# OP \$1465.00 120680

# TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 ETAS ID: TM626296

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	SECURITY INTEREST

## **CONVEYING PARTY DATA**

Name	Formerly	Execution Date	Entity Type
GARDENS ALIVE, INC.		12/28/2020	Corporation: DELAWARE

## **RECEIVING PARTY DATA**

Name:	FIRST FINANCIAL BANK
Street Address:	255 EAST FIFTH STREET
City:	CINCINNATI
State/Country:	ОНЮ
Postal Code:	45202
Entity Type:	Corporation: OHIO

# **PROPERTY NUMBERS Total: 58**

Property Type	Number	Word Mark
Registration Number:	1206804	AUDUBON WORKSHOP
Registration Number:	2531884	BIRD GRUB
Registration Number:	5235158	BRECK'S
Registration Number:	5235159	BRECK'S WHOLESALE
Serial Number:	88632163	BRECK'S GIFTS
Registration Number:	1971149	BULBS ALIVE!
Registration Number:	1972632	COMPOST ALIVE!
Registration Number:	2290102	ESCAR-GO!
Registration Number:	1735889	FALL LAWNS ALIVE!
Registration Number:	0867325	FLOWER OF THE MONTH
Registration Number:	1976225	FRUIT TREES ALIVE!
Registration Number:	5913870	GARDEN ANSWERS
Registration Number:	4056161	GARDENS ALIVE!
Registration Number:	2474693	GARDENS ALIVE!
Registration Number:	3744409	GOTTA HAVE IT
Registration Number:	5342979	GROW TUB
Registration Number:	5116297	GROW TUBS
Registration Number:	5380694	GRUB-AWAY
Registration Number:	4537018	GURNEY'S

TRADEMARK REEL: 007192 FRAME: 0691

900596938

Property Type	Number	Word Mark
Registration Number:	1407214	GURNEY'S
Registration Number:	4438749	GURNEY'S SEED & NURSERY CO.
Registration Number:	4525919	HENRY FIELD'S
Registration Number:	1669965	HOUSEPLANTS ALIVE!
Registration Number:	5855327	K. VAN BOURGONDIEN
Registration Number:	5855328	K. VAN BOURGONDIEN
Registration Number:	3792374	KA-BLUEY
Registration Number:	3277732	LILY TREE
Registration Number:	2353118	MICHIGAN BULB CO.
Registration Number:	2346404	MICHIGAN BULB COMPANY
Registration Number:	2729570	MYSEASONS
Registration Number:	1757101	NEW HOLLAND BULB COMPANY
Registration Number:	5187394	ONCE
Serial Number:	88611115	PARFUM DE BLOOM
Registration Number:	5869193	PATIO ORCHARD
Registration Number:	2531883	PYOLA
Registration Number:	5458277	RAZZMATAZZ
Registration Number:	5525978	REACHABLES
Registration Number:	3768042	SLEEPING BEAUTIES
Registration Number:	2253445	SOAP-SHIELD
Registration Number:	4567324	SPRING HILL NURSERIES
Registration Number:	1739303	SPRING LAWNS ALIVE!
Registration Number:	5414917	SWEET HIPS
Registration Number:	1156763	THE WILD BIRD SPECIALISTS
Registration Number:	1680890	TOMATOES ALIVE!
Registration Number:	1672719	TURF ALIVE!
Registration Number:	1885160	VAN BOURGONDIEN
Registration Number:	1673129	VEGETABLES ALIVE!
Registration Number:	1837250	WONDERX
Registration Number:	5122304	WOW!
Registration Number:	2073973	WOW!
Serial Number:	87749639	WOW!
Serial Number:	90278755	YOUR PUZZLE AUTHORITY
Serial Number:	90278717	YOUR PUZZLE AUTHORITY
Serial Number:	88895484	MICHIGAN BULB CO.
Serial Number:	88895425	MICHIGAN BULB CO.
Serial Number:	77892871	SUPER SWEET
Serial Number:	77516814	FOREVER & EVER

Property Type	Number	Word Mark
Serial Number:	73775820	GARDENS ALIVE!

### **CORRESPONDENCE DATA**

**Fax Number:** 8593416239

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

**Phone:** 859-426-2137

Email: CGREGORIO@DBLLAW.COM

Correspondent Name: CHRISTINE GREGORIO
Address Line 1: 207 THOMAS MORE PKWY

Address Line 4: CRESTVIEW HILLS, KENTUCKY 41017

NAME OF SUBMITTER:	Christine Gregorio
SIGNATURE:	/Christine Gregorio/
DATE SIGNED:	02/15/2021

### **Total Attachments: 16**

source=Gardens Alive TM SA#page1.tif source=Gardens Alive TM SA#page2.tif source=Gardens Alive TM SA#page3.tif source=Gardens Alive TM SA#page4.tif source=Gardens Alive TM SA#page5.tif source=Gardens Alive TM SA#page6.tif source=Gardens Alive TM SA#page7.tif source=Gardens Alive TM SA#page8.tif source=Gardens Alive TM SA#page9.tif source=Gardens Alive TM SA#page10.tif source=Gardens Alive TM SA#page11.tif source=Gardens Alive TM SA#page12.tif source=Gardens Alive TM SA#page13.tif source=Gardens Alive TM SA#page14.tif source=Gardens Alive TM SA#page15.tif source=Gardens Alive TM SA#page16.tif

First Financial Bank

Borrowers: Gardens Alive, Inc., Steinbeck III, LLC, Steinbeck LLC, Rostam Direct LLC, Kafka Direct LLC, Early

Morning LLC, Garden Answers LLC, WOW! Genetics, LLC, and Pine Creek Estates Association, L.L.C.

Loan Number: 820902500

### TRADEMARK SECURITY AGREEMENT

This TRADEMARK SECURITY AGREEMENT (this "<u>Trademark Security Agreement</u>") dated as of December 28, 2020, between **Gardens Alive, Inc.**, a Delaware corporation, having its principal place of business at 230 Mary Avenue, Greendale, IN 47025 (the "<u>Pledgor</u>"), and First Financial Bank, an Ohio state chartered bank having its principal place of business at 255 East Fifth Street, Cincinnati, Ohio 45202 Attention: Randy Bruce (the "<u>Lender</u>").

WHEREAS, the Pledgor and the Lender are parties to that certain Loan Agreement dated as of the date hereof (as amended and in effect from time to time, the "Loan Agreement");

WHEREAS, as a condition precedent to the making of the Loans by the Lender under the Loan Agreement, the Pledgor has executed and delivered to the Lender that certain Security Agreement dated as of the date hereof (as amended and in effect from time to time, the "Security Agreement").

WHEREAS, under the terms of the Security Agreement, the Pledgor has granted to the Lender a security interest in, among other property, certain Intellectual Property Collateral, and has agreed to execute and deliver this Trademark Security Agreement for recording with governmental authorities, including, but not limited to, the United States Patent and Trademark Office.

WHEREAS, this Trademark Security Agreement is supplemental to the provisions contained in the Loan Agreement and Security Agreement.

NOW THEREFORE, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the parties agree as follows:

- 1. <u>Definitions</u>. Capitalized terms used herein and not otherwise defined herein shall have the respective meanings provided therefor in the Security Agreement.
- 2. <u>Grant of Security</u>. The Pledgor hereby pledges and grants to the Lender a security interest in and to all of the right, title, and interest of the Pledgor in, to, and under the following (the "<u>Trademark Collateral</u>"):
  - (a) the trademark registrations and applications set forth in Schedule 1 hereto, together with the goodwill connected with the use of and symbolized thereby, and all extensions and renewals thereof (the "Trademarks"), excluding only United States intent-to-use trademark applications to the extent that, and solely during the period in which, the grant, attachment, or enforcement of a security interest therein would, under applicable federal law, impair the registrability of such applications or the validity or enforceability of registrations issuing from such applications;
  - (b) all rights of any kind whatsoever of the Pledgor accruing under any of the foregoing provided by applicable law of any jurisdiction, by international treaties and conventions, and otherwise throughout the world;
  - (c) any and all royalties, fees, income, payments, and other proceeds now or hereafter due or payable with respect to any and all of the foregoing; and
  - (d) any and all claims and causes of action, with respect to any of the foregoing, whether occurring before, on, or after the date hereof, including all rights to and claims for damages, restitution, and injunctive and other legal and equitable relief for past, present, and future infringement, dilution, misappropriation, violation, misuse, breach, or default, with the right, but no obligation, to sue for such legal and equitable relief and to collect, or otherwise recover, any such damages.
- 3. <u>Recordation.</u> The Pledgor authorizes the Commissioner for Trademarks and any other government officials to record and register this Trademark Security Agreement upon request by the Lender or its designee.

- 4. <u>Loan Documents</u>. This Trademark Security Agreement has been entered into pursuant to and in conjunction with the Security Agreement, which is hereby incorporated by reference. The provisions of the Security Agreement shall supersede and control over any conflicting or inconsistent provision herein. The rights and remedies of the Lender with respect to the Trademark Collateral are as provided by the Loan Agreement, the Security Agreement, and related documents, and nothing in this Trademark Security Agreement shall be deemed to limit such rights and remedies.
- 5. <u>Execution in Counterparts</u>. This Trademark Security Agreement may be executed in counterparts (and by different parties hereto in different counterparts), each of which shall constitute an original, but all of which when taken together shall constitute a single contract. Delivery of an executed counterpart of a signature page to this Trademark Security Agreement by facsimile or in electronic (i.e., "pdf" or "tif" format) shall be effective as delivery of a manually executed counterpart of this Trademark Security Agreement.
- 6. <u>Successors and Assigns</u>. This Trademark Security Agreement will be binding on and shall inure to the benefit of the parties hereto and their respective successors and assigns.
- 7. <u>Governing Law.</u> This Trademark Security Agreement and any claim, controversy, dispute, or cause of action (whether in contract or tort or otherwise) based upon, arising out of, or relating to this Trademark Security Agreement and the transactions contemplated hereby and thereby shall be governed by, and construed in accordance with, the laws of the United States and the State of Ohio, without giving effect to any choice or conflict of law provision or rule (whether of the State of Ohio or any other jurisdiction).

[SIGNATURE PAGE FOLLOWS]

IN WITNESS WHEREOF, this Trademark Security Agreement has been executed as of the day and year first above written.

GARDENS ALIVE, INC.

Name: Eric Hamant

Title: President and Chief Financial Officer

FIRST FINANCIAL BANK

Name: Jacob Holbrook

Title: Northern Kentucky Market President

### SIGNATURE PAGE TO TRADEMARK SECURITY AGREEMENT

CERTIFICATE OF ACKNOWLEDGMENT

Before me, the undersigned, a Notary Public in and for the county aforesaid, on this Zaday of December, 2020 personally appeared Eric Hamant to me known personally, and who, being by me duly swom, deposes and says that he is the President and Chief Executive Officer of Gardens Alive, Inc., and that said instrument was signed and sealed on behalf of said corporation by authority of its Board of Directors, and said Eric Hamant acknowledged said instrument to be the free act and deed of said corporation.

> HEATHER BAKER Notary Public, State of Ohio

Commission Exp. 04/07/2024

Notary Public

My commission expires: 04/07/2024

# SCHEDULE 1

# **Frademarks**

Live	4/30/96	3/14/95	1971149	BULBS ALIVE!	OLASO	Gardens Alive, Inc.
Pending.  Newly-filed application.  Not yet assigned to an  Examining Attorney.		9/26/19	88632163	BRECK'S GIFTS	USPTO	Gardens Alive, Inc.
Live	7/4/17	6/316	5235159	BRECK'S WHOLESALE	USPTO	Gardens Alive, Inc.
Live	7/4/17	6/3/16	5235158	BRECK'S	USPTO	Gardens Alive, Inc.
Live	1/22/02	12/21/00	2531884	BIRD GRUB	USPTO	Gardens Alive, Inc.
Live	8/31/82	4/11/79	1206804	AUDUBON WORKSHOP	USPTO	Gardens Alive, Inc.
STATUS	REG. DATE	DATE FILED	SERIAL/ REG NO.	MARK	FILING BASIS COUNTRY	LISTED OWNER OF RECORD

Live	9/13/06	7/19/05	A672595	Gardens Alive (design mark)	Canada	Gardens Alive, Inc.
Live	11/19/19	5/2/19	5913870	GARDEN ANSWERS	USPTO	Gardens Alive, Inc.
Live	5/28/96	3/14/95	1976225	FRUIT TREES ALIVE!	USPTO	Gardens Alive, Inc.
Live	4/1/69	8/7/67	0867325	FLOWER OF THE MONTH	USPTO	Gardens Alive, Inc.
Live	12/1/92	4/23/90	1735889	FALL LAWNS ALIVE!	USPTO	Gardens Alive, Inc.
Live	11/2/99	8/5/98	2290102	ESCAR-GO!	USPTO	Gardens Alive, Inc.
Live	5/7/96	3/14/95	1972632	COMPOST ALIVE!	OTPRO	Gardens Alive, Inc.
Live	1/27/95	9/2/93	TMA4384 46	CAVALIER BULB COMPANY design plus words	Canada	Gardens Alive, Inc.

	7/31/17	5380694	GRUB-AWAY	USPTO	Gardens Alive, Inc.
			(Supplemental Registration)		
<u>6</u>	6/30/16	5116297	GROW TUBS	USPTO	Gardens Alive, Inc.
3/9/17	·-	5342979	GROW TUB (Supplemental Registration)	USPTO	Gardens Alive, Inc.
6/25/09		3744409	GOTTA HAVE IT	USPTO	Gardens Alive, Inc.
7/17/96		2474693	GARDENS ALIVE! (w/lady bug design)	USPTO	Gardens Alive, Inc.
4/8/11	4	4056161	GARDENS ALIVE!	USPTO	Gardens Alive, Inc.
7/19/05	7/1	A672443	GARDENS ALIVE!	Canada	Gardens Alive, Inc.

Live	9/10/19	10/31/18	5855328	K. VAN BOURGONDIEN	USPTO	Gardens Alive, Inc.
Live	9/10/19	10/31/18	5855327	K. VAN BOURGONDIEN	OTPO	Gardens Alive, Inc.
Live	12/31/91	4/23/90	1669965	HOUSEPLANTS ALIVE!	USPTO	Gardens Alive, Inc.
Live	5/6/14	11/13/13	4525919	HENRY FIELD'S	USPTO	Gardens Alive, Inc.
Live	3/12/93	1/9/92	TMA4095 48	HANS BRINKER	Canada	Gardens Alive, Inc.
Live	11/26/13	11/6/12	4438749	GURNEY'S SEEDS & NURSERY CO.	USPTO	Gardens Alive, Inc.
Live	9/2/86	11/28/84	1407214	GURNEY'S and flower design	OTASN	Gardens Alive, Inc.
Live	5/27/14	9/26/13	4537018	GURNEY'S	USPTO	Gardens Alive, Inc.

Newly-filed application. Not yet assigned to an Examining Attorney.				(Intent to use basis)		
Pending.		9/10/19	11111988	PARFUM DE BLOOM	USPTO	Gardens Alive, Inc.
Live	4/18/17	9/22/15	5187394	ONCE	USPTO	Gardens Alive, Inc. d/b/a Breck's
Live	3/9/93	11/13/91	1757101	NEW HOLLAND BULB COMPANY (words plus design mark)	USPTO	Gardens Alive, Inc.
Live	6/24/03	12/20/99	2729570	MYSEASONS	USPTO	Gardens Alive, Inc.
Live	5/2/00	2/16/98	2346404	MICHIGAN BULB COMPANY	USPTO	Gardens Alive, Inc.
Live	5/30/00	4/1/98	2353118	Michigan Bulb Co. (words and design)	USPTO	Gardens Alive, Inc.
Live	8/7/07	1/9/06	3277732	LILY TREE	USPTO	Gardens Alive, Inc.
Live	5/25/10	6/25/09	3792374	KA-BLUEY	USPTO	Gardens Alive, Inc.

Live	7/15/14	11/13/13	4567324	SPRING HILL NURSERIES	USPTO	Gardens Alive, Inc.
Live	6/15/99	4/13/98	2253445	SOAP-SHIELD	USPTO	Gardens Alive, Inc.
Live	6/16/11	2/19/08	TMA8001 33	SLEEPING BEAUTIES design plus words (color)	Canada	Gardens Alive, Inc.
Live	3/30/10	11/30/07	3768042	SLEEPING BEAUTIES design plus words (color)	USPTO	Gardens Alive, Inc.
Live	7/24/18	5/31/17	5525978	REACHABLES	USPTO	Gardens Alive, Inc.
Live	5/1/18	9/14/17	5458277	RAZZMATAZZ	USPTO	Gardens Alive, Inc.
Live	1/22/02	12/21/00	2531883	PYOLA	USPTO	Gardens Alive, Inc.
Live	9/24/19	3/27/18	5869193	PATIO ORCHARD	USPTO	Gardens Alive, Inc.

Live	12/30/94	8/6/93	TMA4377	VAN BOURGONDIEN	Canada	Gardens Alive, Inc.
Live	1/21/92	4/23/90	1672719	TURF ALIVE!	USPTO	Gardens Alive, Inc.
Live	3/31/92	4/23/99	1680890	TOMATOES ALIVE!	USPTO	Gardens Alive, Inc.
Live	6/2/1981	4/11/79	1156763	THE WILD BIRD SPECALISTS	USPTO	Gardens Alive, Inc.
Live	11/25/08	3/8/06	TMA7293 85	THE MAGIC EGG	Canada	Gardens Alive, Inc.
Live	2/27/18	8/23/17	5414917	SWEET HIPS (Supplemental Registration)	USPTO	Gardens Alive, Inc.
Live	12/15/92	4/23/90	1739303	SPRING LAWNS ALIVE!	USPTO	Gardens Alive, Inc.

6/24/97	12/5/96	2073973	WOWI	USPTO	Gardens Alive, Inc.
11/26/03		3055528	WOWI	USPTO	Gardens Alive, Inc.
11/26/03		3055528	WOW!	USPTO	Gardens Alive, Inc.
5/4/16		5122304	WOW!	USPTO	Gardens Alive, Inc.
3/1/93		1837250	WONDERX	USPTO	Gardens Alive, Inc.
4/23/90		1673129	VEGETABLES ALIVE!	USPTO	Gardens Alive, Inc.
8/6/93		TMA4345 12	VAN DYCK'S FLOWER FARMS, INC.	Canada	Gardens Alive, Inc.
6/30/93		1885160	VAN BOURGONDIEN	USPTO	Gardens Alive, Inc.

Live	02/10/09	07/08/08	77516814	FOREVER & EVER	OTASO	Gardens Alive, Inc.
Live	07/27/10	12/14/09	77892871	SUPER SWEET	USPTO	Gardens Alive, Inc.
Live		04/30/20	88895425	MICHIGAN BULB CO.	USPTO	Gardens Alive, Inc.
Live		04/30/20	88895484	MICHIGAN BULB CO. (with design)	USPTO	Gardens Alive, Inc.
Live		10/26/20	90278717	YOUR PUZZLE AUTHORITY	USPTO	Gardens Alive, Inc.
Live		10/26/20	90278755	YOUR PUZZLE AUTHORITY	USPTO	Gardens Alive, Inc.
Pending		1/10/18	87749639	WOW!	USPTO	Gardens Alive, Inc.

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Gardens Alive, Inc.
USPTO
GARDENS ALIVE!
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01/23/89
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Live	Live	Live	Live	Live	Live	Live	Live	Live	Live	Live
7/18/17	2/28/17	4/19/11	7/18/17	10/9/18	3/19/13	7/18/17	10/9/18	3/19/13	7/18/17	7/18/17
12/20/16	3/10/16	10/2/10	12/20/16	3/20/18	9/25/12	12/20/16	2/14/18	9/25/12	12/20/16	12/20/16
5245591	5152667	3949578	5245593	5580782	4305366	5245594	5582544	4305365	5245595	5245598
BUDDY & FRIENDS	CLEO & FRIENDS	FOLD-AND-GO WOODEN JIGSAW TABLE (Supplemental Registration)	MURPHY & HIS TROOP	PUZZLE PLATEAU	SPILSBURY	TARALYNN'S TEA TIME	TULIP WIND SPINNER (Supplemental Registration)	WE DELIVER FUN!	WILLY & FRIENDS	WOODY & FRIENDS
USPTO	USPTO	USPTO	USPTO	USPTO	USPTO	USPTO	USPTO	USPTO	USPTO	USPTO
Melville Direct, LLC	Melville Direct, LLC	Melville Direct, LLC	Melville Direct, LLC	Melville Direct, LLC	Melville Direct, LLC	Melville Direct, LLC	Melville Direct, LLC	Melville Direct, LLC	Melville Direct, LLC	Melville Direct, LLC

**REEL: 007192 FRAME: 0707** 

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Melville Direct, LLC	

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1.	3/8/71 5/16/72 Live
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SERIAL/ REG	0934186
MARK	HENRY FIELD'S
FILING BASIS COUNTRY	USPTO
OWNER	Scarlet Tanager LLC d/b/a Henry Field's Seed and Nursery Co.

**RECORDED: 02/15/2021**