

## TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1  
Stylesheet Version v1.2

ETAS ID: TM630730

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT		
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT OF THE ENTIRE INTEREST AND THE GOODWILL		
<b>CONVEYING PARTY DATA</b>			
<b>Name</b>	<b>Formerly</b>	<b>Execution Date</b>	<b>Entity Type</b>
Exergy Capital Management LLP		01/26/2021	Limited Liability Partnership: UNITED KINGDOM
<b>RECEIVING PARTY DATA</b>			
<b>Name:</b>	Erich Becker		
<b>Street Address:</b>	239 Lonsdale Road		
<b>City:</b>	London		
<b>State/Country:</b>	UNITED KINGDOM		
<b>Postal Code:</b>	SW13 9QN		
<b>Entity Type:</b>	INDIVIDUAL: UNITED KINGDOM		
<b>PROPERTY NUMBERS Total: 2</b>			
<b>Property Type</b>	<b>Number</b>	<b>Word Mark</b>	
<b>Registration Number:</b>	6182459	EXERGY	
<b>Registration Number:</b>	5784791		
<b>CORRESPONDENCE DATA</b>			
<b>Fax Number:</b>	2158325360		
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>			
<b>Phone:</b>	215-569-5360		
<b>Email:</b>	mhomyk@blankrome.com		
<b>Correspondent Name:</b>	Matthew A. Homyk		
<b>Address Line 1:</b>	One Logan Square		
<b>Address Line 2:</b>	Blank Rome LLP		
<b>Address Line 4:</b>	Philadelphia, PENNSYLVANIA 19103		
<b>ATTORNEY DOCKET NUMBER:</b>	Erich Becker		
<b>DOMESTIC REPRESENTATIVE</b>			
<b>Name:</b>	Matthew A. Homyk		
<b>Address Line 1:</b>	One Logan Square		
<b>Address Line 2:</b>	Blank Rome LLP		
<b>Address Line 4:</b>	Philadelphia, PENNSYLVANIA 19103		
<b>NAME OF SUBMITTER:</b>	Matthew A. Homyk		

OP \$65.00 6182459

<b>SIGNATURE:</b>	/matthew homyk/
<b>DATE SIGNED:</b>	03/09/2021
<b>Total Attachments: 13</b> source=2021_01_22 Deed of assignment of IP ECM LLP executed#page1.tif source=2021_01_22 Deed of assignment of IP ECM LLP executed#page2.tif source=2021_01_22 Deed of assignment of IP ECM LLP executed#page3.tif source=2021_01_22 Deed of assignment of IP ECM LLP executed#page4.tif source=2021_01_22 Deed of assignment of IP ECM LLP executed#page5.tif source=2021_01_22 Deed of assignment of IP ECM LLP executed#page6.tif source=2021_01_22 Deed of assignment of IP ECM LLP executed#page7.tif source=2021_01_22 Deed of assignment of IP ECM LLP executed#page8.tif source=2021_01_22 Deed of assignment of IP ECM LLP executed#page9.tif source=2021_01_22 Deed of assignment of IP ECM LLP executed#page10.tif source=2021_01_22 Deed of assignment of IP ECM LLP executed#page11.tif source=2021_01_22 Deed of assignment of IP ECM LLP executed#page12.tif source=2021_01_22 Deed of assignment of IP ECM LLP executed#page13.tif	

Date 26 January 2021

**EXERGY CAPITAL MANAGEMENT LLP**

**AND**

**ERICH BECKER**

**DEED OF ASSIGNMENT**

DATE

26 January

2021

**PARTIES**

- 1 **EXERGY CAPITAL MANAGEMENT LLP** (No. OC417195) incorporated under the laws of England and Wales whose registered office is at 20 Old Bailey, London EC4M 7AN (the "Assignor").
- 2 **ERICH BECKER** of 239 Lonsdale Road, London SW13 9QN (the "Assignee").

**BACKGROUND**

- A The Assignor is the owner of the Exergy trademarks listed in the Schedule (the "Exergy Trademarks").
- B It is agreed that the Assignor shall assign the Exergy Trademarks to the Assignee as of the date of this Deed (the "Effective Date").

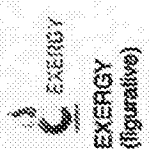
**DEED**

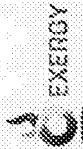
1. With effect from the Effective Date, the Assignor transfers and assigns to the Assignee, absolutely all its right, title and interest in and to the Exergy Trademarks, together with (a) any goodwill associated with those Exergy Trademarks that has accrued through their use in the Assignor's business; and (b) the right to bring, make, oppose, defend, appeal proceedings, claims or actions and obtain relief (and to retain any damages recovered) in respect of any infringement, or any other cause of action arising from ownership of any of the Exergy Trademarks whether occurring before, on, or after the Effective Date.
2. The Assignee accepts it has sole responsibility to record the change of ownership of the Exergy Trademarks with relevant trade mark registries and shall do so as soon as reasonably practicable. The Assignor shall (at the Assignee's cost) provide such assistance, if requested by the Assignee, as needed to confirm or record such change of ownership.
3. The Assignee acknowledges that the Assignor provides no warranties and has made no representations whatsoever in relation to the Exergy Trademarks or any of the rights assigned under clause 1.
4. This Deed constitutes the entire agreement between, and understanding of, the parties with respect to the subject matter of this Deed.
5. This Deed may be amended, modified, superseded or cancelled and any of its terms waived only by an instrument in writing signed by the parties (or their authorised representatives).
6. No term of this Deed shall be enforceable under the Contracts (Rights of Third Parties) Act 1999 by a third party.
7. This Deed may be executed in any number of counterparts, each of which when executed shall constitute a duplicate original, but all of the counterparts shall together constitute one agreement.
8. This Deed is governed by and shall be construed in accordance with the laws of England. Non-contractual obligations (if any) arising out of or in connection with this Deed (including its formation) shall also be governed by the laws of England.
9. The parties submit to the exclusive jurisdiction of the courts of England and Wales as regards any claim, dispute or matter (whether contractual or non-contractual) arising out of or in connection with this Deed or any of the documents to be entered into pursuant to this Deed (including their formation).


In witness whereof, the parties or their duly authorised representatives have accordingly executed this Deed as a deed on the date stated above.

**EXERGY TRADEMARKS**

Jurisdiction	Trade Mark	Registration Date	Expiration Date	Trade Mark Number	Class of trade mark	Scope of protection
UK	EXERGY (word)	02/02/2018	04/09/2027	UK00003254257	35, 36	<p>35. Business management; business administration; business analysis, research and information services; business analysis of markets; business statistical information services; commercial information services; compilation and provision of trade and business price and statistical information; cost benefit analysis; dissemination of business and commercial information; economic analysis for business purposes; economic forecasting and analysis; auditing; market information services relating to index levels; market studies; outsourcing services in the field of business analytics; provision of business and commercial information; consultancy and advisory services relating to business and company disposals, acquisitions and corporate structure; consultancy, advisory and information services relating to the aforesaid services; consultancy, advisory and information services relating to the aforesaid services provided on-line from a computer database, the internet or over a telecommunications network.</p> <p>36. Financial services; asset management services; fund management services; investment fund management services; hedge fund management services; financial management; financial advice; fund management; asset management; investment management; investment fund management; hedge fund management; futures and options fund services; futures fund services; forwards fund services; options fund services; derivatives fund services; futures and options account management; futures account management; forwards account management; options account management; derivatives account management; securities account management; futures and options advice; futures advice; forwards advice; options advice; derivatives advice; securities advice; financial advice; financial account management; financial account management services; financial account advisory services; financial account advice; financial account advisory</p>

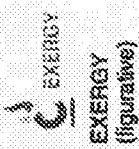
Jurisdiction	Trade Mark	Registration Date	Expiration Date	Trade Mark Number	Class of trade mark	Scope of protection
UK	 EXERGY (figurative)	02/02/2018	04/09/2027	UK00003254301	35, 36	<p>services; management services in the field of financial services and fund management; research services in the field of financial services and fund management; advisory services in the field of financial services and fund management; consultancy services in the field of financial services and fund management; management and creation of investment products; financial services provided via the internet; financial advisory services provided via the internet; financial information services provided via the internet; financial information services provided by access to a computer database; provision of financial information for professionals in the field of portfolio management; for portfolio management; financial affairs; monetary affairs; financial analysis; financial consultancy; provision of financial information; information, advisory, management and consultancy services relating to all the aforesaid; all the aforesaid services also provided on-line from a computer database or from the internet; all the aforesaid management and advisory services in relation to investments in the energy sector.</p> <p>35: Business management; business administration; business analysis, research and information services; business analysis of markets; business statistical information services; commercial information services; compilation and provision of trade and business price and statistical information; cost benefit analysis; dissemination of business and commercial information; economic analysis for business purposes; economic forecasting and analysis; auditing; market information services relating to index levels; market studies; outsourcing services in the field of business analytics; provision of business and commercial information; consultancy and advisory services relating to business and company disposals, acquisitions and corporate structure; consultancy, advisory and information services relating to the aforesaid services; consultancy, advisory and information services relating to the aforesaid services provided on-line from a computer database, the internet or over a telecommunications network.</p> <p>36: Financial services; asset management services; fund management</p>


Jurisdiction	Trade Mark	Registration Date	Expiration Date	Trade Mark Number	Class of trade mark	Scope of protection
						<p>services; investment fund management services; hedge fund management services; financial management; financial advice; fund management; asset management; investment management; investment fund management; hedge fund management; futures and options fund services; futures fund services; forwards fund services; options fund services; derivatives fund services; futures and options account management; futures account management; forwards account management; options account management; derivatives account management; securities account management; futures and options advice; futures advice; forwards advice; options advice; derivatives advice; securities advice; financial advice; financial account management; financial account management services; financial account advice; financial account advisory services; management services in the field of financial services and fund management; research services in the field of financial services and fund management; advisory services in the field of financial services and fund management; consultancy services in the field of financial services and fund management; management and creation of investment products; financial services provided via the internet; financial advisory services provided via the internet; financial information services provided via the internet; financial information services provided by access to a computer database; provision of financial information for professionals in the field of portfolio management; for portfolio management; financial affairs; monetary affairs; financial analysis; financial consultancy; provision of financial information; information, advisory, management and consultancy services relating to all the aforesaid; all the aforesaid services also provided on-line from a computer database or from the internet; all the aforesaid management and advisory services in relation to investments in the energy sector.</p>
UK		02/02/2018	04/09/2027	UK00003254301	35, 38	<p>35. Business management; business administration; business analysis, research and information services; business analysis of markets; business statistical information services; commercial information services; compilation and provision of trade and business price and statistical information; cost benefit analysis; dissemination of</p>

Jurisdiction	Trade Mark	Registration Date	Expiration Date	Trade Mark Number	Class of trade mark	Scope of protection
	 <b>ENERGY</b> (figurative series of two)					<p>business and commercial information; economic analysis for business purposes; economic forecasting and analysis; auditing; market information services relating to index levels; market studies; outsourcing services in the field of business analytics; provision of business and commercial information; consultancy and advisory services relating to business and company disposals, acquisitions and corporate structure; consultancy, advisory and information services relating to the aforesaid services; consultancy, advisory and information services relating to the aforesaid services provided on-line from a computer database, the Internet or over a telecommunications network.</p> <p>36.            Financial services; asset management services; fund management services; investment fund management services; hedge fund management services; financial management; financial advice; fund management; asset management; investment management; investment fund management; hedge fund management; forwards fund services; and options fund services; futures fund services; forwards fund services; options fund services; derivatives fund services; futures and options account management; options account management; forwards account management; options account management; derivatives account management; securities account management; futures and options advice; futures advice; forwards advice; options advice; derivatives advice; securities advice; financial advice; financial account management; financial account advisory services; financial account advice; financial account advisory services; management services in the field of financial services and fund management; research services in the field of financial services and fund management; advisory services in the field of financial services and fund management; consultancy services in the field of financial services and fund management; management and creation of investment products; financial services provided via the Internet; financial advisory services provided via the Internet; financial information services provided via the Internet; financial information services provided by access to a computer database; provision of</p>




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						financial information for professionals in the field of portfolio management, for portfolio management; financial affairs; monetary affairs; financial analysis; financial consultancy; provision of financial information; information, advisory, management and consultancy services relating to all the aforesaid; all the aforesaid services also provided on-line from a computer database or from the Internet; all the aforesaid management and advisory services in relation to investments in the energy sector.
UK	EXERGY (word)	12/01/2018	18/09/2027	UK00917216151	35, 36	35: Business management; business administration; business analysis, research and information services; business analysis of markets; business statistical information services; commercial information services; compilation and provision of trade and business price and statistical information; cost benefit analysis; dissemination of business and commercial information; economic analysis for business purposes; economic forecasting and analysis; financial, business, account auditing services; market information services relating to index levels; market studies; outsourcing services in the field of business analytics; provision of business and commercial information; consultancy and advisory services relating to business and company disposals, acquisitions and corporate structure; consultancy, advisory and information services relating to the aforesaid services; consultancy, advisory and information services relating to the aforesaid services provided on-line from a computer database, the Internet or over a telecommunications network. 36: Financial services; financial affairs investment services; financial and monetary transaction services fund management and asset management services; transacting and trading financial instruments; hedge fund management services; financial brokerage; compilation and provision of financial information; financial appraisals; financial information and evaluations; information, advisory, management and consultancy services relating to all the aforesaid services; information, advisory, management and consultancy services relating to all the aforesaid services provided on-line from a

Jurisdiction	Trade Mark	Registration Date	Expiration Date	Trade Mark Number	Class of trade mark	Scope of protection
UK	 EXERGY EXERGY (figurative)	12/01/2018	18/09/2027	UK00917216219	35, 38	<p>computer database, the Internet or over a telecommunications network.</p> <p>35:            Business management; business administration; business analysis, research and information services; business analysis of markets; business statistical information services; commercial information services; compilation and provision of trade and business price and statistical information; cost benefit analysis; dissemination of business and commercial information; economic analysis for business purposes; economic forecasting and analysis; financial, business, account auditing services; market information services relating to index levels; market studies; outsourcing services in the field of business analytics; provision of business and commercial information; consultancy and advisory services relating to business and company disposals, acquisitions and corporate structure; consultancy, advisory and information services relating to the aforesaid services; consultancy, advisory and information services relating to the aforesaid services provided on-line from a computer database, the Internet or over a telecommunications network.</p> <p>36:            Financial services; financial affairs investment services; financial and monetary transaction services fund management and asset management services; transacting and trading financial instruments; hedge fund management services; financial brokerage, compilation and provision of financial information; financial appraisals; financial information and evaluations; information, advisory, management and consultancy services relating to all the aforesaid services; information, advisory, management and consultancy services relating to all the aforesaid services provided on-line from a computer database, the Internet or over a telecommunications network.</p>

Jurisdiction	Trade Mark	Registration Date	Expiration Date	Trade Mark Number	Class of trade mark	Scope of protection
EU	EXERGY (word)	12/01/2018	18/09/2027	17216151	35, 36	<p>35: Business management; business administration; business analysis, research and information services; business analysis of markets; business statistical information services; commercial information services; compilation and provision of trade and business price and statistical information; cost benefit analysis; financial, business, account auditing services; market information services relating to index levels; market studies; outsourcing services in the field of business analytics; provision of business and commercial information; consultancy and advisory services relating to business and company disposals, acquisitions and corporate structure; consultancy, advisory and information services relating to the aforesaid services; consultancy, advisory and information services relating to the aforesaid services provided on-line from a computer database, the Internet or over a telecommunications network.</p> <p>36: Financial services; financial affairs investment services; financial and monetary transaction services fund management and asset management services; transacting and trading financial instruments; hedge fund management services; financial brokerage; compilation and provision of financial information, financial appraisals, financial information and evaluations; information, advisory, management and consultancy services relating to all the aforesaid services; information, advisory, management and consultancy services relating to all the aforesaid services provided on-line from a computer database, the Internet or over a telecommunications network.</p>
EU	 EXERGY (figurative)	12/01/2018	18/09/2027	17216219	35, 36	<p>35: Business management; business administration; business analysis, research and information services; business analysis of markets; business statistical information services; commercial information services; compilation and provision of trade and business price and statistical information; cost benefit analysis; dissemination of business and commercial information; economic analysis for business purposes; economic forecasting and analysis; financial, business, account auditing services; market information services</p>

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						relating to index levels; market studies; outsourcing services in the field of business analytics; provision of business and commercial information; consultancy and advisory services relating to business and company disposals, acquisitions and corporate structure; consultancy, advisory and information services relating to the aforesaid services; consultancy, advisory and information services relating to the aforesaid services provided on-line from a computer database, the Internet or over a telecommunications network. 36.
US	EXERGY (word)	15/03/2018	15/03/2028	87635067	35, 36	Financial services; financial affairs investment services; financial and monetary transaction services fund management and asset management services; transacting and trading financial instruments; hedge fund management services; financial broker; compilation and provision of financial information; financial appraisals; financial information and evaluations; information, advisory, management and consultancy services relating to all the aforesaid services; information, advisory, management and consultancy services relating to all the aforesaid services provided on-line from a computer database, the Internet or over a telecommunications network. 35. Business management; business administration; business analysis, research and information services; business analysis of markets; business statistical information services; commercial information services; compilation and provision of trade and business price and statistical information; cost benefit analysis; dissemination of business and commercial information; economic analysis for business purposes; economic forecasting and analysis; financial, business, account auditing services; market information services relating to index levels; market studies; outsourcing services in the field of business analytics; provision of business and commercial information; consultancy and advisory services relating to business and company disposals, acquisitions and corporate structure; consultancy, advisory and information services relating to the aforesaid services; consultancy, advisory and information services relating to the aforesaid services provided on-line from a computer

Jurisdiction	Trade Mark	Registration Date	Expiration Date	Trade Mark Number	Class of trade mark	Scope of protection
						<p>database, the Internet or over a telecommunications network.</p> <p>36: Financial services; financial affairs investment services; financial and monetary transaction services fund management and asset management services; transacting and trading financial instruments; hedge fund management services; financial brokerage; compilation and provision of financial information; financial appraisals; financial information and evaluations; information, advisory, management and consultancy services relating to the aforesaid services; information, advisory, management and consultancy services relating to all the aforesaid services provided on-line from a computer database, the Internet or over a telecommunications network.</p>
US	 <p>EXERGY (figurative)</p>	25/06/2019	26/06/2029	87835070	35, 36	<p>35: Business management; business administration; business analysis, research and information services; business analysis of markets; business statistical information services; commercial information services; compilation and provision of trade and business price and statistical information; cost benefit analysis; dissemination of business and commercial information; economic analysis for business purposes; economic forecasting and analysis; financial, business, account auditing services; market information services relating to index levels; market studies; outsourcing services in the field of business analytics; provision of business and commercial information; consultancy and advisory services relating to business and company disposals, acquisitions and corporate structure; consultancy, advisory and information services relating to the aforesaid services; consultancy, advisory and information services relating to the aforesaid services provided on-line from a computer database, the Internet or over a telecommunications network.</p> <p>36: Financial services; financial affairs investment services; financial and monetary transaction services fund management and asset management services; transacting and trading financial instruments; hedge fund management services; financial brokerage; compilation and provision of financial information; financial appraisals; financial</p>

Jurisdiction	Trade Mark	Registration Date	Expiration Date	Trade Mark Number	Class of trade mark	Scope of protection
						information and evaluations, information, advisory, management and consultancy services relating to the aforesaid services; information, advisory, management and consultancy services relating to all the aforesaid services provided on-line from a computer database, the Internet or over a telecommunications network.

EXECUTED as a DEED by  
EXERGY CAPITAL  
MANAGEMENT LLP  
acting by Brigid Rantoul  
(representative of Winton  
Exergy Limited) in the presence  
of:



Witness: Signature: .....



Name: .....

JAMES A. GILBERT

Address: .....

4 PINNACLE CLOSE  
LONDON, N10 3GF

Occupation: .....

INVESTMENT MANAGEMENT

EXECUTED as a DEED by  
ERICH BECKER  
in the presence of:



Witness: Signature: .....



Name: .....

KATRIN BECKER

Address: .....

239 LONSDALE RD  
LONDON, SW13 9QN

Occupation: .....

INVESTMENT BANKING