

TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

ETAS ID: TM622908

SUBMISSION TYPE:	NEW ASSIGNMENT		
NATURE OF CONVEYANCE:	Intellectual Property Security Agreement		
CONVEYING PARTY DATA			
Name	Formerly	Execution Date	Entity Type
SOURCE GLOBAL, PBC		01/28/2021	Corporation: DELAWARE
RECEIVING PARTY DATA			
Name:	Silicon Valley Bank		
Street Address:	3003 TASMAN DRIVE, HF 150		
City:	SANTA CLARA		
State/Country:	CALIFORNIA		
Postal Code:	95054		
Entity Type:	Corporation: CALIFORNIA		
PROPERTY NUMBERS Total: 15			
Property Type	Number	Word Mark	
Registration Number:	5842366	ZERO MASS WATER	
Registration Number:	5842367	ZERO MASS	
Registration Number:	5859028	HYDROPANEL	
Registration Number:	6115788	SOURCE	
Serial Number:	87401637	ZERO MASS	
Serial Number:	87782512	SOURCE	
Serial Number:	87782519	SOURCE	
Serial Number:	87401677	SOURCE	
Serial Number:	87982050	SOURCE	
Serial Number:	87401674	SOURCE	
Serial Number:	87981643	SOURCE	
Serial Number:	87981831	SOURCE	
Serial Number:	87401667	ZERO MASS WATER	
Serial Number:	88797366	SOLAIR	
Serial Number:	90171714		
CORRESPONDENCE DATA			
Fax Number:	8004947512		
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>			

OP \$390.00 5842366

Phone: 202-370-4756
Email: ipteam@cogencyglobal.com
Correspondent Name: Jay daSilva
Address Line 1: 1025 Vermont Ave NW, Suite 1130
Address Line 2: COGENCY GLOBAL INC.
Address Line 4: Washington, D.C. 20005

ATTORNEY DOCKET NUMBER: 1321019 TM

NAME OF SUBMITTER: Sarah Mackin

SIGNATURE: /Sarah Mackin/

DATE SIGNED: 01/28/2021

Total Attachments: 53

source=IP_Security_Agreement#page1.tif
source=IP_Security_Agreement#page2.tif
source=IP_Security_Agreement#page3.tif
source=IP_Security_Agreement#page4.tif
source=IP_Security_Agreement#page5.tif
source=IP_Security_Agreement#page6.tif
source=IP_Security_Agreement#page7.tif
source=IP_Security_Agreement#page8.tif
source=IP_Security_Agreement#page9.tif
source=IP_Security_Agreement#page10.tif
source=IP_Security_Agreement#page11.tif
source=IP_Security_Agreement#page12.tif
source=IP_Security_Agreement#page13.tif
source=IP_Security_Agreement#page14.tif
source=IP_Security_Agreement#page15.tif
source=IP_Security_Agreement#page16.tif
source=IP_Security_Agreement#page17.tif
source=IP_Security_Agreement#page18.tif
source=IP_Security_Agreement#page19.tif
source=IP_Security_Agreement#page20.tif
source=IP_Security_Agreement#page21.tif
source=IP_Security_Agreement#page22.tif
source=IP_Security_Agreement#page23.tif
source=IP_Security_Agreement#page24.tif
source=IP_Security_Agreement#page25.tif
source=IP_Security_Agreement#page26.tif
source=IP_Security_Agreement#page27.tif
source=IP_Security_Agreement#page28.tif
source=IP_Security_Agreement#page29.tif
source=IP_Security_Agreement#page30.tif
source=IP_Security_Agreement#page31.tif
source=IP_Security_Agreement#page32.tif
source=IP_Security_Agreement#page33.tif
source=IP_Security_Agreement#page34.tif
source=IP_Security_Agreement#page35.tif

source=IP_Security_Agreement#page36.tif
source=IP_Security_Agreement#page37.tif
source=IP_Security_Agreement#page38.tif
source=IP_Security_Agreement#page39.tif
source=IP_Security_Agreement#page40.tif
source=IP_Security_Agreement#page41.tif
source=IP_Security_Agreement#page42.tif
source=IP_Security_Agreement#page43.tif
source=IP_Security_Agreement#page44.tif
source=IP_Security_Agreement#page45.tif
source=IP_Security_Agreement#page46.tif
source=IP_Security_Agreement#page47.tif
source=IP_Security_Agreement#page48.tif
source=IP_Security_Agreement#page49.tif
source=IP_Security_Agreement#page50.tif
source=IP_Security_Agreement#page51.tif
source=IP_Security_Agreement#page52.tif
source=IP_Security_Agreement#page53.tif

INTELLECTUAL PROPERTY SECURITY AGREEMENT

This Intellectual Property Security Agreement (this "Agreement") is entered into as of January 28, 2021, by and between SILICON VALLEY BANK, a California corporation ("Bank") and SOURCE GLOBAL, PBC, a Delaware corporation ("Grantor").

RECITALS

A. Bank has agreed to make certain advances of money and to extend certain financial accommodations to Grantor (the "Loans") in the amounts and manner set forth in that certain Loan and Security Agreement by and between Bank and Grantor dated as of the date hereof (as the same may be amended, modified or supplemented from time to time, the "Loan Agreement"; capitalized terms used herein are used as defined in the Loan Agreement). Bank is willing to make the Loans to Grantor, but only upon the condition, among others, that Grantor shall grant to Bank a security interest in its Copyrights, Trademarks, Patents, and Mask Works (as each term is described below) to secure the obligations of Grantor to Bank.

B. Pursuant to the terms of the Loan Agreement, Grantor has granted to Bank a security interest in all of Grantor's right, title and interest, whether presently existing or hereafter acquired, in, to and under all of the Collateral.

NOW, THEREFORE, for good and valuable consideration, receipt of which is hereby acknowledged, and intending to be legally bound, as collateral security for the prompt and complete payment when due of Grantor's obligations to Bank, Grantor hereby represents, warrants, covenants and agrees as follows:

AGREEMENT

1. Grant of Security Interest. To secure Grantor's obligations to Bank, Grantor grants and pledges to Bank a security interest in all of Grantor's right, title and interest in, to and under its intellectual property (all of which shall collectively be called the "Intellectual Property Collateral"), including, without limitation, the following:

(a) Any and all copyright rights, copyright applications, copyright registrations and like protections in each work of authorship and derivative work thereof, whether published or unpublished and whether or not the same also constitutes a trade secret, now or hereafter existing, created, acquired or held, including without limitation those set forth on Exhibit A attached hereto (collectively, the "Copyrights");

(b) Any and all trade secrets, and any and all intellectual property rights in computer software and computer software products now or hereafter existing, created, acquired or held;

(c) Any and all design rights that may be available to Grantor now or hereafter existing, created, acquired or held;

(d) All patents, patent applications and like protections including, without limitation, improvements, divisions, continuations, renewals, reissues, extensions and continuations-in-part of the same, including without limitation the patents and patent applications set forth on Exhibit B attached hereto (collectively, the "Patents");

(e) Any trademark and servicemark rights, whether registered or not, applications to register and registrations of the same and like protections, and the entire goodwill of the business of Grantor connected with and symbolized by such trademarks, including without limitation those set forth on Exhibit C attached hereto (collectively, the "Trademarks");

(f) All mask works or similar rights available for the protection of semiconductor chips, now owned or hereafter acquired, including, without limitation those set forth on Exhibit D attached hereto (collectively, the "Mask Works");

(g) Any and all claims for damages by way of past, present and future infringements of any of the rights included above, with the right, but not the obligation, to sue for and collect such damages for said use or infringement of the intellectual property rights identified above;

(h) All licenses or other rights to use any of the Copyrights, Patents, Trademarks, or Mask Works and all license fees and royalties arising from such use to the extent permitted by such license or rights;

(i) All amendments, extensions, renewals and extensions of any of the Copyrights, Trademarks, Patents, or Mask Works; and

(j) All proceeds and products of the foregoing, including without limitation all payments under insurance or any indemnity or warranty payable in respect of any of the foregoing.

Notwithstanding anything to the contrary herein, the Intellectual Property Collateral shall not include any United States intent-to-use trademark or service mark applications filed pursuant to Section 1(b) of the Lanham Act, 15 U.S.C. § 1051, at all times prior to the filing of a "Statement of Use" pursuant to Section 1(d) of the Lanham Act or an "Amendment to Allege Use" pursuant to Section 1(c) of the Lanham Act with respect thereto with the United States Patent and Trademark Office or otherwise.

2. Recordation. Grantor authorizes the Commissioner for Patents, the Commissioner for Trademarks and the Register of Copyrights and any other government officials to record and register this Agreement upon request by Bank, such recordations to be facilitated by the Bank including the payment of any recordation fees. Upon the conclusion of the Loan Agreement and satisfaction of Grantor's obligations thereunder, Bank agrees to execute a release of the security interests in the Intellectual Property Collateral to enable Grantor to record such release, and Bank authorizes the Commissioner for Patents, the Commissioner for

Trademarks and the Register of Copyrights and any other government officials to record such release.

3. Authorization. Grantor hereby authorizes Bank to (a) modify this Agreement unilaterally by amending the exhibits to this Agreement to include any Intellectual Property Collateral which Grantor obtains subsequent to the date of this Agreement, and (b) file a duplicate original of this Agreement containing amended exhibits reflecting such new Intellectual Property Collateral.

4. Loan Documents. This Agreement has been entered into pursuant to and in conjunction with the Loan Agreement, which is hereby incorporated by reference. The provisions of the Loan Agreement shall supersede and control over any conflicting or inconsistent provision herein. The rights and remedies of Bank with respect to the Intellectual Property Collateral are as provided by the Loan Agreement and related documents, and nothing in this Agreement shall be deemed to limit such rights and remedies.

5. Execution in Counterparts. This Agreement may be executed in counterparts (and by different parties hereto in different counterparts), each of which shall constitute an original, but all of which when taken together shall constitute a single contract. Delivery of an executed counterpart of a signature page to this Agreement by facsimile or in electronic (i.e., "pdf" or "tif" format) shall be effective as delivery of a manually executed counterpart of this Agreement.

6. Successors and Assigns. This Agreement will be binding on and shall inure to the benefit of the parties hereto and their respective successors and assigns.

7. Governing Law. This Agreement and any claim, controversy, dispute or cause of action (whether in contract or tort or otherwise) based upon, arising out of or relating to this Agreement and the transactions contemplated hereby and thereby shall be governed by, and construed in accordance with, the laws of the United States and the State of California, without giving effect to any choice or conflict of law provision or rule (whether of the State of California or any other jurisdiction).

[Signature page follows.]

IN WITNESS WHEREOF, the parties have caused this Intellectual Property Security Agreement to be duly executed by its officers thereunto duly authorized as of the first date written above.

GRANTOR:

SOURCE GLOBAL, PBC

DocuSigned by:
Cody Friesen
By: _____
Name: Cody Friesen
Title: Chief Executive Officer

BANK:

SILICON VALLEY BANK

DocuSigned by:
Ryan Edwards
By: _____
Name: Ryan Edwards
Title: Director

EXHIBIT A

Copyrights

Description

Registration/
Application
Number

Registration/
Application
Date

None.

EXHIBIT B

Patents

S&W Ref. No.	ZMW Number	Title	Country	Serial No.	Filing Date	Patent No.	Issue Date	Status
77521.01850	Z0001 [Skysong]	Systems and Methods for Generating Liquid Water From Air	U.S.	62/082,335	11/20/2014	N/A	N/A	Expired; PCT and Non-Provisional Applications filed
77521.01851	Z0001 [Skysong]	Systems and Methods For Generating Liquid Water From Air	U.S.	62/145,995	04/10/2015	N/A	N/A	Expired; PCT and Non-Provisional Applications filed
77521.01816	Z0001 [Skysong]	Systems and Methods For Generating Liquid Water From Air	WO	PCT/US15/61921	11/20/2015	N/A	N/A	Complete - National Stage Applications Filed
77521.01800	Z0001 [Skysong]	Systems and Methods for Generating Liquid Water From Air	U.S.	15/528,366	5/19/2017	10,835,861	11/17/2020	Issued Applicant: Arizona Board of Regents on Behalf of ASU

<u>S&W Ref. No.</u>	<u>ZMW Number</u>	<u>Title</u>	<u>Country</u>	<u>Serial No.</u>	<u>Filing Date</u>	<u>Patent No.</u>	<u>Issue Date</u>	<u>Status</u>
77521.01817	Z0001 [Skysong]	Systems and Methods for Generating Liquid Water From Air	U.S. - Con	17/081,898	10/27/2020			Awaiting Examination Applicant: Arizona Board of Regents on Behalf of ASU
77521.01801	Z0001	Systems and Methods for Generating Liquid Water From Air	AU	2015349722	11/20/2015			Allowed Applicant: Arizona Board of Regents on Behalf of ASU
77521.01803	Z0001	Systems and Methods for Generating Liquid Water From Air	CA	2,975,167	11/20/2015			Pending Applicant: Arizona Board of Regents on Behalf of ASU

<u>S&W Ref. No.</u>	<u>ZMW Number</u>	<u>Title</u>	<u>Country</u>	<u>Serial No.</u>	<u>Filing Date</u>	<u>Patent No.</u>	<u>Issue Date</u>	<u>Status</u>
77521.01805	Z0001	Systems and Methods for Generating Liquid Water From Air	EP	15825979.6	11/20/2015			Pending Applicant: Arizona Board of Regents on Behalf of ASU
77521.01808	Z0001	Systems and Methods for Generating Liquid Water From Air	HK	18104719.2	11/20/2015			Pending Applicant: Arizona Board of Regents on Behalf of ASU
77521.01813	Z0001	Systems and Methods for Generating Liquid Water From Air	MX	MX/a/2017/013258	10/13/2017			Pending Applicant: Arizona Board of Regents on Behalf of ASU

<u>S&W Ref. No.</u>	<u>ZMW Number</u>	<u>Title</u>	<u>Country</u>	<u>Serial No.</u>	<u>Filing Date</u>	<u>Patent No.</u>	<u>Issue Date</u>	<u>Status</u>
77521.01828	Z0001	Systems and Methods for Generating Liquid Water From Air	BR	BR 11 2017 021842 9	11/20/2015			Pending Applicant: Arizona Board of Regents on Behalf of ASU
77521.01834	Z0001	Systems and Methods for Generating Liquid Water From Air	CN	201580073914.3	11/20/2015			Pending Applicant: Arizona Board of Regents on Behalf of ASU
77521.01881	Z0001	Systems and Methods for Generating Liquid Water From Air	ZA	2017/04960	11/20/2015			Pending Applicant: Arizona Board of Regents on Behalf of ASU

<u>S&W Ref. No.</u>	<u>ZMW Number</u>	<u>Title</u>	<u>Country</u>	<u>Serial No.</u>	<u>Filing Date</u>	<u>Patent No.</u>	<u>Issue Date</u>	<u>Status</u>
77521.01880	Z0001	Systems and Methods for Generating Liquid Water From Air	SG	11201706022U	11/20/2015			Pending Applicant: Arizona Board of Regents on Behalf of ASU
77521.01893	Z0001	Systems and Methods for Generating Liquid Water From Air	SG - Div. #1	10201913082R	11/20/2015			Pending
77521.01750	Z0003 [Extraction Efficiency Control]	Systems and Methods for Water Extraction Control	U.S.	62/339,649	05/20/2016	N/A	N/A	Expired; PCT and Non-Provisional Applications filed
77521.01716	Z0003 [Extraction Efficiency Control]	Systems and Methods for Water Extraction Control	WO	PCT/US17/33540	05/19/2017	N/A	N/A	Complete - National Stage Applications Filed
77521.01700	Z0003 [Extraction Efficiency Control]	Systems and Methods for Water Extraction Control	U.S.	15/600,046	5/19/2017	10,357,739	07/23/2019	Issued
77521.01717	Z0003 [Extraction Efficiency Control]	Systems and Methods for Water Extraction Control	U.S.	16/517,435	07/19/2019	10,632,416	04/28/2020	Issued

<u>S&W Ref. No.</u>	<u>ZMW Number</u>	<u>Title</u>	<u>Country</u>	<u>Serial No.</u>	<u>Filing Date</u>	<u>Patent No.</u>	<u>Issue Date</u>	<u>Status</u>
77521.01736	Z0003	Systems and Methods for Water Extraction Control	U.S.	16/820,587	03/16/2020			Pending
77521.01701	Z0003	Systems and Methods for Water Extraction Control	AU	2017267967	5/19/2017			Pending
77521.01720	Z0003	Systems and Methods for Water Extraction Control	TW	106116793	5/19/2017			Pending
77521.01734	Z0003	Systems and Methods for Water Extraction Control	CN	201780044144.9	5/19/2017			Pending
77521.01778	Z0003	Systems and Methods for Water Extraction Control	SA	518400468	11/20/2018			Pending
77521.01781	Z0003	Systems and Methods for Water Extraction Control	ZA	2018/08579	12/19/2018			Pending
77521.01793	Z0003	Systems and Methods for Water Extraction Control	AE	P6001601/2018	11/20/2018			Pending
77521.01450	Z0015 [Rexi]	Thermal Desiccant Systems and Methods for Generating Liquid Water	U.S.	62/837,115	04/22/2019	N/A	N/A	Expired; PCT and Non-Provisional Applications filed
77521.01451	Z0015 [Rexi]	Thermal Desiccant Systems and Methods for Generating Liquid Water	U.S.	62/939,478	11/22/2019	N/A	N/A	Expired; PCT and Non-Provisional Applications filed

<u>S&W Ref. No.</u>	<u>ZMW Number</u>	<u>Title</u>	<u>Country</u>	<u>Serial No.</u>	<u>Filing Date</u>	<u>Patent No.</u>	<u>Issue Date</u>	<u>Status</u>
77521.01400	Z0015 [Rexil]	Thermal Desiccant Systems and Methods for Generating Liquid Water	U.S.	16/855,965	04/22/2020			Pending
77521.01416	Z0015 [Rexil]	Thermal Desiccant Systems and Methods for Generating Liquid Water	WO	PCT/US2020/029401	04/22/2020			National Stage Deadline 10/22/2021
77521.01650	Z0002 [Solar Thermal Unit]	Solar Thermal Unit	U.S.	62/319,721	04/07/2016	N/A	N/A	Expired; PCT and Non-Provisional Applications filed
77521.01616	Z0002 [Solar Thermal Unit]	Solar Thermal Unit	WO	PCT/US17/26609	04/07/2017	N/A	N/A	Complete - National Stage Applications Filed
77521.01600	Z0002 [Solar Thermal Unit]	Solar Thermal Unit	U.S.	15/482,104	4/4/2017	10,469,028	11/05/2019	Issued
77521.01617	Z0002 - C1	Solar Thermal Unit	U.S.	16/543,323	08/16/2019			Pending
77521.01601	Z0002	Solar Thermal Unit	AU	2017248330	4/7/2017			Pending
77521.01608	Z0002	Solar Thermal Unit	HK	19125860.7	02/28/2020			Pending
77521.01610	Z0002	Solar Thermal Unit	JP	2019-503636	10/5/2018			Pending
77521.01620	Z0002	Solar Thermal Unit	TW	106111605	4/6/2017			Pending
77521.01634	Z0002	Solar Thermal Unit	CN	201780033378.3	11/29/2018			Pending

<u>S&W Ref. No.</u>	<u>ZMW Number</u>	<u>Title</u>	<u>Country</u>	<u>Serial No.</u>	<u>Filing Date</u>	<u>Patent No.</u>	<u>Issue Date</u>	<u>Status</u>
77521.01656	Z0002	Solar Thermal Unit	IN	201817041697	11/3/2018			Pending
77521.01678	Z0002	Solar Thermal Unit	SA	518400195	10/7/2018			Pending
77521.01681	Z0002	Solar Thermal Unit	ZA	2018/07442	11/6/2018			Allowed
77521.01693	Z0002	Solar Thermal Unit	AE	P6001404/2018	10/7/2018			Pending
77521.01694	Z0002	Solar Thermal Unit	MY	PI 2018703694	10/5/2018			Pending
77521.01950	Z0008 [Ozonation]	Systems for Controlled Treatment of Water with Ozone and Related Methods Therefor	U.S.	62/532,973	07/14/2017	N/A	N/A	Expired; PCT and Non-Provisional Application filed
77521.01916	Z0008 [Ozonation]	Systems for Controlled Treatment of Water with Ozone and Related Methods Therefor	WO	PCT/US18/42098	7/13/2018	NA	NA	Complete - National Stage Applications Filed
77521.01900	Z0008 [Ozonation]	Systems for Controlled Treatment of Water with Ozone and Related Methods Therefor	U.S.	16/630,824	01/13/2020			Pending

<u>S&W Ref. No.</u>	<u>ZMW Number</u>	<u>Title</u>	<u>Country</u>	<u>Serial No.</u>	<u>Filing Date</u>	<u>Patent No.</u>	<u>Issue Date</u>	<u>Status</u>
77521.01901	Z0008	Systems for Controlled Treatment of Water with Ozone and Related Methods Therefor	AU	2018300250	01/09/2020			Pending
77521.01913	Z0008	Systems for Controlled Treatment of Water with Ozone and Related Methods Therefor	MX	MX/a/2020/000464	01/13/2020			Pending
77521.01956	Z0008	Systems for Controlled Treatment of Water with Ozone and Related Methods Therefor	IN	202017005710	02/10/2020			Pending
77521.01974	Z0008	Systems for Controlled Treatment of Water with Ozone and Related Methods Therefor	PH	1/2020/500092	01/14/2020			Pending

ny-1365681

<u>S&W Ref. No.</u>	<u>ZMW Number</u>	<u>Title</u>	<u>Country</u>	<u>Serial No.</u>	<u>Filing Date</u>	<u>Patent No.</u>	<u>Issue Date</u>	<u>Status</u>
77521.01986	Z0008	Systems for Controlled Treatment of Water with Ozone and Related Methods Therefor	TH	2001000230	01/14/2020			Pending
77521.01993	Z0008	Systems for Controlled Treatment of Water with Ozone and Related Methods Therefor	MY	PI 2020000227	01/14/2020			Pending
77521.01994	Z0008	Systems for Controlled Treatment of Water with Ozone and Related Methods Therefor	UAE	P6000064/2020	01/14/2020			Pending
77521.02050	Z0004 [Hub and Spoke Logic Array]	Systems and Methods for Managing Production and Distribution of Liquid Water Extracted from Air	U.S.	62/554,176	9/5/2017	NA	NA	Expired; PCT International Application filed

ny-1365681

<u>S&W Ref. No.</u>	<u>ZMW Number</u>	<u>Title</u>	<u>Country</u>	<u>Serial No.</u>	<u>Filing Date</u>	<u>Patent No.</u>	<u>Issue Date</u>	<u>Status</u>
77521.02016	Z0004	Systems and Methods for Managing Production and Distribution of Liquid Water Extracted from Air	WO	PCT/US18/49411	9/1/2018	N/A	N/A	Complete - National Stage Applications Filed
77521.02000	Z0004	Systems and Methods for Managing Production and Distribution of Liquid Water Extracted from Air	U.S.	16/644,465	03/04/2020			Pending
77521.02001	Z0004	Systems and Methods for Managing Production and Distribution of Liquid Water Extracted from Air	AU	2018329665	04/08/2020			Pending
77521.02013	Z0004	Systems and Methods for Managing Production and Distribution of Liquid Water Extracted from Air	MX	MX/a/2020/002482	03/04/2020			Pending

ny-1365681

<u>S&W Ref. No.</u>	<u>ZMW Number</u>	<u>Title</u>	<u>Country</u>	<u>Serial No.</u>	<u>Filing Date</u>	<u>Patent No.</u>	<u>Issue Date</u>	<u>Status</u>
77521.02056	Z0004	Systems and Methods for Managing Production and Distribution of Liquid Water Extracted from Air	IN	202017014752	04/02/2020			Pending
77521.02074	Z0004	Systems and Methods for Managing Production and Distribution of Liquid Water Extracted from Air	PH	1/2020/500422	03/03/2020			Pending
77521.02086	Z0004	Systems and Methods for Managing Production and Distribution of Liquid Water Extracted from Air	TH	2001000878	02/14/2020			Pending
77521.02094	Z0004	Systems and Methods for Managing Production and Distribution of Liquid Water Extracted from Air	UAE	P6000305/2020	03/03/2020			Pending
77521.02150	Z0005 [Adjunct Canister]	Systems and Methods to Product Liquid Water Extracted from Air	U.S.	62/554,231	09/05/2017	NA	NA	Expired; PCT International Application Filed

ny-1365681

<u>S&W Ref. No.</u>	<u>ZMW Number</u>	<u>Title</u>	<u>Country</u>	<u>Serial No.</u>	<u>Filing Date</u>	<u>Patent No.</u>	<u>Issue Date</u>	<u>Status</u>
77521.02116	Z0005 [Adjunct Canister]	Systems and Methods to Produce Liquid Water Extracted From Air	WO	PCT/US18/49398	9/4/2018	N/A	N/A	Complete - National Stage Applications Filed
77521.02100	Z0005	Systems and Methods to Produce Liquid Water Extracted From Air	U.S.	16/644,487	03/04/2020			Pending
77521.02101	Z0005	Systems and Methods to Produce Liquid Water Extracted From Air	AU	2018329660	03/27/2020			Pending
77521.02113	Z0005	Systems and Methods to Produce Liquid Water Extracted From Air	MX	MX/a/2020/002481	03/04/2020			Pending
77521.02156	Z0005	Systems and Methods to Produce Liquid Water Extracted From Air	IN	202017014720	04/02/2020			Pending
77521.02174	Z0005	Systems and Methods to Produce Liquid Water Extracted From Air	PH	1/2020/500442	03/05/2020			Pending

ny-1365681

<u>S&W Ref. No.</u>	<u>ZMW Number</u>	<u>Title</u>	<u>Country</u>	<u>Serial No.</u>	<u>Filing Date</u>	<u>Patent No.</u>	<u>Issue Date</u>	<u>Status</u>
77521.02186	Z0005	Systems and Methods to Produce Liquid Water Extracted From Air	TH	2001001242	03/03/2020			Pending
77521.02194	Z0005	Systems and Methods to Produce Liquid Water Extracted From Air	UAE	P6000304/2020	03/03/2020			Pending
77521.02250	Z0009 [Waste Heat]	Systems for Generating Water With Waste Heat and Related Methods Therefor	U.S.	62/569,381	10/06/2017	NA	NA	Expired; PCT International Application Filed
77521.02216	Z0009 [Waste Heat]	Systems for Generating Water With Waste Heat and Related Methods Therefor	WO	PCT/US18/54715	10/5/2018	N/A	N/A	Complete - National Stage Applications Filed
77521.02200	Z0009	Systems for Generating Water With Waste Heat and Related Methods Therefor	U.S.	16/753,560	04/03/2020			Pending
77521.02201	Z0009	Systems for Generating Water With Waste Heat and Related Methods Therefor	AU	2018346803	Awaiting filing particulars			Pending

<u>S&W Ref. No.</u>	<u>ZMW Number</u>	<u>Title</u>	<u>Country</u>	<u>Serial No.</u>	<u>Filing Date</u>	<u>Patent No.</u>	<u>Issue Date</u>	<u>Status</u>
77521.02213	Z0009	Systems for Generating Water With Waste Heat and Related Methods Therefor	MX	MX/a/2020/004213	04/23/2020			Pending
77521.02256	Z000	Systems for Generating Water With Waste Heat and Related Methods Therefor	IN	202017018553	04/30/2020			Pending
77521.02274	Z0009	Systems for Generating Water With Waste Heat and Related Methods Therefor	PH	1/2020/550248	04/30/2020			Pending
77521.02286	Z0009	Systems for Generating Water With Waste Heat and Related Methods Therefor	TH	2001001912	04/01/2020			Pending
77521.02293	Z0009	Systems for Generating Water With Waste Heat and Related Methods Therefor	UAE	P6000499/2020	04/02/2020			Pending
77520.02350	Z0006 [Machine-Learning]	Systems for Constructing Hierarchical Training Data Sets for Use With Machine-Learning and Related Methods Therefor	U.S.	62/595,445	12/06/2017	NA	NA	Expired, PCT and Non-Provisional Application filed

<u>S&W Ref. No.</u>	<u>ZMW Number</u>	<u>Title</u>	<u>Country</u>	<u>Serial No.</u>	<u>Filing Date</u>	<u>Patent No.</u>	<u>Issue Date</u>	<u>Status</u>
77521.02316	Z0006 [Machine-Learning]	Systems for Constructing Hierarchical Training Data Sets for Use With Machine-Learning and Related Methods Therefor	WO	PCT/US18/64308	12/6/2018	N/A	N/A	Complete - National Stage Applications Filed
77521.02300	Z0006	Systems for Constructing Hierarchical Training Data Sets for Use with Machine-Learning and Related Methods Therefor	U.S.	16/211,896	12/6/2018			Pending
77521.02301	Z0006	Systems for Constructing Hierarchical Training Data Sets for Use with Machine-Learning and Related Methods Therefor	AU	2018380168	06/30/2020			Pending
77521.02313	Z0006	Systems for Constructing Hierarchical Training Data Sets for Use with Machine-Learning and Related Methods Therefor	MX	MX/a/2020/005896	06/05/2020			Pending

ny-1365681

<u>S&W Ref. No.</u>	<u>ZMW Number</u>	<u>Title</u>	<u>Country</u>	<u>Serial No.</u>	<u>Filing Date</u>	<u>Patent No.</u>	<u>Issue Date</u>	<u>Status</u>
77521.02356	Z0006	Systems for Constructing Hierarchical Training Data Sets for Use with Machine-Learning and Related Methods Therefor	IN	202017028497	07/04/2020			Pending
77521.02380	Z0006	Systems for Constructing Hierarchical Training Data Sets for Use with Machine-Learning and Related Methods Therefor	SG	11202005334R	06/05/2020			Pending
77521.00150	Z0011 [Container Farm]	Systems for Generating Water for A Shipping Container Farm and Related Methods Therefor	U.S.	62/631,936	02/18/2018	NA	NA	Expired; PCT and Non-Provisional Application filed
77521.00151	Z0012 [Container Farm]	Systems for Generating Water For A Shipping Container Farm and Related Methods Therefor	U.S.	62/637,958	03/02/2018	NA	NA	Expired; PCT and Non-Provisional Application filed

ny-1365681

<u>S&W Ref. No.</u>	<u>ZMW Number</u>	<u>Title</u>	<u>Country</u>	<u>Serial No.</u>	<u>Filing Date</u>	<u>Patent No.</u>	<u>Issue Date</u>	<u>Status</u>
77521.00116	Z0011 and 0012 [Container Farm]	Systems for Generating Water for a Shipping Container Farm and Related Methods Therefor	WO	PCT/US19/18431	02/18/2019	N/A	N/A	Complete - National Stage Applications Filed
77521.00100	Z0011 and 0012 [Container Farm]	Systems for Generating Water for a Shipping Container Farm and Related Methods Therefor	U.S.	16/278,608	02/18/2019			Pending
77521.00101	Z0011 and 0012 [Container Farm]	Systems for Generating Water for a Shipping Container Farm and Related Methods Therefor	AU	2019221791	08/25/2020			Pending
77521.00113	Z0011 and 0012 [Container Farm]	Systems for Generating Water for a Shipping Container Farm and Related Methods Therefor	MX	MX/a/2020/008596	08/17/2020			Pending
77521.00156	Z0011 and 0012 [Container Farm]	Systems for Generating Water for a Shipping Container Farm and Related Methods Therefor	IN	202017037089	08/28/2020			Pending

<u>S&W Ref. No.</u>	<u>ZMW Number</u>	<u>Title</u>	<u>Country</u>	<u>Serial No.</u>	<u>Filing Date</u>	<u>Patent No.</u>	<u>Issue Date</u>	<u>Status</u>
77521.01350	Z0010	Systems For Generating Water Using Exogenously Generated Heat, Exogenously Generated Electricity, And Exhaust Process Fluids And Related Methods Therefor	U.S.	62/670,392	05/11/2018	NA	NA	Expired; PCT and Non-Provisional Application filed
77521.01351	Z0010	Systems For Generating Water Using Exogenously Generated Heat, Exogenously Generated Electricity, And Exhaust Process Fluids And Related Methods Therefor	U.S.	62/670,408	05/11/2018	NA	NA	Expired; PCT and Non-Provisional Application filed
77521.01316	Z0010 [Exogenous Sourcing]	Systems for Generating Water Using Exogenously Generated Heat, Exogenously Generated Electricity, and Exhaust Process Fluids and Related Methods Therefor	WO	PCT/US19/32066	05/13/2019	N/A	N/A	Complete - National Stage Applications Filed

<u>S&W Ref. No.</u>	<u>ZMW Number</u>	<u>Title</u>	<u>Country</u>	<u>Serial No.</u>	<u>Filing Date</u>	<u>Patent No.</u>	<u>Issue Date</u>	<u>Status</u>
77521.01300	Z0010	Systems for Generating Water Using Exogenously Generated Heat, Exogenously Generated Electricity, and Exhaust Process Fluids and Related Methods Therefor	U.S.	16/411,048	05/13/2019			Pending
77521.01301	Z0010	Systems for Generating Water Using Exogenously Generated Heat, Exogenously Generated Electricity, and Exhaust Process Fluids and Related Methods Therefor	AU	2019265024	11/17/2021			Awaiting Examination
77521.01356	Z0010	Systems for Generating Water Using Exogenously Generated Heat, Exogenously Generated Electricity, and Exhaust Process Fluids and Related Methods Therefor	IN	202017050906	11/23/2020			Request for Examination Deadline 05/11/2022

ny-1365681

77521.01393	Z0010	Systems for Generating Water Using Exogenously Generated Heat, Exogenously Generated Electricity, and Exhaust Process Fluids and Related Methods Therefor	UAE	P6001579/2020	11/11/2020			Awaiting Examination
77521.02500	Z0007	Systems and Methods for Detecting and Measuring Oxidizing Compounds in Test Fluids	U.S.	16/167,295	10/22/2018			Pending
77521.02517	Z0007	Systems and Methods for Detecting and Measuring Oxidizing Compounds in Test Fluids	U.S.	16/791,895	02/14/2020			Pending
77521.02516	Z0007	Systems and Methods for Detecting and Measuring Oxidizing Compounds in Test Fluids	U.S.	PCT/US19/57492	10/22/2019	NA	NA	National Stage Entry Deadline – 04/22/2021
77521.02650	Z0013	Systems and Methods for generating Liquid Water	U.S.	62/748,285	10/19/2018	NA	NA	Expired – PCT and US Application filed
	Z0013	[Gen G; Negative Pressure System]						

77521.02750	Z0014	Systems and Methods for Generating Liquid Water Using Highly Efficient Techniques That Optimize Production	U.S.	62/772,393	11/28/2018	NA	NA	Expired – PCT and US Application filed
77521.02700	Z0013 and 14	Systems and Methods for Generating Liquid Water Using Highly Efficient Techniques That Optimize Production	U.S.	16/657,935	10/18/2019			Pending
77521.02716	Z0013 and 14	Systems and Methods for Generating Liquid Water Using Highly Efficient Techniques That Optimize Production	PCT	PCT/US19/57081	10/18/2019	NA	NA	<u>National Stage Entry Deadline – 04/19/2021</u>
77521.02850	Z0016 [AWE]	Systems and Methods for Wearable Atmospheric Vapor Extraction	U.S.	62/966,491	01/27/2020	NA	NA	<u>Deadline for filing US and PCT/foreign applications 01/27/2021</u>
77521.03250	Z0018 [SAS]	Systems And Methods For Water Treatment And Storage [SAS System]	U.S.	63/106,093	10/27/2020	N/A	N/A	<u>Deadline for filing US and PCT/foreign applications 10/27/2021</u>

EXHIBIT C

Trademarks

S&W Client Matter No.	ZMW Ref. No.	Trademark	Country	Serial No./ Reg No.	Filing Date/ Reg. Date	Goods/ Services and Int'l Class	Status
77521.00217		ZERO MASS WATER	U.S.	87/980,757 5,842,366	2/2/2018 08/27/2019	Systems for extracting potable water from air comprising solar heat collection panels and a desiccant (Int'l Class 11);	Registered
77521.00317		ZERO MASS	U.S.	87/980,778 5,842,367	2/2/2018 08/27/2019	Systems for extracting potable water from air comprising solar heat collection panels and a desiccant (Int'l Class 11)	Registered
77521.00400	ZMW-TM-004-US1	ZERO MASS	U.S.	87/401,637 5,891,710	4/6/2017 10/22/2019	Computer application software for mobile phones and smart devices, namely, computer application software to provide database and dashboard communication and management for a water from air system using solar panels and a desiccant to extract water from air; solar panels for the production of electricity; solar panels for the production of electricity to be used to operate software for use in collecting wired and wireless data via sensors; solar panels for the production of electricity to be used to operate a water from air system using solar panels and a desiccant to extract water from air (Int'l Class 9)	Registered
						Solar panels, namely, solar heat collection panels for use in the production of potable water and heat; tap water faucets; apparatus for filtering drinking water; filtering units for producing potable water for domestic use (Int'l Class 11)	

TRADEMARK

S&W Client Matter No.	ZMW Ref. No.	Trademark	Country	Serial No./ Reg No.	Filing Date/ Reg. Date	Goods/ Services and Int'l Class	Status
77521.00401	ZM-TM-004-AU1	ZERO MASS	Australia	1877638 1877638	10/04/2017 01/15/2019	Solar panels, namely, solar panels for the production of electricity, solar panels for the production of electricity to be used to operate software for use in collecting wired and wireless data via sensors, and solar panels for the production of electricity to be used to operate a water-from-air system using solar panels and a desiccant to extract water-from-air; Computer application software for mobile phones and smart devices, namely, software for use in database and dashboard communication and management; software for management of a device that uses solar energy to pull water vapor from the air, convert it to liquid water, and treat it so that it is suitable for drinking and dispensation via tap water faucets (Int'l Class 9) A device that extracts water vapor from the air using solar heat collection panels, converts it to liquid water, and treats it so that it is suitable for drinking and dispensation via tap water faucets; Solar panels, namely, solar heat collection panels for the production of potable water and heat; Tap water faucets; Apparatus for filtering drinking water; filtering units for producing potable water for domestic use (Int'l Class 11)	Registered

TRADEMARK

REEL: 007172 FRAME: 0339

S&W Client Matter No.	ZMW Ref. No.	Trademark	Country	Serial No./ Reg No.	Filing Date/ Reg. Date	Goods/ Services and Int'l Class	Status
77521.00413	ZMW-TM-004-MX1	ZERO MASS	Mexico	1956436 1837710	10/06/20017 12/15/2017	Computer application software for mobile phones and smart devices, namely, software for use in database and dashboard communication and management of a device that uses solar energy to pull water vapor from the air, convert it to liquid water, and treat it so that it is suitable for drinking and dispensation via tap water faucets; a device that uses solar energy to pull water vapor from the air, convert it to liquid water, and treat it so that it is suitable for drinking and dispensation via tap water faucets. (Int'l Class 9)	Registered
77521.00463	ZMW-TM-004-MX1	ZERO MASS	Mexico	1956435 1855013	10/06/2017 03/06/2018	A device that uses solar energy to pull water vapor from the air, convert it to liquid water, and treat it so that it is suitable for drinking and dispensation via tap water faucets; Tap water faucets; Apparatus for filtering drinking water; filtering units for producing potable water for domestic use (Int'l Class 11)	Registered
77521.00434	ZMW-TM-004-CN1	ZERO MASS	China	26758791 26758791	10/9/2017 09/21/2018	Computer application software for mobile phones and smart devices, namely, software for use in database and dashboard communication and management (Int'l Class 9).	Registered

TRADEMARK**REEL: 007172 FRAME: 0340**

S&W Client Matter No.	ZMW Ref. No.	Trademark	Country	Serial No./ Reg No.	Filing Date/ Reg. Date	Goods/ Services and Int'l Class	Status
77521.00456	ZMW-TM-004-IN1	ZERO MASS	India	3650509 3650509	10/5/2017 08/27/2019	Computer application software for mobile phones and smart devices, namely, software for use in database and dashboard communication and management of a device that uses solar energy to pull water vapor from the air, convert it to liquid water, and treat it so that it is suitable for drinking and dispensation via tap water faucets; a device that uses solar energy to pull water vapor from the air, convert it to liquid water, and treat it so that it is suitable for drinking and dispensation via tap water faucets (Int'l Class 9)	Registered
77521.00457	ZMW-TM-004-ID	ZERO MASS	Indonesia	AM/32596	10/6/2017	Same as above	Pending

TRADEMARK**REEL: 007172 FRAME: 0341**

S&W Client Matter No.	ZMW Ref. No.	Trademark	Country	Serial No./ Reg No.	Filing Date/ Reg. Date	Goods/ Services and Int'l Class	Status
77521.00474	ZMW-TM-004-PH	ZERO MASS	Philippines	4-2017-016081	10/5/2017	Computer application software for mobile phones and smart devices, namely, computer application software to provide database and dashboard communication and management for a water from air system using solar panels and adsorbent to extract water from air; solar panels for the production of electricity; solar panels for the production of electricity to be used to operate software for use in collecting wired and wireless data viasensors; solar panels for the production of electricity to be used to operate a water from air system using solar panels and a desiccant to extract water from air (Int'l Class 9)	Registered
77521.00481	ZMW-TM-004-ZA	ZERO MASS	South Africa	2018/22880	8/8/2018	Solar panels, namely, solar heat collection panels for use in the production of potable water and heat; tap water faucets; apparatus for filtering drinking water; filtering units for producing potable water for domestic use (Int'l Class 11)	Registered
77521.00493	ZMW-TM-004-KE	ZERO MASS	Kenya	103521	08/10/2018	Solar panels, namely, solar heat collection panels for use in the production of potable water and heat; tap water faucets; apparatus for filtering drinking water; filtering units for producing potable water for domestic use (Int'l Class 11)	Pending

TRADEMARK**REEL: 007172 FRAME: 0342**

S&W Client Matter No.	ZMW Ref. No.	Trademark	Country	Serial No./ Reg No.	Filing Date/ Reg. Date	Goods/ Services and Int'l Class	Status
77521.00494	ZMW-TM-004-AE	ZERO MASS	UAE	304969 304969	1/20/2019 05/05/2019	Same as above	Registered
77521.00495	ZMW-TM-004-CN2	ZERO MASS	China	26758790 26758790	10/9/2017 09/21/2018	Solar thermal collectors [heating]; solar collector; tap water faucets; apparatus for filtering drinking water; filtering units for producing potable water for domestic use (Int'l Class 11)	Registered
77521.00496	ZMW-TM-004-CN3	ZERO MASS	China	32638936 32638936	8/2/2018 06/14/2019	Water distribution installations (Int'l Class 11)	Registered
77521.00497	ZMW-TM-004-IN2	ZERO MASS	India	3650510 3650510	10/5/2017 08/27/2019	A device that uses solar energy to pull water vapor from the air, convert it to liquid water, and treat it so that it is suitable for drinking and dispensation via tap water faucets; Tap water faucets; Apparatus for filtering drinking water; filtering units for producing potable water for domestic use (Int'l Class 11)	Registered

TRADEMARK

REEL: 007172 FRAME: 0343

S&W Client Matter No.	ZMW Ref. No.	Trademark	Country	Serial No./ Reg No.	Filing Date/ Reg. Date	Goods/ Services and Int'l Class	Status
77521.00498	ZMW-TM-004-LB	ZERO MASS	Lebanon	75839/2017 181684	10/6/2017 Registered in August 2019 but effective 10/06/2017	Computer application software for mobile phones and smart devices, namely, computer application software to provide database and dashboard communication and management for a water from air system using solar panels and adsorbent to extract water from air; solar panels for the production of electricity; solar panels for the production of electricity to be used to operate software for use in collecting wired and wireless data viasensors; solar panels for the production of electricity to be used to operate a water from air system using solar panels and a desiccant to extract water from air (Int'l Class 9) Solar panels, namely, solar heat collection panels for use in the production of potable water and heat; tap water faucets; apparatus for filtering drinking water; filtering units for producing potable water for domestic use (Int'l Class 11)	Registered

TRADEMARK

S&W Client Matter No.	ZMW Ref. No.	Trademark	Country	Serial No./ Reg No.	Filing Date/ Reg. Date	Goods/ Services and Int'l Class	Status
77521.00500	ZMW-TM-006	HYDROPANEL (Supplemental)	U.S.	87/782,508 5859028	2/2/2018 09/10/2019	<p>Flavorings for beverages being essential oils (Int'l Class 3);</p> <p>Software for mobile phones and smart devices, namely, software to provide database and dashboard communication and management; solar panels for the production of electricity; solar panels for the production of electricity to be used to operate software for use in collecting wired and wireless datavia sensors (Int'l Class 9);</p> <p>Systems for extracting potable water from air comprising solar heat collection panels and desiccant; solar panel, namely, solar heat collection panels for use in the production of potable water and heat; drinking water faucets for systems that extract potable water from air using solar panels and desiccant; devices for filtering drinking water produced by systems for extracting potable water from air using solar panels and a desiccant; filtering units for producing potable water for domestic use, namely, filtering units for systems for extracting potable water from air using solar panels and a desiccant (Int'l Class 11)</p> <p>Water bottles sold empty (Int'l Class 21)</p> <p>Flavorings for beverages, other than essential oils (Int'l Class 30)</p>	Registered

TRADEMARK

S&W Client Matter No.	ZMW Ref. No.	Trademark	Country	Serial No./ Reg No.	Filing Date/ Reg. Date	Goods/ Services and Int'l Class	Status
77521.00540		HYDROPANEL	U.S.	1,427,632	08/01/2018	<p>Software for mobile phones and smart devices, namely, software to provide database and dashboard communication and management; solar panels for the production of electricity; solar panels for the production of electricity to be used to operate software for use in collecting wired and wireless data via sensors (Int'l Class 9);</p> <p>Systems for extracting potable water from air using solar panels and a desiccant; solar panels, namely, solar heat collection panels for use in the production of potable water and heat; drinking water faucets for systems that extract potable water from air using solar panels and a desiccant; devices for filtering drinking water produced by systems for extracting potable water from air using solar panels and a desiccant; filtering units for producing potable water for domestic use, namely, filtering units for systems for extracting potable water from air using solar panels and a desiccant (Int'l Class 11);</p> <p>Water bottles sold empty (Int'l Class 21)</p>	<p>Registered Renewal due 08/01/2022</p> <p>TRADEMARK</p> <p>Designated Countries: Australia - Statement of Grant China - Abandoned Indonesia - Statement of Grant India - Statement of Grant Japan - Allowed Kenya - Awaiting Examination Mexico - Statement of Grant Philippines - Abandoned</p>

S&W Client Matter No.	ZMW Ref. No.	Trademark	Country	Serial No./ Reg No.	Filing Date/ Reg. Date	Goods/ Services and Int'l Class	Status
77521.00581		HYDROPANEL	South Africa	2018/22283	08/02/2018	Software for mobile phones and smart devices, namely, software to provide database and dashboard communication and management; solar panels for the production of electricity; solar panels for the production of electricity to be used to operate software for use in collecting wired and wireless data via sensors (Int'l Class 9);	Pending
77521.00593	ZMW-TM-006-AE-01	HYDROPANEL	UAE	304970 304970	1/20/2019 05/05/2019	Software for mobile phones and smart devices, namely, software to provide database and dashboard communication and management; solar panels for the production of electricity; solar panels for the production of electricity to be used to operate software for use in collecting wired and wireless data via sensors (Int'l Class 9);	Registered
77521.00594	ZMW-TM-006-AE-02	HYDROPANEL	UAE	304971 304971	1/20/2019 05/05/2019	Systems for extracting potable water from air comprising solar heat collection panels and desiccant; solar panels, namely, solar heat collection panels for use in the production of potable water and heat; drinking water faucets for systems that extract potable water from air using solar panels and desiccant; devices for filtering drinking water produced by systems for extracting potable water from air using solar panels and a desiccant; filtering units for producing potable water for domestic use, namely, filtering units for systems for extracting potable water from air using solar panels and a desiccant (Int'l Class 11)	Registered

TRADEMARK**REEL: 007172 FRAME: 0347**

S&W Client Matter No.	ZMW Ref. No.	Trademark	Country	Serial No./ Reg No.	Filing Date/ Reg. Date	Goods/ Services and Int'l Class	Status
77521.00595	ZMW-TM-006-AE-03	HYDROPANEL	UAE	304972 304972	1/20/2019 05/05/2019	Water bottles sold empty (Int'l Class 21)	Registered
77521.00596		HYDROPANEL	South Africa	2018/22284	08/02/2018	Systems for extracting potable water from air comprising solar heat collection panels and adesiccant; solar panels, namely, solar heat collection panels for use in the production of potable water and heat; drinking water faucets for systems that extract potable water from air using solar panels and adesiccant; devices for filtering drinking water produced by systems for extracting potable water from air using solar panels and a desiccant; filtering units for producing potable water for domestic use, namely, filtering units for systems for extracting potable water from air using solar panels and a desiccant (Int'l Class 11)	Pending
7521.00597		HYDROPANEL	South Africa	2018/22296	08/02/2018	Water bottles sold empty (Int'l Class 21)	Pending
77521.00600	ZMW-TM-002-US2	SOURCE	U.S.	87/782,512	2/2/2018	Flavorings for beverages being essential oils, specifically, for use with a water from air system using solar panels and a desiccant to extract water from air (Int'l Class 3)	Allowed
						Flavorings for beverages, other than essential oils, specifically, for use with a water from air system using solar panels and a desiccant to extract water from air (Int'l Class 30)	

TRADEMARK

REEL: 007172 FRAME: 0348

S&W Client Matter No.	ZMW Ref. No.	Trademark	Country	Serial No./ Reg No.	Filing Date/ Reg. Date	Goods/ Services and Int'l Class	Status
77521.00700	ZMW-TM-001-US2	SOURCE	U.S.	87/782,519	2/2/2018	flavorings for beverages being essential oils, specifically, for use with a water from air system using solar panels and a desiccant to extract water from air (Int'l Class 3)	Allowed
77521.00800	ZMW-TM-002-US1	SOURCE	U.S.	87/401,677	4/6/2017	Solar panels for the production of electricity; solar panels for the production of electricity to be used to operate software for use in collecting wired and wireless data via sensors; solar panels for the production of electricity to be used to operate a water from air system using solar panels and a desiccant to extract water from air (Int'l Class 9); Water bottles sold empty (Int'l Class 21)	Pending
77521.00817		SOURCE	U.S.	87/982,050 6,021,499	4/6/2017 03/31/2020	Solar panels, namely, solar heat collection panels for use in the production of potable water and heat; tap water faucets for use with a water from air system using solar panels and a desiccant to extract water from air; apparatus for filtering drinking water produced by a water from air system using solar panels and a desiccant to extract water from air; filtering units for producing potable water for domestic use, namely, filtering units for a water from air system using solar panels and a desiccant to extract water from air (Int'l Class 11)	Registered

TRADEMARK

S&W Client Matter No.	ZMW Ref. No.	Trademark	Country	Serial No./ Reg No.	Filing Date/ Reg. Date	Goods/ Services and Int'l Class	Status
77521.00836		SOURCE	U.S.	87/982,657 6115788	4/6/2017 08/04/2020	Computer application software for mobile phones and smart devices, namely, computer application software to provide database and dashboard communication and management for a water from air system using solar panels and a desiccant to extract water from air (Int'l Class 9)	Registered TRADEMARK
77521.00801	ZMW-TM-002-AU1	SOURCE	Australia	1877637 1877637	10/4/2017 10/04/2027	A water treatment apparatus device that extracts water vapor from the air using solar heat collection panels, converts it to liquid water, and treats it so that it is suitable for drinking and dispensation via tap water faucets; Solar panels, namely, solar panels for the production of potable water, and heat; Tap water faucets; Apparatus for filtering drinking water; filtering units for producing potable water for domestic use (Int'l Class 11)	Registered
77521.00813	ZMW-TM-002-MX1	SOURCE	Mexico	1956441	10/6/2017	Computer application software for mobile phones and smart devices, namely, software for use in database and dashboard communication and management of a device that uses solar energy to pull water vapor from the air, convert it to liquid water, and treat it so that it is suitable for drinking and dispensation via tap water faucets; a device that uses solar energy to pull water vapor from the air, convert it to liquid water, and treat it so that it is suitable for drinking and dispensation via tap water faucets. (Int'l Class 9);	To be abandoned

S&W Client Matter No.	ZMW Ref. No.	Trademark	Country	Serial No./ Reg No.	Filing Date/ Reg. Date	Goods/ Services and Int'l Class	Status
77521.00856	ZMW-TM-002-IN1	SOURCE	India	3650507 3650507	10/5/2017 08/27/2019	Computer application software for mobile phones and smart devices, namely, software for use in database and dashboard communication and management of a device that uses solar energy to pull water vapor from the air, convert it to liquid water, and treat it so that it is suitable for drinking and dispensation via tap water faucets; a device that uses solar energy to pull water vapor from the air, convert it to liquid water, and treat it so that it is suitable for drinking and dispensation via tap water faucets (Int'l Class 9)	Registered
77521.00857	ZMW-TM-002-ID1	SOURCE	Indonesia	AM/ 32595	10/6/2017	To be confirmed scope in Class 9 and 11	Pending

TRADEMARK**REEL: 007172 FRAME: 0351**

S&W Client Matter No.	ZMW Ref. No.	Trademark	Country	Serial No./ Reg No.	Filing Date/ Reg. Date	Goods/ Services and Int'l Class	Status
77521.00874	ZMW-TM-002-PH	SOURCE	Philippines	4-2017-016080	10/5/2017	<p>Computer application software for mobile phones and smart devices, namely, computer application software to provide database and dashboard communication and management for a water from air system using solar panels and adsorbent to extract water from air; solar panels for the production of electricity; solar panels for the production of electricity to be used to operate software for use in collecting wired and wireless data via sensors; solar panels for the production of electricity to be used to operate a water from air system using solar panels and a desiccant to extract water from air (Int'l Class 9);</p> <p>Solar panels, namely, solar heat collection panels for use in the production of potable water and heat; tap water faucets for use with a water from air system using solar panels and a desiccant to extract water from air; apparatus for filtering drinking water produced by a water from air system using solar panels and a desiccant to extract water from air; filtering units for producing potable water for domestic use, namely, filtering units for a water from air system using solar panels and a desiccant to extract water from air (Int'l Class 11)</p>	Allowed

TRADEMARK

S&W Client Matter No.	ZMW Ref. No.	Trademark	Country	Serial No./ Reg No.	Filing Date/ Reg. Date	Goods/ Services and Int'l Class	Status
77521.00881		SOURCE	South Africa	2018/22881	8/8/2018	Solar panels, namely, solar heat collection panels for use in the production of potable water and heat; tap water faucets for use with a water from air system using solar panels and a desiccant to extract water from air; apparatus for filtering drinking water produced by a water from air system using solar panels and a desiccant to extract water from air; filtering units for producing potable water for domestic use, namely, filtering units for a water from air system using solar panels and a desiccant to extract water from air (Int'l Class 11)	Pending
77521.00893	ZMW-TM-002-AE	SOURCE	UAE	304968 304968	1/20/2019 05/05/2019	To be confirmed scope in Class 9 and 11	Registered
77521.00895	ZMW-TM-002-CN3	SOURCE	China	32751259 32751259	8/6/2018 10/14/2020	Solar thermal collectors; solar collector; water supply (Int'l Class 11).	Registered
77521.00896	ZMW-TM-002-IN2	SOURCE	India	3650508 3650508	10/5/2017 Registered in 02/19/2020 but effective as of 10/06/2017	Device utilizing solar energy for pulling water vapor from air, to create and supply potable water; Tap water faucets; Apparatus for filtering drinking water; filtering units for producing potable water for domestic use (Int'l Class 11)	Registered

TRADEMARK**REEL: 007172 FRAME: 0353**

S&W Client Matter No.	ZMW Ref. No.	Trademark	Country	Serial No./ Reg No.	Filing Date/ Reg. Date	Goods/ Services and Int'l Class	Status
77521.00897	ZMW-TM-002-KE	SOURCE	Kenya	103520	8/10/2018	Solar panels, namely, solar heat collection panels for use in the production of potable water and heat; tap water faucets for use with a water from air system using solar panels and a desiccant to extract water from air; apparatus for filtering drinking water produced by a water from air system using solar panels and a desiccant to extract water from air; filtering units for producing potable water for domestic use, namely, filtering units for a water from air system using solar panels and a desiccant to extract water from air (Int'l Class 11)	Allowed
77521.00898	ZMW-TM-004-LB	SOURCE	Lebanon	75841/2017 181683	10/6/2017 Registered in Aug 2019 but effective as of 10/06/2017	Computer application software for mobile phones and smart devices, namely, software for use in database and dashboard communication and management of a device that uses solar energy to pull water vapor from the air, convert it to liquid water, and treat it so that it is suitable for drinking and dispensation via tap water faucets; a device that uses solar energy to pull water vapor from the air, convert it to liquid water, and treat it so that it is suitable for drinking and dispensation via tap water faucets (Int'l Class 9); A device that uses solar energy to pull water vapor from the air, convert it to liquid water, and treat it so that it is suitable for drinking and dispensation via tap water faucets; Tap water faucets; Apparatus for filtering drinking water; filtering units for producing potable water for domestic use. (Int'l Class 11)	Registered

TRADEMARK**REEL: 007172 FRAME: 0354**

S&W Client Matter No.	ZMW Ref. No.	Trademark	Country	Serial No./ Reg No.	Filing Date/ Reg. Date	Goods/ Services and Int'l Class	Status
77521.00900	ZMW-TM-001-US1	SOURCE	U.S.	87/401,674	4/6/2017	Solar panels for the production of electricity; Solar panels for the production of electricity to be used to operate software for use in collecting wired and wireless data via sensors; solar panels for the production of electricity to be used to operate a water from air system using solar panels and a desiccant to extract water from air (Int'l Class 9); Water bottles sold empty (Int'l Class 21)	Pending
77521.00917		SOURCE	U.S.	87/981,643 5,947,306	4/6/2017 12/31/2019	Apparatus for filtering drinking water in the nature of a recyclable potable water generating unit specially adapted for a self-contained proprietary water from air system comprised of solar panels and a desiccant, sold as a unit, to extract water from air; filtering units in the nature of a recyclable potable water generating unit for producing potable water for domestic use, namely, filtering units specially adapted for a self-contained proprietary water from air system comprised of solar panels and a desiccant, sold as a unit, to extract water from air (Int'l Class 11)	Registered
77521.00936		SOURCE	U.S.	87/981,831 5,947,307	4/6/2017 12/31/2025	Computer application software for mobile phones and smart devices, namely, computer application software to provide database and dashboard communication and management for a water from air system using solar panels and a desiccant to extract water from air (Int'l Class 9)	Registered

TRADEMARK

REEL: 007172 FRAME: 0355

S&W Client Matter No.	ZMW Ref. No.	Trademark	Country	Serial No./ Reg No.	Filing Date/ Reg. Date	Goods/ Services and Int'l Class	Status
77521.00934		SOURCE	China	37200836	4/1/2019	Water supply equipment; solar thermal collectors; solar collector; regulating accessories for water or gas apparatus and pipes; ionization apparatus for the treatment of air or water; water filtering apparatus; filters for drinking water; water purifying apparatus and machines; air filtering installations; air purifying apparatus and machines; air handling ionization equipment; air processors (Int'l Class 11)	Pending
77521.00956		SOURCE	India	4757922	11/26/2020	Description: Apparatus for supplying water; drinking water supply apparatus; drinking water filters; tap water faucets; apparatus for filtering drinking water; water treatment apparatus, namely, a water treatment apparatus device that extracts water vapor from the air using solar heat collection panels, converts it to liquid water, and treats it so that it is suitable for drinking and dispensation via tap water faucets; solar panels, namely, solar panel for the production of potable water, and heat; filtering units, namely, filtering unit for producing potable water for domestic use (Int'l Class 11)	Pending
77521.00993		SOURCE	China	43966975	1/22/2020	Ionization apparatus for the treatment of air or water; air filtering installations; air purifying apparatus and machines; air handling ionization equipment; air processors; regulating accessories for water or gas apparatus and pipes; water supply equipment; solar thermal collectors; solar collector; ionization apparatus for the treatment of air or water; water filtering apparatus; filters for drinking water; water purifying apparatus and machines (Int'l Class 11)	Pending

TRADEMARK

S&W Client Matter No.	ZMW Ref. No.	Trademark	Country	Serial No./ Reg No.	Filing Date/ Reg. Date	Goods/ Services and Int'l Class	Status
77521.00994		SOURCE	China	TBA	TBA	Water supply equipment; regulating accessories for water or gas apparatus and pipes; ionization apparatus for the treatment of air or water; water filtering apparatus; filters for drinking water; water purifying apparatus and machines; air filtering installations; air purifying apparatus and machines; air handling ionization equipment; air processors; solar thermal collectors; solar collector; Chlorination plants for water treatment; Apparatus for purifying tap water; taps [faucets]; Home faucet filter; water purification installations; water purifying apparatus and machines. (Int'l Class 11)	Pending
77521.00995		SOURCE	UAE	336084	9/22/2020	Apparatus for supplying water; drinking water supply apparatus; drinking water filters; tap water faucets; apparatus for filtering drinking water; a water treatment apparatus device that extracts water vapor from the air using solar heat collection panels, converts it to liquid water, and treats it so that it is suitable for drinking and dispensation via tap water faucets; solar panels, namely, solar panels for the production of potable water, and heat; filtering units for producing potable water for domestic use (Int'l Class 11)	Pending

TRADEMARK

S&W Client Matter No.	ZMW Ref. No.	Trademark	Country	Serial No./ Reg No.	Filing Date/ Reg. Date	Goods/ Services and Int'l Class	Status
77521.01000	ZMW-TM-005-US1	ZERO MASS WATER	U.S.	87/401,667 5,662,132	04/06/2017 01/22/2019	Computer application software for mobile phones and smart devices, namely, computer application software to provide database and dashboard communication and management for a water from air system using solar panels and a desiccant to extract water from air; solar panels for the production of electricity; solar panels for the production of electricity to be used to operate software for use in collecting wired and wireless data via sensors; solar panels for the production of electricity to be used to operate a water from air system using solar panels and a desiccant to extract water from air (Int'l Class 9)	Registered
77521.01513		SOURCE HYDROPANEL	Mexico	2205337 2030260	5/9/2019 08/16/2019	Solar panels, namely, solar heat collection panels for use in the production of potable water and heat (Int'l Class 11) A device that uses solar energy to pull water vapor from the air, convert it to liquid water, and treat it so that it is suitable for drinking and dispensation via tap water faucets (Int'l Class 11)	Registered
77521.02800		SOLAIR	U.S.	88/797,366	2/14/2020	Bottled drinking water; bottledwater; mineral water; aerated water (Int'l Class 32)	Allowed

TRADEMARK

REEL: 007172 FRAME: 0358


S&W Client Matter No.	ZMW Ref. No.	Trademark	Country	Serial No./ Reg No.	Filing Date/ Reg. Date	Goods/ Services and Int'l Class	Status
77521.03001		SOURCE	Australia	2119915	9/14/2020	<p>Apparatus for supplying water; drinking water supply apparatus; drinking water filters; tap water faucets; apparatus for filtering drinking water; a water treatment apparatus device that extracts water vapor from the air using solar heat collection panels, converts it to liquid water, and treats it so that it is suitable for drinking and dispensation via tap water faucets; solar panels, namely, solar panels for the production of potable water, and heat; filtering units for producing potable water for domestic use (Int'l Class 3)</p> <p>Bottled drinking water; bottled water; mineral water; aerated water; drinking water produced from an air system using solar panels and a desiccant to extract water from air (Int'l Class 32)</p> <p>Distribution of water; water supply services; water transport services; storage of water; distribution of water produced from an air system using solar panels and a desiccant to extract water from air; water supply services produced from an air system using solar panels and a desiccant to extract water from air; water delivery services produced from an air system using solar panels and a desiccant to extract water from air (Int'l Class 39)</p>	Pending
77521.03034		SOURCE	China	50154575	9/29/2020	<p>Waters [beverages]; Drinking water; Bottled drinking water; Bottled water; mineral water [beverages]; aerated (Int'l Class 32)</p>	Pending

TRADEMARK

S&W Client Matter No.	ZMW Ref. No.	Trademark	Country	Serial No./ Reg No.	Filing Date/ Reg. Date	Goods/ Services and Int'l Class	Status
77521.03056		SOURCE	India	4757923	11/26/2020	Description: Bottled drinking water; bottled water; mineral water; aerated water; drinking water, namely, drinking water produced from an air system using solar panels and a desiccant to extract water from air (Int'l Class 32)	Pending
77521.03094		SOURCE	UAE	336085	9/22/2020	Bottled drinking water; bottled water; mineral water; aerated water (Int'l Class 32)	Pending

TRADEMARK

REEL: 007172 FRAME: 0360

S&W Client Matter No.	ZMW Ref. No.	Trademark	Country	Serial No./ Reg No.	Filing Date/ Reg. Date	Goods/ Services and Int'l Class	Status
77521.03100			U.S.	90/171,714	9/10/2020	<p>Distribution of water from a water from air system using solar panels and a desiccant to extract water from air; water supply services from a water from air system using solar panels and a desiccant to extract water from air; water delivery services provided from a water from air system using solar panels and a desiccant to extract water from air; Bottled drinking water; bottled water; mineral water; aerated water; Downloadable computer application software for mobile phones and smart devices, namely, downloadable computer application software to provide database and dashboard communication and management for a proprietary water from air system using solar panels and a desiccant to extract water from air; Systems for extracting potable water from air comprising solar heat collection panels and a desiccant, namely, apparatus for filtering drinking water in the nature of a recyclable potable water generating unit specially adapted for a self-contained proprietary water from air system comprised of solar panels and a desiccant, all sold as a unit, to extract water from air; Flavorings for beverages, other than essential oils; Providing temporary use of online computer application software for mobile phones and smart devices, namely, online computer application software to provide database and dashboard communication and management for a water from air system using solar panels and a desiccant to extract water from air; Flavorings for beverages, other than essential oils; Flavorings for beverages being essential oils (Int'l Class 11)</p>	Pending

TRADEMARK

S&W Client Matter No.	ZMW Ref. No.	Trademark	Country	Serial No./ Reg No.	Filing Date/ Reg. Date	Goods/ Services and Int'l Class	Status
77521.03334		SOURCE	China	50154574	9/29/2020	Water distribution; Water supplying (distribution); water distribution; transport; storage of goods; storage; Bottled water delivery (Int'l Class 39)	Pending
77521.03356		SOURCE	India	4757924	11/26/2020	Distribution of water; namely, distribution of water produced from an air system using solar panels and a desiccant to extract water from air; water supply services; namely, water supply services produced from an air system using solar panels and a desiccant to extract water from air; water transport services; storage of water; water delivery services, namely, water delivery services produced from an air system using solar panels and a desiccant to extract water from air (Int'l Class 39)	Pending
77521.03395		SOURCE	UAE	336086	9/22/2020	Distribution of water; water supply services; water transport services; storage of water; distribution of water produced from an air system using solar panels and a desiccant to extract water from air; water supply services produced from an air system using solar panels and a desiccant to extract water from air; water delivery services produced from an air system using solar panels and a desiccant to extract water from air (Int'l Class 39)	Pending

TRADEMARK

REEL: 007172 FRAME: 0362

EXHIBIT D

Mask Works

Description

Registration/
Application
Number

Registration/
Application
Date

None.