

TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

ETAS ID: TM632927

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	TERMINATION AND RELEASE OF SECURITY INTEREST IN INTELLECTUAL PROPERTY

CONVEYING PARTY DATA

Name	Formerly	Execution Date	Entity Type
WILMINGTON TRUST, NATIONAL ASSOCIATION		03/16/2021	National Banking Association: UNITED STATES

RECEIVING PARTY DATA

Name:	ADVANCED LIGHTING TECHNOLOGIES, LLC
Street Address:	7905 Cochran Road, Suite 300
City:	Glenwillow
State/Country:	OHIO
Postal Code:	44139
Entity Type:	Limited Liability Company: DELAWARE
Name:	ADLT FINANCE CO.
Street Address:	7905 Cochran Road, Suite 300
City:	Glenwillow
State/Country:	OHIO
Postal Code:	44139
Entity Type:	Corporation: DELAWARE
Name:	9999 SALES, INC.
Street Address:	7905 Cochran Road, Suite 300
City:	Glenwillow
State/Country:	OHIO
Postal Code:	44139
Entity Type:	Corporation: OHIO
Name:	ADLT REALTY CORP. I, INC.
Street Address:	7905 Cochran Road, Suite 300
City:	Glenwillow
State/Country:	OHIO
Postal Code:	44139
Entity Type:	Corporation: OHIO
Name:	ADVANCED LIGHTING MATERIALS NORTH AMERICA, INC.
Street Address:	7905 Cochran Road, Suite 300
City:	Glenwillow

TRADEMARK

State/Country:	OHIO
Postal Code:	44139
Entity Type:	Corporation: OHIO
Name:	ADVANCED LIGHTING TECHNOLOGIES AUSTRALIA, INC.
Street Address:	7905 Cochran Road, Suite 300
City:	Glenwillow
State/Country:	OHIO
Postal Code:	44139
Entity Type:	Corporation: OHIO
Name:	APL ENGINEERED MATERIALS, INC.
Street Address:	7905 Cochran Road, Suite 300
City:	Glenwillow
State/Country:	OHIO
Postal Code:	44139
Entity Type:	Corporation: ILLINOIS
Name:	EDSG, INC.
Street Address:	7905 Cochran Road, Suite 300
City:	Glenwillow
State/Country:	OHIO
Postal Code:	44139
Entity Type:	Corporation: OHIO
Name:	EPIC DESIGN SERVICES GROUP, INC.
Street Address:	7905 Cochran Road, Suite 300
City:	Glenwillow
State/Country:	OHIO
Postal Code:	44139
Entity Type:	Corporation: OHIO
Name:	LIGHTING RESOURCES INTERNATIONAL, INC.
Street Address:	7905 Cochran Road, Suite 300
City:	Glenwillow
State/Country:	OHIO
Postal Code:	44139
Entity Type:	Corporation: OHIO
Name:	VENTURE LIGHTING INTERNATIONAL, INC.
Street Address:	7905 Cochran Road, Suite 300
City:	Glenwillow
State/Country:	OHIO
Postal Code:	44139
Entity Type:	Corporation: OHIO

TRADEMARK

REEL: 007225 FRAME: 0603

PROPERTY NUMBERS Total: 27

Property Type	Number	Word Mark
Registration Number:	2396124	
Registration Number:	2276319	
Registration Number:	2442930	
Registration Number:	2103892	
Registration Number:	4943123	AGRICULTURAL LIGHTING TECHNOLOGIES
Registration Number:	2414899	DESIGNER COLOR
Registration Number:	1715439	ENERGY MASTER
Registration Number:	4419720	EVER-START
Registration Number:	2424348	HIDIRECT
Registration Number:	4016207	INLIGHTEN
Registration Number:	4499022	LEAFNUT
Registration Number:	4499021	LEAF NUT
Registration Number:	5281604	LED
Registration Number:	2099921	MICROSUN
Registration Number:	3204297	NATURAL WHITE
Registration Number:	2241770	SMARTPAC
Registration Number:	2223069	SMARTPAC LAMP RECYCLING SERVICE
Registration Number:	2288431	SPORT 60
Registration Number:	2355783	SUNMASTER
Registration Number:	2283584	UNI-FORM
Registration Number:	2283585	UNI-FORM
Registration Number:	2396198	UV SHIELD
Registration Number:	1707980	VENTURE
Registration Number:	2324735	VENTURE LIGHTING
Registration Number:	1396659	VENTURE LIGHTING INTERNATIONAL
Registration Number:	4412001	VYBRANT 2X
Registration Number:	1730895	WHITE-LUX

CORRESPONDENCE DATA**Fax Number:** 2028874288

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: 2028874000**Email:** dlee@akingump.com**Correspondent Name:** David C. Lee**Address Line 1:** 2001 K Street, N.W.**Address Line 2:** Robert S. Strauss Tower**Address Line 4:** Washington, D.C. 20006

ATTORNEY DOCKET NUMBER:	6989098.001
NAME OF SUBMITTER:	David C. Lee
SIGNATURE:	/David C. Lee/
DATE SIGNED:	03/18/2021

Total Attachments: 10

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**TERMINATION AND RELEASE OF SECURITY INTEREST
IN INTELLECTUAL PROPERTY**

This **TERMINATION AND RELEASE OF SECURITY INTEREST IN INTELLECTUAL PROPERTY**, dated as of March 16, 2021 (this “Release”), is made by Wilmington Trust, National Association, as administrative agent and collateral agent (the “Administrative Agent”) in favor of Advanced Lighting Technologies, LLC and ADLT Finance Co. (collectively, the “Issuer”), 9999 Sales, Inc., ADLT Realty Corp. I, Inc., Advanced Lighting Materials North America, Inc., Advanced Lighting Technologies Australia, Inc., APL Engineered Materials, Inc., EDSG, Inc., Epic Design Services Group, Inc., Lighting Resources International, Inc. and Venture Lighting International, Inc. (collectively with the Issuers, the “Grantors”).

WHEREAS, pursuant to that certain Second Lien Note Purchase Agreement dated as of October 4, 2017 (as amended, restated, amended and restated, supplemented or otherwise modified from time to time, the “Note Purchase Agreement”) and that certain Second Lien Security Agreement dated as of October 4, 2017 (as amended, restated, amended and restated, supplemented or otherwise modified from time to time, the “Security Agreement”) by and among the Grantors and the Administrative Agent, the Grantors granted to the Administrative Agent, for the benefit of the Secured Parties, a security interest in, among other property, certain intellectual property of the Grantors, and agreed as a condition thereof to execute that certain Second Lien Intellectual Property Security Agreement, dated as of October 4, 2017, by and between the Grantors and the Administrative Agent (the “IP Security Agreement”) for recording with the United States Patent and Trademark Office (the “USPTO”) and the United States Copyright Office (the “USCO”);

WHEREAS, the IP Security Agreement was recorded (i) with the USPTO on October 6, 2017, at Reel: 044144, Frame: 0466 (with respect to the Patents described in the IP Security Agreement) and Reel: 006176, Frame: 0570 (with respect to the Trademarks described in the IP Security Agreement) and (ii) with the USCO on October 6, 2017 (Document Number V9951D540); and

WHEREAS, as confirmed by that certain Payoff Letter, dated as of March 15, 2021, all obligations of the Grantors under the Note Purchase Agreement have been terminated (other than certain surviving obligations as set forth therein) and, in connection therewith, the Administrative Agent has released its security interest in the Intellectual Property Collateral (as defined below).

NOW THEREFORE, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the Administrative Agent, on behalf of the Secured Parties, and the Grantors agree as follows:

SECTION 1. Defined Terms. All capitalized terms used herein but not otherwise defined herein have the meanings given to them in the Note Purchase Agreement, Security Agreement or IP Security Agreement, as applicable.

SECTION 2. Termination and Release. The Administrative Agent, on behalf of itself, its successors and assigns, and the Secured Parties, without representation or warranty by, or recourse to the Administrative Agent of any kind, hereby:

(a) unconditionally terminates, cancels, discharges, and releases all of its security interest in and to, and the right to set off against, the Grantors’ intellectual property to the extent constituting Collateral (as defined in the Security Agreement), including, but not limited to, the foregoing listed on Schedule A, Schedule B and Schedule C attached hereto (the “Intellectual Property Collateral”), granted pursuant to the Security Agreement or the IP Security Agreement, and any right, title or interest of the Administrative Agent in such Intellectual Property Collateral shall hereby cease and become void. If and to the extent

that the Administrative Agent has acquired any right, title or interest in and to the Intellectual Property Collateral, the Administrative Agent, on behalf of itself, its successors and assigns, and the Secured Parties, without recourse to or representation or warranty of any kind by the Administrative Agent, hereby unconditionally re-transfers, re-conveys, and re-assigns such right, title or interest to the Grantors; and

(b) authorizes the recordation of this Release with the USPTO and the USCO at the Grantors' expense.

SECTION 3. Choice of Law. This Release shall be governed by and construed in accordance with the laws of the State of New York.

SECTION 4. Further Assurances. The Administrative Agent hereby agrees, at the Grantors' expense, to duly execute, acknowledge, procure and deliver any further documents and to do such other acts as may be reasonably necessary to effect the release of the security interest contemplated hereby.

[Signatures on following page]

IN WITNESS WHEREOF, the Administrative Agent, on behalf of itself, its successors and assigns, and the Secured Parties, has caused this Termination and Release of Security Interest in Intellectual Property to be duly executed as of the date first set forth above.

Administrative Agent:

WILMINGTON TRUST, NATIONAL ASSOCIATION,
as Administrative Agent

By: _____

Name: Joseph Feil

Title: Vice President

Schedule A

United States Patents and Patent Applications

ADVANCED LIGHTING TECHNOLOGIES, LLC		
Patent/Application	Patent Number/ Application Number/ Publication Number	Issue Date/ Filing Date/ Publication Date
POWER SUPPLY FOR A GAS DISCHARGE LAMP	Patent #: 5530321	Issue Dt: 06/25/1996
HORIZONTAL BURNING METAL HALIDE LAMP	Patent #: 5539271	Issue Dt: 07/23/1996
FLUORESCENT LAMP CONTAINING A MERCURY ZINC AMALGAM AND A METHOD OF MANUFACTURE	Patent #: 5882237	Issue Dt: 03/16/1999
METAL HALIDE LAMPS AND METHOD OF MANUFACTURE	Patent #: 5924787	Issue Dt: 07/20/1999
FLUORESCENT LAMP CONTAINING A MERCURY ZINC AMALGAM AND A METHOD OF MANUFACTURE	Patent #: 6339287	Issue Dt: 01/15/2002
SOLID LAMP FILL MATERIAL AND METHOD OF DOSING HID LAMPS	Patent #: 6661175	Issue Dt: 12/09/2003
FLUORESCENT LAMP CONTAINING A MERCURY ZINC AMALGAM AND A METHOD OF MANUFACTURE	Patent #: 6791254	Issue Dt: 09/14/2004
OXIDATION-PROTECTED METALLIC FOIL AND METHOD	Patent #: 7153179	Issue Dt: 12/26/2006
COMPACT, HIGH-EFFICIENCY ILLUMINATION SYSTEM FOR VIDEOIMAGING DEVICES ¹	Patent #: 7220035	Issue Dt: 05/22/2007
BISMUTH-ZINC-MERCURYAMALGAM, FLUORESCENT LAMPS, AND RELATED METHODS	Patent #: 8668841	Issue Dt: 03/11/2014
INFRARED HALOGEN LAMP WITH IMPROVED EFFICIENCY	Patent #: 8766537	Issue Dt: 07/01/2017
FLUORESCENT LAMP CONTAINING A MERCURY ZINC AMALGAM AND A METHOD OF	Patent #: 8277274	Issue Dt: 10/02/2012

¹ Jointly Owned: ADVANCED LIGHTING TECHNOLOGIES, INC. and ENERGY FOCUS, INC.

ADVANCED LIGHTING TECHNOLOGIES, LLC		
Patent/Application	Patent Number/ Application Number/ Publication Number	Issue Date/ Filing Date/ Publication Date
MANUFACTURE		
OXIDATION-PROTECTED METALLIC FOIL AND METHODS	Patent #: 8264147	Issue Dt: 09/11/2012
DOPANT-FREE TUNGSTEN ELECTRODES IN METAL HALIDE LAMPS	Patent #: 7583030	Issue Dt: 09/01/2009
HIGH INTENSITY DISCHARGE LAMPS, ARC TUBES, AND METHODS OF MANUFACTURE	Patent #: 7572163	Issue Dt: 08/11/2009
METHOD OF MAKING A PLASMA LAMP	Patent #: 7396271	Issue Dt: 07/08/2008
SYSTEM AND METHOD FOR GENERATING A DISCHARGE IN GASES	Patent #: 7281492	Issue Dt: 10/16/2007
SYSTEM AND METHOD FOR SUPPORTING ARC TUBES IN HID LAMPS	Patent #: 7187111	Issue Dt: 03/06/2007
SYSTEM AND METHOD FOR GENERATING A DISCHARGE IN HIGH PRESSURE GASES	Patent #: 7126283	Issue Dt: 10/24/2006
BARRIER COATINGS AND METHODS IN DISCHARGE LAMPS	Patent #: 7057335	Issue Dt: 06/06/2006
SOLID MERCURY RELEASING MATERIAL AND METHOD OF DOSING MERCURY INTO DISCHARGE LAMPS	Patent #: 6910932	Issue Dt: 06/28/2005
PLASMA LAMP AND METHOD	Patent #: 6897609	Issue Dt: 05/24/2005
METHOD OF MAKING ARC TUBES	Patent #: 6857926	Issue Dt: 02/22/2005
SOLID LAMP FILL MATERIAL AND METHOD OF DOSING HID LAMPS	Patent #: 6833676	Issue Dt: 12/21/2004
SOLID LAMP FILL MATERIAL AND METHOD OF DOSING HID LAMPS	Patent #: 6830495	Issue Dt: 12/14/2004
HALOGEN LAMPS, FILL MATERIAL AND METHODS OF DOSING HALOGEN LAMPS	Patent #: 6815888	Issue Dt: 11/09/2004
SYSTEM AND METHOD FOR GENERATING A DISCHARGE IN HIGH PRESSURE GASES	Patent #: 6791280	Issue Dt: 09/14/2004
RESISTIVELY BALLASTED GASEOUS DISCHARGE LAMP CIRCUIT AND METHOD	Patent #: 6674249	Issue Dt: 01/06/2004











ADVANCED LIGHTING TECHNOLOGIES, LLC		
Patent/Application	Patent Number/ Application Number/ Publication Number	Issue Date/ Filing Date/ Publication Date
HIGH INTENSITY DISCHARGE LAMPS, ARC TUBES AND METHODS OF MANUFACTURE	Patent #: 6612892	Issue Dt: 09/02/2003
EFFICIENT DIRECTIONAL LIGHTING SYSTEM	Patent #: 6554456	Issue Dt: 04/29/2003
HIGH INTENSITY DISCHARGE LAMPS, ARC TUBES AND METHODS OF MANUFACTURE	Patent #: 6517404	Issue Dt: 02/11/2003
DIMMING SYSTEM AND METHOD FOR MAGNETICALLY BALLASTED GASEOUS DISCHARGE LAMPS	Patent #: 6271635	Issue Dt: 08/07/2001
AUXILIARY LIGHTING CONTROL CIRCUIT AND METHOD FOR A HID LAMP LIGHTING SYSTEM	Patent #: 6072286	Issue Dt: 06/06/2000
COMBINATION METAL HALIDE AND AUXILIARY BULB LAMP	Patent #: 6022125	Issue Dt: 02/08/2000
STRENGTHENING AGENT, STRENGTHENED METAL HALIDE PARTICLES, AND IMPROVED LAMP FILL MATERIAL	Patent #: 5972442	Issue Dt: 10/26/1999
OPERATING CIRCUIT FOR AN INDUCTIVELY BALLASTED ARC DISCHARGE LAMP	Patent #: 5896013	Issue Dt: 04/20/1999
LAMPHOLDER ASSEMBLY WITH INTEGRAL BALLAST FOR A STANDING LAMP	Patent #: D403,100	Issue Dt: 12/22/1998
FIBER OPTIC LIGHT BAR FOR EDGE LIGHTED SIGNAGE	Patent #: 5572818	Issue Dt: 11/12/1996
FLUORESCENT LIGHTING FIXTURE	Patent #: D291928	Issue Dt: 09/15/1987
HIGH PRESSURE ARC LAMP ASSISTED START UP DEVICE AND METHOD	Patent #: 6541915	Issue Dt: 04/01/2003
METHOD OF MAKING AN ELECTRIC LAMP HAVING A GAS FILLED OUTER JACKET	Patent #: 6749478	Issue Dt: 06/15/2004
HORIZONTAL BURNING HID LAMPS AND ARC TUBES	Patent #: 6781312	Issue Dt: 08/24/2004
PLASMA LAMP AND METHOD	Patent #: 7105989	Issue Dt: 09/12/2006
HIGH INTENSITY DISCHARGE	Application #: 10457442	Publication Dt:


ADVANCED LIGHTING TECHNOLOGIES, LLC		
Patent/Application	Patent Number/ Application Number/ Publication Number	Issue Date/ Filing Date/ Publication Date
LAMPS, ARC TUBES AND METHODS OF MANUFACTURE		01/22/2004
FIBER ILLUMINATION SYSTEM FOR BACK LIGHTING	Application #: 11397558	Publication Dt: 11/09/2006
PROJECTION LIGHT SOURCE AND METHODS OF MANUFACTURE	Application #: 11645725	Publication Dt: 08/30/2007
MECHANICALLY PLATED PELLETS AND METHOD OF MANUFACTURE	Application #: 13084414	Publication Dt: 10/13/2011
DISCHARGE LAMP WITH LONG LIFE	Application #: 13176882	Publication Dt: 08/02/2012
INTEGRATED OPTIC LAMP	Application #: 13735275	Publication Dt: 07/10/2014

VENTURE LIGHTING INTERNATIONAL, INC.		
Patent/Application	Patent Number/ Application Number/ Publication Number	Issue Date/ Filing Date/ Publication Date
HORIZONTAL BURNING METAL HALIDE LAMP	Patent #: 5055740	Issue Dt: 10/08/1991
ARC TUBE ASSEMBLY	Patent #: 4959587	Issue Dt: 09/25/1990
SOLID STATE BALLAST FOR HIGH INTENSITY DISCHARGE LAMPS	Patent #: 5225742	Issue Dt: 07/06/1993
HORIZONTAL BURNING METAL HALIDE LAMP	Patent #: 5539271	Issue Dt: 07/23/1996
HIGH INTENSITY ARC DISCHARGE LAMP HAVING CLIP MEMBER TO SECURE BASE TO OUTER LAMP ENVELOPE	Patent #: 5550422	Issue Dt: 08/27/1996
ULTRA-PURE METAL HALIDE PARTICLES	Patent #: 3948793	Issue Dt: 04/06/1976

Schedule B

United States Registered and Applied for Trademarks

ADVANCED LIGHTING TECHNOLOGIES, LLC				
Trademark	Status	Int'l Class(es)	Serial Number	Registration Number
	Registered	9, 11, 37, 42	75/193,130	2,396,124
	Registered	9, 11, 37, 42	75/193,127	2,276,319
	Registered	9, 11, 37, 42	75/193,126	2,442,930
	Registered	11	74/685,870	2,103,892
AGRICULTURAL LIGHTING TECHNOLOGIES	Registered	11	86/521,710	4,943,123
DESIGNER COLOR	Registered	11	75/292,526	2,414,899
ENERGY MASTER	Registered	11	74/202,220	1,715,439
EVER-START	Registered	11	85/730,974	4,419,720
	Registered	35	75/460,924	2,424,348
	Registered	37, 42	77/313,564	4,016,207
LEAFNUT	Registered	9	85/760,344	4,499,022
	Registered	9	85/760,328	4,499,021
	Registered	11	86/452,435	5,281,604
MICROSUN	Registered	11	74/685,868	2,099,921
NATURAL WHITE	Registered	11	76/596,435	3,204,297
SMARTPAC	Registered	40	75/132,747	2,241,770
	Registered	40	75/132,748	2,223,069
SPORT 60	Registered	11	75/326,475	2,288,431
SUNMASTER	Registered	11	75/392,841	2,355,783
UNI-FORM	Registered	11	75/193,129	2,283,584
	Registered	11	75/193,132	2,283,585
UV SHIELD	Registered	11	75/398,602	2,396,198
VENTURE	Registered	11	74/164,191	1,707,980

ADVANCED LIGHTING TECHNOLOGIES, LLC				
Trademark	Status	Int'l Class(es)	Serial Number	Registration Number
VENTURE 	Registered	9, 11, 37, 42	75/193,131	2,324,735
VENTURE LIGHTING INTERNATIONAL	Registered	11	73/553,314	1,396,659
VYBRANT 2X	Registered	11	85/665,731	4,412,001
WHITE-LUX	Registered	11	74/086,494	1,730,895

Schedule C

United States Registered Copyrights

ADVANCED LIGHTING TECHNOLOGIES, LLC		
Title	Date Registered	Registration No.
The world leader in high purity material and components for the lighting industry	4-29-2003	TX0005704494