# OP \$1015.00 5403065

# TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 ETAS ID: TM617465

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	Security Agreement

#### **CONVEYING PARTY DATA**

Name	Formerly	Execution Date	Entity Type
ISOPLEXIS CORPORATION		12/30/2020	Corporation: DELAWARE

#### **RECEIVING PARTY DATA**

Name:	PERCEPTIVE CREDIT HOLDINGS III, LP
Street Address: 51 Astor Place, 10th Floor	
City:	New York
State/Country: NEW YORK	
Postal Code:	10003
Entity Type:	Limited Partnership: DELAWARE

### **PROPERTY NUMBERS Total: 40**

Property Type	Number	Word Mark
Registration Number:	5403065	ISOCODE
Registration Number:	5402658	ISOLIGHT
Registration Number:	5386927	ISOPLEXIS
Registration Number:	6116215	ISOPLEXIS
Registration Number:	5874728	ISOSPEAK
Registration Number:	6063771	PII
Registration Number:	6186915	POLYFUNCTIONAL INFLAMMATION INDEX
Registration Number:	6186916	POLYFUNCTIONAL STRENGTH INDEX
Registration Number:	5939011	PSI
Registration Number:	5932256	SCBC
Registration Number:	4891759	WE GET MORE FROM SINGLE CELLS
Serial Number:	88261088	ALL GREAT JOURNEYS IN LIFE SCIENCE BEGIN
Serial Number:	87715471	CODEPLEX
Serial Number:	88507816	EACH CELL MAKES A DIFFERENCE
Serial Number:	87543827	ELEVATE YOUR DATA
Serial Number:	88288494	FOLLOW THE DATA
Serial Number:	90259272	ELISA, REIMAGINED
Serial Number:	87693900	ISOCOMPASS
Serial Number:	87664434	ISOLIGHTDX

TRADEMARK REEL: 007151 FRAME: 0090

900588503

Property Type	Number	Word Mark
Serial Number:	87693904	ISOMAP
Serial Number:	87370233	ISO-OMIX
Serial Number:	87715461	ISOORBIT
Serial Number:	88160813	ISOPREP
Serial Number:	87664413	ISOPROSEQ
Serial Number:	87951196	ISOPSI
Serial Number:	87664418	ISOSEQ
Serial Number:	87664416	ISOSEQPRO
Serial Number:	87715498	ISOVERSE
Serial Number:	87715477	OMIXPLEX
Serial Number:	87715485	PROSEQPLEX
Serial Number:	88261077	SHED LIGHT ON THE SMALL THINGS THAT MAKE
Serial Number:	90062847	ISOSPARK
Serial Number:	88837927	PROTEOMIC ABC
Serial Number:	88837929	OMIC ABC
Serial Number:	90312011	PSD
Serial Number:	90257634	ISOHERO CELLS
Serial Number:	90257642	ISOVILLAIN CELLS
Serial Number:	90257667	ISOPLEXIS SUPERHERO CELLS
Serial Number:	90257671	ISOPLEXIS SUPERVILLAIN CELLS
Serial Number:	90257684	ISOPLEXIS SUPERPOWERED BIOLOGY

#### **CORRESPONDENCE DATA**

Fax Number:

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

**Phone:** 212-655-3348

Email: zhanusek@chapman.com
Correspondent Name: Chapman and Cutler LLP

**Address Line 1:** 1270 Avenue of the Americas, 30th Floor

Address Line 2: Attn: Zach Hanusek

Address Line 4: New York, NEW YORK 10020

NAME OF SUBMITTER:	Zachary Hanusek
SIGNATURE:	/Zachary Hanusek/
DATE SIGNED:	12/30/2020

#### **Total Attachments: 13**

source=(TM) - IsoPlexis - Patent and Trademark Security Agreement EXECUTED#page1.tif source=(TM) - IsoPlexis - Patent and Trademark Security Agreement EXECUTED#page2.tif source=(TM) - IsoPlexis - Patent and Trademark Security Agreement EXECUTED#page3.tif source=(TM) - IsoPlexis - Patent and Trademark Security Agreement EXECUTED#page4.tif



#### PATENT AND TRADEMARK SECURITY AGREEMENT

#### December 30, 2020

WHEREAS, ISOPLEXIS CORPORATION, a Delaware corporation (the "Company") and each entity that becomes a "Grantor" under the Security Agreement (together with the Company "Grantors" and each, a "Grantor") are parties to that certain Security Agreement, dated as of the date hereof (as amended, restated, supplemented or otherwise modified from time to time, the "Security Agreement"; capitalized terms used herein without definition shall have the meanings set forth in the Security Agreement), among certain Grantors party thereto from time to time and PERCEPTIVE CREDIT HOLDINGS III, LP, a Delaware limited partnership, as administrative agent for the Secured Parties (in such capacity, the "Administrative Agent"), pursuant to which Grantors have granted in favor of the Administrative Agent a lien on all of their personal property, including without limitation the patents and patent applications listed on Schedule A hereto, and the trademarks and trademark applications listed on Schedule B hereto; and

WHEREAS, it is a condition to the advance of the loans and other obligations secured by the Security Agreement, that each Grantor execute and deliver this Patent and Trademark Security Agreement;

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged:

As collateral security for the prompt and complete payment in full and performance when due (whether at stated maturity, by acceleration or otherwise) of the Secured Obligations, each Grantor hereby pledges and grants to the Administrative Agent a security interest in accordance with and subject to the terms and conditions of the Security Agreement (and the Intellectual Property referenced therein) in all of such Grantor's right, title and interest in, to and under all of the following:

- (i) all patents and patent applications, including the inventions and improvements described and claimed therein, in each case whether now owned by such Grantor or hereafter acquired by such Grantor and whether now existing or hereafter coming into existence, including without limitation those listed on **Schedule A** hereto, and all related reissues, divisions, continuations, renewals, extensions and continuations in part thereof; and
- (ii) all of the trade names, trademarks and service marks, logos, trademark and service mark registrations, and applications for trademark and service mark registrations, whether now owned by such Grantor or at any time hereafter acquired by such Grantor and whether now existing or hereafter coming into existence, that are registered with, or for which applications for registration have been filed with, the United States Patent and Trademark Office, including the trademarks listed on **Schedule B** hereto, and all renewals of trademark and service mark registrations and all goodwill associated therewith (excluding any application for registration of a trademark filed on an intent-to-use basis solely to the extent that the grant of a security interest in

any such trademark application would materially adversely affect the validity or enforceability of the resulting trademark registration or result in cancellation of such trademark application).

Notwithstanding the foregoing, in the event of any conflict between this Patent and Trademark Security Agreement and the Security Agreement, the Security Agreement shall control.

This Patent and Trademark Security Agreement and the rights and obligations of the parties hereunder shall be governed by, and construed in accordance with, the law of the State of New York, without regard to principles of conflicts of laws that would result in the application of the laws of any other jurisdiction; provided, that Section 5-1401 of the New York General Obligations Law shall apply.

[signature to follow]

IN WITNESS WHEREOF, the Company has caused this Patent and Trademark Security Agreement to be duly executed and delivered as of the day and year first above written.

**ISOPLEXIS CORPORATION** 

y: Scan Mackay
Name: Sean Mackay

Title: President and Chief Executive Officer

SIGNATURE PAGE PATENT AND TRADEMARK SECURITY AGREEMENT

ADMINISTRATIVE AGENT:
PERCEPTIVE CREDIT HOLDINGS III, LP
By: Perceptive Credit Opportunities GP, LLC, its general partner
By: Sandeep Dixit Title: Chief Credit Officer
By: Name: Sam Chawla Title: Portfolio Manager

SIGNATURE PAGE
PATENT AND TRADEMARK SECURITY AGREEMENT

#### ADMINISTRATIVE AGENT:

PERCEPTIVE CREDIT HOLDINGS III, LP

By: Perceptive Credit Opportunities GP, LLC, its general partner

By:

Name: Sandeep Dixit Title: Chief Credit Officer

By: \_\_\_\_\_

Name: Sam Chawla Title: Portfolio Manager

SIGNATURE PAGE
PATENT AND TRADEMARK SECURITY AGREEMENT

# Schedule A to Patent and Trademark Security Agreement

# PATENTS AND PATENT APPLICATIONS

# <u>US</u>

Owner	Patent Application Number	Filing Date	Patent Publication Number	Publication Date	<u>Status</u>
Isoplexis	Registration No.	12/3/2015	WO2016/090148	4/19/2018	Registered
Corporation	10 <b>5</b> 84366				
Isoplexis	16/773,535	1/27/2020	US2020/0239926A1	7/30/2020	Office
Corporation					Action
					Issued
Isoplexis	16/332,627	9/12/2017	US2020/0166518A1	5/28/2020	Published
Corporation					
Isoplexis	16/349,183	11/13/2017	US2019/0285626A1	9/19/2019	Published
Corporation					
Isoplexis	16/463,333	11/22/2017	US2019/0376898A1	12/12/2019	Published
Corporation					
Isoplexis	PCT/US2019/064535	12/4/2019	WO2020/117975A1	6/11/2020	Published
Corporation					
Isoplexis	62/982,472	2/27/2020	n/a	n/a	Filed
Corporation					
Isoplexis	63/109,218	11/3/2020	n/a	n/a	Filed
Corporation					
Isoplexis	63/112,432	11/11/2020	n/a	n/a	Filed
Corporation					

# Foreign

Owner	Country/Jurisdiction	Patent Application Number	Filing Date	<u>Status</u>
Isoplexis	Austria	Registration No.	3/12/2015	Registered
Corporation		3227684		
Isoplexis	Belgium	Registration No.	3/12/2015	Registered
Corporation		3227684		

0	Carrada Visa di Aira	Dadama Amarika di ma	E!! D-4-	Chadasa
<u>Owner</u>	Country/Jurisdiction	<u>Patent Application</u> <u>Number</u>	<u>Filing Date</u>	<u>Status</u>
Isoplexis	Switzerland	Registration No.	3/12/2015	Registered
Corporation		3227684		
Isoplexis	Germany	Registration No.	3/12/2015	Registered
Corporation		3227684		
Isoplexis	Denmark	Registration No.	3/12/2015	Registered
Corporation		3227684		
Isoplexis	EPO	Registration No.	3/12/2015	Registered
Corporation		3227684		
Isoplexis	Finland	Registration No.	3/12/2015	Registered
Corporation		3227684		
Isoplexis	France	Registration No.	3/12/2015	Registered
Corporation		3227684		
Isoplexis	United Kingdom	Registration No.	3/12/2015	Registered
Corporation		3227684		
Isoplexis	Netherlands	Registration No.	3/12/2015	Registered
Corporation		3227684		
Isoplexis	Norway	Registration No.	3/12/2015	Registered
Corporation		3227684		
Isoplexis	Sweden	Registration No.	3/12/2015	Registered
Corporation		3227684		
Isoplexis	Japan	Registration No.	12/3/2015	Registered
Corporation		6767978	0.100.100.10	5 1
Yale	China	Registration No.	8/23/2013	Registered
University		ZL201380055627.0	0.00.100.10	D : 1
Yale	Japan	Registration No.	8/23/2013	Registered
University		6197039	10/2/2017	O.C.
Isoplexis	Japan	2020-70276	12/3/2015	Office Action
Corporation				Issued
Isoplexis	China	201580075327.8	12/3/2015	Allowed
Corporation				
Isoplexis	China	201780069270.X	9/12/2017	Published
Corporation				
Isoplexis	EPO	17784054.3	9/12/2017	Published
Corporation				
Isoplexis	China	201780069.6	11/13/2017	Published
Corporation				
Isoplexis	EPO	17817330.8	11/13/2017	Allowed
Corporation				
Isoplexis	Japan	2019-546778	11/13/2017	Pending
Corporation				
Isoplexis	China	201780072119.1	11/22/2017	Published
Corporation				

<u>Owner</u>	Country/Jurisdiction	Patent Application Number	Filing Date	<u>Status</u>
Isoplexis Corporation	EPO	17874490.0	11/22/2017	Published

# Schedule B to Patent and Trademark Security Agreement

# TRADEMARKS AND TRADEMARK APPLICATIONS

# <u>US</u>

<u>Owner</u>	<u>Trademarks</u>	Application Number	Filing Date	<u>Status</u>	Registration No.	Registration <u>Date</u>
Isoplexis Corporation	ALL GREAT JOURNEYS IN LIFE SCIENCE BEGIN WITH A SINGLE CELL	Serial Number 88261088	1/14/2019	Pending	n/a	n/a
Isoplexis Corporation	CODEPLEX	Serial Number 87715471	12/11/2017	Allowed	n/a	n/a
Isoplexis Corporation	EACH CELL MAKES A DIFFERENCE	Serial Number 88507816	7/10/2019	Registered	6111011	7/28/2020
Isoplexis Corporation	ELEVATE YOUR DATA	Serial Number 87543827	7/26/2017	Allowed	n/a	n/a
Isoplexis Corporation	FOLLOW THE DATA	Serial Number 88288494	2/4/2019	Pending	n/a	n/a
Isoplexis Corporation	ELISA, REIMAGINED	Serial Number 90259272	10/16/2020	Pending	n/a	n/a
Isoplexis Corporation	ISOCODE	Serial Number 87308662	1/20/2017	Registered	5403065	2/13/2018
Isoplexis Corporation	ISOCOMPASS	Serial Number 87693900	11/21/2017	Allowed	n/a	n/a
Isoplexis Corporation	ISOLIGHT	Serial Number 87196386	10/7/2016	Registered	5402658	2/13/2018
Isoplexis Corporation	ISOLIGHTDX	Serial Number 876664434	10/30/2017	Allowed	n/a	n/a
Isoplexis Corporation	ISOMAP	Serial Number 87693904	11/21/2017	Allowed	n/a	n/a
Isoplexis Corporation	ISO-OMIX	Serial Number 87370233	3/14/2017	Allowed	n/a	n/a
Isoplexis Corporation	ISOORBIT	Serial Number 87715461	12/11/2017	Allowed	n/a	n/a

<u>Owner</u>	<u>Trademarks</u>	Application Number	Filing Date	<u>Status</u>	Registration No.	Registration Date
Isoplexis Corporation	ISOPLEXIS	Serial Number 86333355	7/10/2014	Registered	5386927	1/23/2018
Isoplexis Corporation	ISOPLEXIS LOGO (New 2019)	Serial Number 88507798	7/10/2019	Registered	6116215	8/4/2020
Isoplexis Corporation	ISOPREP	Serial Number 88160813	10/18/2018	Published	n/a	n/a
Isoplexis Corporation	ISOPROSEQ	Serial Number 87664413	10/30/2017	Allowed	n/a	n/a
Isoplexis Corporation	ISOPSI	Serial Number 87951196	6/6/2018	Allowed	n/a	n/a
Isoplexis Corporation	ISOSEQ	Serial Number 87664418	10/30/2017	Allowed	n/a	n/a
Isoplexis Corporation	ISOSEQPRO	Serial Number 87664416	10/30/2017	Allowed	n/a	n/a
Isoplexis Corporation	ISOSPEAK	Serial Number 87370203	3/14/2017	Registered	5874728	10/1/2019
Isoplexis Corporation	ISOVERSE	Serial Number 87715498	12/11/2017	Allowed	n/a	n/a
Isoplexis Corporation	OMIXPLEX	Serial Number 87715477	12/11/2017	Allowed	n/a	n/a
Isoplexis Corporation	PII	Serial Number 88669431	10/25/2019	Registered	6063771	5/26/2020
Isoplexis Corporation	POLYFUNCTION AL INFLAMMATION INDEX	Serial Number 88669441	10/25/2019	Registered	6186915	10/27/2020
Isoplexis Corporation	POLYFUNCTION AL STRENGTH INDEX	Serial Number 88669455	10/25/2019	Registered	6186916	10/27/2020
Isoplexis Corporation	PROSEQPLEX	Serial Number 87715485	12/11/2017	Allowed	n/a	n/a
Isoplexis Corporation	PSI	Serial Number 87951188	6/6/2018	Registered	5939011	12/17/2019
Isoplexis Corporation	SCBC	Serial Number 86937145	3/11/2016	Registered	5932256	12/10/2019

Owner	<u>Trademarks</u>	Application Number	Filing Date	<u>Status</u>	Registration No.	Registration <u>Date</u>
Isoplexis Corporation	SHED LIGHT ON THE SMALL THINGS THAT MAKE A BIG DIFFERENCE	Serial Number 88261077	1/14/2019	Pending	n/a	n/a
Isoplexis Corporation	WE GET MORE FROM SINGLE CELLS	Serial Number 86540691	2/20/2015	Registered	4891759	1/26/2016
Isoplexis Corporation	ISOSPARK	Serial Number 90062847	7/20/2020	Pending	n/a	n/a
Isoplexis Corporation	PROTEOMIC ABC	Serial Number 88837927	3/17/2020	Pending	n/a	n/a
Isoplexis Corporation	OMIC ABC	Serial Number 88837929	3/17/2020	Pending	n/a	n/a
Isoplexis Corporation	PSD	Serial Number 90312011	11/11/2020	Pending	n/a	n/a
Isoplexis Corporation	ISOHERO CELLS	Serial Number 90257634	10/15/2020	Pending	n/a	n/a
Isoplexis Corporation	ISOVILLAIN CELLS	Serial Number 90257642	10/15/2020	Pending	n/a	n/a
Isoplexis Corporation	ISOPLEXIS SUPERHERO CELLS	Serial Number 90257667	10/15/2020	Pending	n/a	n/a
Isoplexis Corporation	ISOPLEXIS SUPERVILLAIN CELLS	Serial Number 90257671	10/15/2020	Pending	n/a	n/a
Isoplexis Corporation	ISOPLEXIS SUPERPOWERE D BIOLOGY	Serial Number 90257684	10/15/2020	Pending	n/a	n/a

# <u>Foreign</u>

<u>Owner</u>	<u>Trademarks</u>	Country	Filing Date	<u>Status</u>	Registration No.
Isoplexis	ISOCODE	China	1/20/2017	Registered	1417471
Corporation					
Isoplexis	ISOCODE	Int'l Madrid	1/20/2017	Registered	1417471
Corporation					
Isoplexis	ISOLIGHT	China	10/7/2016	Registered	1410458
Corporation					
Isoplexis	ISOLIGHT	Int'l Madrid	10/7/2016	Registered	1410458
Corporation				_	

<u>Owner</u>	<u>Trademarks</u>	Country	Filing Date	<u>Status</u>	Registration No.
Isoplexis Corporation	ISOPLEXIS	China	7/10/2014	Registered	1410473
Isoplexis Corporation	ISOPLEXIS	Int'l Madrid	7/10/2014	Registered	1410473
Isoplexis Corporation	PSI	Int'l Madrid	6/6/2018	Registered	1411576

**RECORDED: 12/30/2020**