

TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

ETAS ID: TM617343

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	SECURITY INTEREST

CONVEYING PARTY DATA

Name	Formerly	Execution Date	Entity Type
AssetMark, Inc.		12/30/2020	Corporation: CALIFORNIA
OBS Holdings, Inc.		12/30/2020	Corporation: OHIO
OBS Financial Services, Inc.		12/30/2020	Corporation: DELAWARE

RECEIVING PARTY DATA

Name:	Bank of Montreal, as administrative agent
Street Address:	115 South LaSalle Street
Internal Address:	Attention: Amy Prager
City:	Chicago
State/Country:	ILLINOIS
Postal Code:	60603
Entity Type:	Chartered Bank: CANADA

PROPERTY NUMBERS Total: 36

Property Type	Number	Word Mark
Registration Number:	4944391	ARIS
Registration Number:	4676341	ASSETMARK
Registration Number:	4676342	ASSETMARK
Registration Number:	4761118	ASSETMARK
Registration Number:	5095907	ASSETMARK BROKERAGE
Registration Number:	5443540	ASSETMARK GIVING FUND
Registration Number:	5211986	ASSETMARK PORTFOLIOENGINE
Registration Number:	5211987	ASSETMARK PORTFOLIOENGINE
Registration Number:	5211988	ASSETMARK PORTFOLIOENGINE
Registration Number:	5211989	ASSETMARK PORTFOLIOENGINE
Registration Number:	5305568	ASSETMARK RETIREMENT SERVICES
Registration Number:	4631224	ASSETMARK WEALTH. INDEPENDENCE. PURPOSE.
Registration Number:	5305170	CASH ADVANTAGE AN ASSETMARK TRUST COMPAN
Registration Number:	5305171	CASH ADVANTAGE AN ASSETMARK TRUST COMPAN
Registration Number:	3216812	EWEALTHMANAGER
Registration Number:	4155087	GUIDEMARK

CH \$915.00 4944391

Property Type	Number	Word Mark
Registration Number:	4155088	GUIDEPATH
Registration Number:	4847029	LEAD WITH PURPOSE
Registration Number:	2936381	MASTERY PROGRAM
Registration Number:	5010560	PREMIER CONSULTANT
Registration Number:	4846748	PURPOSE-BASED PLANNING
Registration Number:	4732846	PURPOSE-BASED PLANNING
Registration Number:	4744216	SAVOS
Registration Number:	4761119	SAVOS
Registration Number:	4631225	SAVOS INVESTMENTS
Registration Number:	4693613	VALUE MAXIMIZATION INDEX
Registration Number:	4690145	VALUE MAXIMIZATION INDEX
Registration Number:	6201074	ASSETMARK GUIDED INCOME SOLUTIONS
Serial Number:	88951093	INVESTING EVOLVED
Serial Number:	88875451	ASSETMARK INSTITUTIONAL
Serial Number:	88875450	SAVOS PERSONAL PORTFOLIOS
Registration Number:	4069581	THE COMPLEAT ADVISOR
Registration Number:	3900274	DIAS
Registration Number:	3186472	OBS FINANCIAL
Registration Number:	4118652	
Serial Number:	88585309	ASSETMARK ADVISOR MANAGED PORTFOLIOS

CORRESPONDENCE DATA

Fax Number:

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: 3127018637

Email: IPDocket@mayerbrown.com

Correspondent Name: William R. Siegel, Mayer Brown LLP

Address Line 1: 71 S. Wacker Drive

Address Line 4: Chicago, ILLINOIS 60606

ATTORNEY DOCKET NUMBER: 20664705

NAME OF SUBMITTER: William R. Siegel

SIGNATURE: /william r siegel/

DATE SIGNED: 12/30/2020

Total Attachments: 7

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TRADEMARK SECURITY AGREEMENT

December 30, 2020

FOR GOOD AND VALUABLE CONSIDERATION, the receipt and sufficiency of which are hereby acknowledged, each of AssetMark, Inc., a California corporation (“**AssetMark**”), OBS Holdings, Inc., an Ohio corporation (“**OBH Holdings**”) and OBS Financial Services, Inc., a Delaware corporation (“**OBS Financial**”, and together with AssetMark and OBH Holdings, collectively, the “**Grantors**” and each individually, a “**Grantor**”), hereby grants Bank of Montreal, as administrative agent (the “**Agent**”), a security interest in all of the following property, whether now owned or hereafter acquired or existing:

(a) all trademarks, trade names, corporate names, company names, business names, fictitious business names, trade styles, service marks, certification marks, collective marks, logos, other source of business identifiers, prints and labels on which any of the foregoing have appeared or appear, designs and general intangibles of a like nature (each of the foregoing, a “**Trademark**”), and all registrations and all applications in connection therewith, whether pending or in preparation for filing, listed on Schedule A;

(b)

all reissues, divisions, continuations, renewals, extensions, or continuations in part of any item described in clause (a),

(c) all of the goodwill of the business connected with the use of, and symbolized by, any item described in clause (a);

(d) all income, royalties, damages, claims and payments now or hereafter due or payable under and with respect to any item described in clause (a), including, without limitation, damages and payments for past and future infringements thereto; and

(e) all proceeds of, and rights associated with, the foregoing, including, without limitation, any claim by the Grantor against third parties for past, present or future infringement or dilution of any Trademark referred to on Schedule A, or for any injury to the goodwill associated with the use of any Trademark or for breach or enforcement of any Trademark license.

THIS TRADEMARK SECURITY AGREEMENT (this “**Agreement**”) is made in conjunction with the security interests granted to the Agent under the Security Agreement among the Grantors and certain of their affiliates, as grantors, and the Agent, dated as of the date hereof (as the same may be amended, restated, supplemented or otherwise modified from time to time, the “**Security Agreement**”). The rights and remedies of the Agent with respect to the security interests granted herein are without prejudice to, and are in addition to those set forth in, the Security Agreement, the terms and provisions of which are incorporated herein by reference. If any provision of this Agreement conflicts with the Security Agreement, the provisions of the Security Agreement shall govern. Capitalized terms used but not defined herein have the respective meanings assigned to such terms in the Security Agreement.

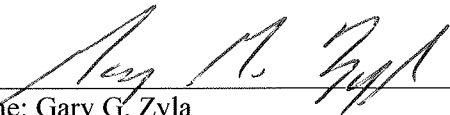
THIS AGREEMENT AND ANY CLAIM, CONTROVERSY, DISPUTE OR CAUSE OF ACTION (WHETHER IN CONTRACT, TORT OR OTHERWISE) BASED UPON, ARISING OUT OF OR RELATING TO THIS AGREEMENT, AND THE RIGHTS AND DUTIES OF THE PARTIES HERETO, SHALL BE GOVERNED BY AND CONSTRUED AND DETERMINED IN ACCORDANCE WITH THE INTERNAL LAWS OF THE STATE OF NEW YORK AND APPLICABLE FEDERAL LAW.

Delivery of an executed counterpart of a signature page of this Agreement by facsimile or other electronic transmission shall be effective as delivery of a manually executed counterpart of this Agreement.


[Signature Immediately Follows]

IN WITNESS WHEREOF, the undersigned has executed this Agreement as of the date first written above.

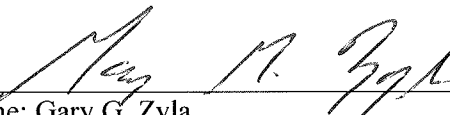
ASSETMARK, INC.

By: 
Name: Gary G. Zyla
Title: Executive Vice President, Chief
Financial Officer and Treasurer

OBS HOLDINGS, INC.

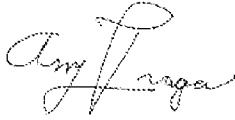
By: 
Name: Gary G. Zyla
Title: Vice President and Treasurer

OBS FINANCIAL SERVICES, INC.

By: 
Name: Gary G. Zyla
Title: Executive Vice President and Treasurer

Accepted and Agreed:

BANK OF MONTREAL, as Agent




A handwritten signature in cursive script, appearing to read "Amy Prager".

By:

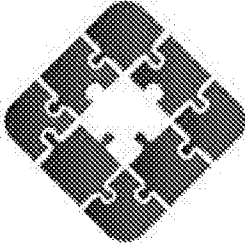
Name: Amy Prager

Title: Director

SCHEDULE A

<u>Registered Owner</u>	<u>Mark</u>	<u>Application/Registration Number</u>
AssetMark, Inc.	Aris 	4944391
AssetMark, Inc.	ASSETMARK	4676341
AssetMark, Inc.	ASSETMARK	4676342
AssetMark, Inc.	 ASSETMARK	4761118
AssetMark, Inc.	ASSETMARK BROKERAGE	5095907
AssetMark, Inc.	ASSETMARK GIVING FUND	5443540
AssetMark, Inc.	ASSETMARK PORTFOLIOENGINE	5211986
AssetMark, Inc.	ASSETMARK PORTFOLIOENGINE	5211987
AssetMark, Inc.	<small>ASSETMARK</small> PortfolioEngine	5211988
AssetMark, Inc.	<small>ASSETMARK</small> PortfolioEngine	5211989
AssetMark, Inc.	ASSETMARK RETIREMENT SERVICES	5305568
AssetMark, Inc.	 ASSETMARK <small>Wealth. Independence. Purpose.</small>	4631224
AssetMark, Inc.	CASH ADVANTAGE AN ASSETMARK TRUST COMPANY SERVICE	5305170
AssetMark, Inc.	CASH ADVANTAGE AN ASSETMARK TRUST COMPANY SERVICE	5305171
AssetMark, Inc.	EWEALTHMANAGER	3216812
AssetMark, Inc.	GUIDEMARK	4155087
AssetMark, Inc.	GUIDEPATH	4155088

<u>Registered Owner</u>	<u>Mark</u>	<u>Application/Registration Number</u>
AssetMark, Inc.	LEAD WITH PURPOSE	4847029
AssetMark, Inc.	MASTERY PROGRAM	2936381
AssetMark, Inc.	PREMIER CONSULTANT	5010560
AssetMark, Inc.	PURPOSE-BASED PLANNING	4846748
AssetMark, Inc.	PURPOSE-BASED PLANNING	4732846
AssetMark, Inc.	SAVOS	4744216
AssetMark, Inc.		4761119
AssetMark, Inc.		4631225
AssetMark, Inc.	VALUE MAXIMIZATION INDEX	4693613
AssetMark, Inc.	VALUE MAXIMIZATION INDEX	4690145
AssetMark, Inc.	INVESTING EVOLVED	88951093
AssetMark, Inc.	ASSETMARK INSTITUTIONAL	88875451
AssetMark, Inc.	ASSETMARK GUIDED INCOME SOLUTIONS	6201074
AssetMark, Inc.	SAVOS PERSONAL PORTFOLIOS	88875450

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AssetMark, Inc.	ASSETMARK ADVISOR MANAGED PORTFOLIOS	88585309
AssetMark, Inc.	THE COMPLEAT ADVISOR	4069581
AssetMark, Inc.	DIAS	3900274
OBS Holdings, Inc.	OBS FINANCIAL	3186472
OBS Financial Services, Inc.		4118652