinitiv_Assignment_trademark#page1.tif source=Refinitiv_Assignment_trademark#page2.tif source=Refinitiv_Assignment_trademark#page3.tif source=Refinitiv_Assignment_trademark#page4.tif source=Refinitiv_Assignment_trademark#page5.tif source=Refinitiv_ntc_nonrecord#page1.tif source=Refinitiv_ntc_nonrecord#page2.tif | |

TRADEMARK ASSIGNMENT

This TRADEMARK ASSIGNMENT ("Assignment") is made as of July 24, 2020, by Advisor Software, Inc., a Delaware corporation ("Assignor"), having an address of 2185 North California Blvd. Suite 290, Walnut Creek, California 94596, in favor of Refinitiv US LLC, a Delaware limited liability company ("Assignee"), having an address of 3 Times Square, New York, NY 10036.

WHEREAS, Assignee and Assignor are parties to an Asset Purchase Agreement, dated of even date herewith (the "Agreement"), pursuant to which Assignor has agreed to transfer and Assignee has agreed to acquire all trademarks, service marks, trade dress, logos, trade names, and corporate names related to the Business, including all common law rights, together with all translations, adaptations, derivations, and combinations thereof and including all goodwill associated therewith, and all applications, registrations, and renewals in connection therewith ("Trademarks"). Capitalized terms used but not defined herein shall have the meanings assigned to them in the Agreement.

WHEREAS, Assignor is the owner of the entire right, title, and interest in and to the Trademarks, including but not limited to those set forth in **Schedule A**.

NOW, THEREFORE, for good and valuable consideration, the sufficiency and receipt of which is hereby acknowledged, and the parties agree as follows:

1. Assignment. Assignor hereby irrevocably assigns, conveys and transfers to Assignee all right, title and interest of Assignor in and to the Trademarks, together with the goodwill of the business symbolized thereby, and including the following:

1.1 All rights of any kind whatsoever of Assignor accruing under any of the foregoing provided by applicable law of any jurisdiction, by international treaties and conventions and otherwise throughout the world;

1.2 All claims which may be obtained therefrom for its own use and enjoyment, and for the use and enjoyment of its successors, assigns or other legal representatives, as fully and entirely as the same would have been held and enjoyed by Assignor if this Assignment had not been made; and

1.3 Any and all of Assignor's rights, privileges and priorities provided under applicable law with respect to the Trademarks, including, without limitation, Assignor's common law rights and rights under the any relevant laws, including any and all rights to bring an action, whether at law or in equity, for infringement, misappropriation, unfair competition, dilution or other violation, any and all rights to any income, royalties, damages and payments which become due or payable in respect thereof on or after the effective date of this Assignment, and any and all rights in and to all claims (including claims for past, current or future infringement or misappropriation of intellectual property rights and the rights to any damages, proceeds and other remedies or recoveries relating thereto), counterclaims, defenses, causes of action, demands, judgments, rights of recovery, rights of set-off, rights of subrogation and all other rights of any

kind of Assignor against any third party, to the extent relating to the Trademarks (regardless of whether such rights are exercisable).

2. Recordation and Further Actions. Assignor will not execute any writing nor do any act conflicting with this Assignment, and Assignor will upon reasonable request, at Assignee's expense, execute such additional writings necessary for the prosecution, maintenance and renewal of the Trademarks before the United States Patent and Trademark Office or any equivalent authorities in foreign countries, as Assignee or its successors and assigns may deem reasonably necessary.

3. Successors and Assigns. This Assignment and all the terms hereof inure to the benefit of and are binding upon Assignor and Assignee and their respective successors, assigns and legal representatives.

4. Terms of Agreement. Nothing contained in this Assignment shall in any way be deemed to supersede, modify, replace, amend, change, rescind, waive, or in any way effect the provisions set forth in the Agreement. Notwithstanding anything herein to the contrary, the provisions of this Assignment shall be subject to the provisions of the Agreement, including, but not limited to, the representations, warranties, covenants, agreements, and indemnities contained therein, which are incorporated herein by this reference. In the event of any conflict or inconsistency between the terms of the Agreement and the terms hereof, the terms of the Agreement shall govern.

5. Governing Law. This Assignment and any claim, controversy, dispute or cause of action (whether in contract, tort or otherwise) based upon, arising out of or relating to this Assignment and the transactions contemplated hereby shall be governed by, and construed in accordance with, the laws of the United States and the State of Delaware, without regard to conflict of laws principles that would result in the application of any law other than the law of the State of Delaware.

6. Counterparts. This Assignment may be executed in counterparts, each of which shall be deemed an original, but all of which together shall be deemed to be one and the same agreement. A signed copy of this Assignment delivered by facsimile, email (including pdf or any electronic signature complying with the U.S. federal ESIGN Act of 2000, *e.g.*, www.docuSign.com), or other legible electronic means shall be deemed to have the same legal effect as delivery of an original signed copy of this Assignment.

[Signature Page Follows]

IN WITNESS WHEREOF, Assignor has executed this Assignment as of the date first written above.

ASSIGNOR:

ADVISOR SOFTWARE, INC.

By: 

Name: Andrew Rudd

Title: Chief Executive Officer

[Signature Page to Trademark Assignment]

ASSIGNEE:

REFINITIV US LLC

DocuSigned by:
Federico Ortiz
By: _____
Name: Federico Ortiz
Title: Vice President

[Signature Page to Trademark Assignment]

SCHEDULE A

| ASI TRADEMARK NAME | SERIAL NUMBER | REGISTERED | REGISTRATION NUMBER |
|------------------------------------|----------------------|-------------------|----------------------------|
| ASI PORTFOLIO FORECASTER | 77689527 | 10/6/2009 | 3,691,961 |
| ASI PORTFOLIO REBALANCING SOLUTION | 77544860 | 6/30/2009 | 3,646,200 |
| CLIENT ACQUISITION SOLUTION | 78659287 | 7/4/2006 | 3,111,763 |
| GOALGAMIPRO | 85377835 | 4/3/2012 | 4,121,203 |
| ADVISOR SOFTWARE | 85379069 | 1/22/2013 | 4,280,908 |
| GOALGETTER (d) | 85617767 | 5/7/2013 | |
| GOALGETTER | 85617768 | 12/25/2012 | 4,264,099 |
| Behavioral IQ | 5240520 | 7/11/2017 | |

Schedule A to the Trademark Assignment