

## TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1  
Stylesheet Version v1.2

ETAS ID: TM625413

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT		
<b>NATURE OF CONVEYANCE:</b>	MERGER		
<b>EFFECTIVE DATE:</b>	12/31/2020		
<b>CONVEYING PARTY DATA</b>			
<b>Name</b>	<b>Formerly</b>	<b>Execution Date</b>	<b>Entity Type</b>
E*TRADE Financial, LLC		12/31/2020	Limited Liability Company: DELAWARE
<b>RECEIVING PARTY DATA</b>			
<b>Name:</b>	E*TRADE Financial Holdings, LLC		
<b>Street Address:</b>	671 North Glebe Road, Balston Tower		
<b>City:</b>	Arlington		
<b>State/Country:</b>	VIRGINIA		
<b>Postal Code:</b>	22203		
<b>Entity Type:</b>	Limited Liability Company: DELAWARE		
<b>PROPERTY NUMBERS Total: 58</b>			
<b>Property Type</b>	<b>Number</b>	<b>Word Mark</b>	
<b>Serial Number:</b>	88258991	COMPLETEVIEW	
<b>Serial Number:</b>	88476415	POWER E*TRADE	
<b>Serial Number:</b>	87725320	GRADIFI IS GRATITUDE	
<b>Serial Number:</b>	87725313	TUITIONSHARE	
<b>Serial Number:</b>	87723018	G	
<b>Serial Number:</b>	87723016	G	
<b>Serial Number:</b>	87723014	GRADIFI	
<b>Serial Number:</b>	87723011	GRADIFI	
<b>Serial Number:</b>	87725321	GRADIFI IS GRATITUDE	
<b>Serial Number:</b>	87559240	THE ORIGINAL PLACE TO INVEST ONLINE	
<b>Serial Number:</b>	87418530	DON'T GET MAD. GET E*TRADE.	
<b>Serial Number:</b>	87151078	EXIT PLAN	
<b>Serial Number:</b>	87978101	STUDENT LOAN PAYDOWN	
<b>Serial Number:</b>	87978012	COLLEGE SAVEUP	
<b>Serial Number:</b>	87977994	GRADIFI IS GRATITUDE	
<b>Serial Number:</b>	87725323	GRADIFI REFI	
<b>Serial Number:</b>	87725315	THE NOTEBOOK	
<b>Serial Number:</b>	87725312	CSU PLAN	
<b>TRADEMARK</b>			

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Property Type	Number	Word Mark
Serial Number:	87725309	COLLEGE SAVEUP
Serial Number:	87725307	SAVEUP
Serial Number:	87725306	STUDENT LOAN PAYDOWN
Serial Number:	87725298	PAYDOWN
Serial Number:	87723024	G
Serial Number:	87723021	GRADIFI
Serial Number:	86977684	I'M TYPE E
Serial Number:	86977683	ARE YOU TYPE E *?
Serial Number:	86913566	TRADESCHOOL
Serial Number:	86868125	OPTIONSHOUSE
Serial Number:	86868119	OPTIONSHOUSE
Serial Number:	86868114	
Serial Number:	86857392	
Serial Number:	86544892	E*TRADE OPPORTUNITY IS EVERYWHERE
Serial Number:	86982836	SLP PLAN
Serial Number:	86621451	PAYDOWN REWARDS
Serial Number:	86621394	SLP PLAN
Serial Number:	86621163	GRADIFI
Serial Number:	78704448	OPTIONMONSTER
Serial Number:	77892575	STRATEGYSEEK
Serial Number:	77842106	TRADELAB
Serial Number:	77648937	SPREADMAKER
Serial Number:	77214648	EQUITY EDGE ONLINE
Serial Number:	77091318	OPTIONSHOUSE
Serial Number:	76073301	SMARTSAVER
Serial Number:	75871786	E*TRADE
Serial Number:	75783598	E*TRADE BANK
Serial Number:	75863015	CLEARSTATION - THE INTELLIGENT INVESTMEN
Serial Number:	75844326	E*TRADE FINANCIAL
Serial Number:	75838993	
Serial Number:	75783594	ETRADE.COM
Serial Number:	75774573	E*TRADE FINANCIAL
Serial Number:	75723872	E*TRADE FINANCIAL
Serial Number:	75500678	E*TRADE
Serial Number:	75844372	E*TRADE FINANCIAL
Serial Number:	75486597	CLEARSTATION
Serial Number:	75449082	
Serial Number:	75014646	EQUITY EDGE

Property Type	Number	Word Mark
Serial Number:	74724746	E TRADE
Serial Number:	74653089	EQUITY EDGE

**CORRESPONDENCE DATA**

**Fax Number:** 6508152601  
*Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.*  
**Phone:** 6508152600  
**Email:** svtmdocketing@sheppardmullin.com  
**Correspondent Name:** Chelseaa Bush c/o Sheppard Mullin et al  
**Address Line 1:** 379 Lytton Avenue  
**Address Line 4:** Palo Alto, CALIFORNIA 94301

<b>ATTORNEY DOCKET NUMBER:</b>	19PS-143215
<b>NAME OF SUBMITTER:</b>	Chelseaa Bush
<b>SIGNATURE:</b>	/ChelseaaBush/
<b>DATE SIGNED:</b>	02/09/2021

**Total Attachments: 4**  
source=ETRADE FINANCIAL HOLDINGS LLC - DE - CC (2)#page1.tif  
source=ETRADE FINANCIAL HOLDINGS LLC - DE - CC (2)#page2.tif  
source=ETRADE FINANCIAL HOLDINGS LLC - DE - CC (2)#page3.tif  
source=ETRADE FINANCIAL HOLDINGS LLC - DE - CC (2)#page4.tif

# Delaware

The First State

Page 1

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF MERGER, WHICH MERGES:

"E\*TRADE FINANCIAL, LLC", A DELAWARE LIMITED LIABILITY COMPANY,

WITH AND INTO "E\*TRADE FINANCIAL HOLDINGS, LLC" UNDER THE NAME OF "E\*TRADE FINANCIAL HOLDINGS, LLC", A LIMITED LIABILITY COMPANY ORGANIZED AND EXISTING UNDER THE LAWS OF THE STATE OF DELAWARE, AS RECEIVED AND FILED IN THIS OFFICE ON THE THIRTY-FIRST DAY OF DECEMBER, A.D. 2020, AT 8:13 O`CLOCK A.M.

AND I DO HEREBY FURTHER CERTIFY THAT THE EFFECTIVE DATE OF THE AFORESAID CERTIFICATE OF MERGER IS THE THIRTY-FIRST DAY OF DECEMBER, A.D. 2020 AT 11:59 O'CLOCK P.M.



  
Jeffrey W. Bullock, Secretary of State

3349863 8100M  
SR# 20210065483

You may verify this certificate online at [corp.delaware.gov/authver.shtml](http://corp.delaware.gov/authver.shtml)

Authentication: 202248663  
Date: 01-08-21

**TRADEMARK**  
**REEL: 007185 FRAME: 0584**

State of Delaware  
Secretary of State  
Division of Corporations  
Delivered 08:13 AM 12/31/2020  
FILED 08:13 AM 12/31/2020  
SR 20208804317 - File Number 3349863

**CERTIFICATE OF MERGER**

**MERGING**

**E\*TRADE FINANCIAL, LLC**

**WITH AND INTO**

**E\*TRADE FINANCIAL HOLDINGS, LLC**

**Pursuant to the provisions of  
Section 18-209 of the Delaware Limited Liability Company Act**

**December 31, 2020**

E\*TRADE Financial Holdings, LLC, a Delaware limited liability company (“ETFH”), which desires to merge with E\*TRADE Financial, LLC, a Delaware limited liability company (“ETF,” and, together with ETFH, the “Constituent Companies”), hereby certifies that:

FIRST: The name, state of formation or organization and type of entity of each of the Constituent Companies to the merger are as follows:

<u>Name</u>	<u>State of Formation or Organization</u>	<u>Type of Entity</u>
E*TRADE Financial Holdings, LLC	Delaware	Limited Liability Company
E*TRADE Financial, LLC	Delaware	Limited Liability Company

SECOND: The Agreement and Plan of Merger (as it may be amended, restated, modified or supplemented from time to time, the “Merger Agreement”) dated as of the date this Certificate of Merger is filed with the Secretary of State of the State of Delaware and entered into by and among the Constituent Companies has been approved, adopted, certified, executed and acknowledged by each of the Constituent Companies in accordance with Section 18-209 of the Delaware Limited Liability Company Act.

THIRD: ETFH shall be the surviving company of the merger, and the name of the surviving company shall be “E\*TRADE Financial Holdings, LLC”.

FOURTH: The merger shall be effective as of 11:59 PM on December 31, 2020.

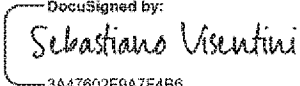
FIFTH: The executed Merger Agreement is on file at the place of business of ETFH at 1585 Broadway, New York, New York 10036.

SIXTH: A copy of the Merger Agreement will be furnished by the surviving company, on request and without cost, to any member or equityholder of the Constituent Companies.

*[Signature Page Follows]*

IN WITNESS WHEREOF, the undersigned has executed this Certificate of Merger as of the date first set forth above.

**E\*TRADE FINANCIAL HOLDINGS, LLC**

By:   
3A47602F9A7E486  
Name: Sebastiano Visentini  
Title: Vice President

*[Signature Page to Certificate of Merger – ETF into ETFH]*